

**COMMONWEALTH OF KENTUCKY  
TRANSPORTATION CABINET  
FRANKFORT, KY 40622**

MANUAL TITLE: Construction Procurement Guidance Manual REVISION NO.: 11  
 DATE REQUESTED: December 10, 2018 REPRINT: \_\_\_\_\_  
 REQUESTED BY: Brad Webb NEW: \_\_\_\_\_

**REVISED PROCEDURE**

CHAPTER/ SECTION	EXPLANATION	OLD PAGES TO BE DELETED	NEW PAGES TO BE ADDED
	The purpose of this printing is to include the following revised procedures in the <i>Construction Procurement Guidance Manual</i> . This revision also includes one index update.		
CP-00	Table of Contents	CP-01	CP-01
CP-300	Preparation of Proposal Proposal Final Preparations & Posting	CP-301 CP-302	CP-301 CP-302
Produced & Distributed by Organizational Management Branch			

**COMMONWEALTH OF KENTUCKY  
TRANSPORTATION CABINET  
FRANKFORT, KY 40622**

MANUAL TITLE: Construction Procurement Guidance Manual REVISION NO.: 10  
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 REQUESTED BY: Brad Webb NEW: \_\_\_\_\_

**REVISED PROCEDURE**

CHAPTER/ SECTION	EXPLANATION	OLD PAGES TO BE DELETED	NEW PAGES TO BE ADDED
	The purpose of this printing is to include the following revised procedure in the <i>Construction Procurement Guidance Manual</i> . This revision also includes one index update.		
CP-00	Table of Contents	CP-01	CP-01
CP-500	Wage & Hour Compliance	CP-504	CP-504
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**COMMONWEALTH OF KENTUCKY  
TRANSPORTATION CABINET  
FRANKFORT, KY 40622**

MANUAL TITLE: Construction Procurement Guidance Manual REVISION NO.: 9  
 DATE REQUESTED: August 25, 2016 REPRINT: \_\_\_\_\_  
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**MINOR REVISION**

CHAPTER/ SECTION	EXPLANATION	OLD PAGES TO BE DELETED	NEW PAGES TO BE ADDED
	The purpose of this printing is to include the following revised procedure in the <i>Construction Procurement Guidance Manual</i> . This revision also includes one index update.		
CP-00	Table of Contents	CP-01	CP-01
CP-300	Confidentiality of Engineer's Estimate	CP-312	CP-312
Produced & Distributed by Organizational Management Branch			

**COMMONWEALTH OF KENTUCKY  
TRANSPORTATION CABINET  
FRANKFORT, KY 40622**

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**MINOR REVISION**

CHAPTER/ SECTION	EXPLANATION	OLD PAGES TO BE DELETED	NEW PAGES TO BE ADDED
	The purpose of this printing is to include the following revised procedures in the <i>Construction Procurement Guidance Manual</i> . This revision also includes ONE index update.		
CP-00	Table of Contents	CP-01	CP-01
CP-200	Construction Prequalification Committee	CP-203	CP-203
CP-9000	Certificate of Eligibility, TC 14-2	CP-9005	CP-9005
CP-9000	Contractor Performance Evaluation, TC 14-19	CP-9007	CP-9007
Produced & Distributed by Organizational Management Branch			

**COMMONWEALTH OF KENTUCKY  
TRANSPORTATION CABINET  
FRANKFORT, KY 40622**

MANUAL TITLE: Construction Procurement Manual

REVISION NO.: 7

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REQUESTED BY: Brad Webb

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<b>REVISED PROCEDURES</b>
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CHAPTER/ SECTION	EXPLANATION	OLD PAGES TO BE DELETED	NEW PAGES TO BE ADDED
	The purpose of this printing is to include the following revised procedures in the <i>Construction Procurement Manual</i> . This revision also includes one index update.		
CP-00	Table of Contents	CP-01	CP-01
CP-500	Wage & Hour Compliance	CP-504	CP-504
Produced & Distributed by Organizational Management Branch			

**COMMONWEALTH OF KENTUCKY  
TRANSPORTATION CABINET  
FRANKFORT, KY 40622**

MANUAL TITLE: Construction Procurement Guidance Manual REVISION NO.: 6  
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 REQUESTED BY: Brad Webb NEW: \_\_\_\_\_

**MINOR REVISION**

CHAPTER/ SECTION	EXPLANATION	OLD PAGES TO BE DELETED	NEW PAGES TO BE ADDED
	The purpose of this printing is to include the following revised procedures in the <i>Construction Procurement Guidance Manual</i> . This revision also includes ONE index update.		
CP-00	Table of Contents	CP-01	CP-01
CP-400	Information Submitted to Awards Committee	CP-402	CP-402
Produced & Distributed by Organizational Management Branch			

**COMMONWEALTH OF KENTUCKY  
TRANSPORTATION CABINET  
FRANKFORT, KY 40622**

MANUAL TITLE: Construction Procurement Guidance Manual

REVISION NO.: 5

DATE REQUESTED: January 17, 2013

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REQUESTED BY: Betty Ogden

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**REVISED POLICIES**

CHAPTER/ SECTION	EXPLANATION	OLD PAGES TO BE DELETED	NEW PAGES TO BE ADDED
	The purpose of this printing is to include the following revised policies in the <i>Construction Procurement Guidance Manual</i> . This revision also includes two index and twelve exhibit updates.		
CP-00	Table of Contents List of Exhibits	CP-01 CP-02	CP-01 CP-02
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Produced & Distributed by Organizational Management Branch			

**COMMONWEALTH OF KENTUCKY  
TRANSPORTATION CABINET  
FRANKFORT, KY 40622**

MANUAL TITLE: Construction Procurement Guidance Manual

REVISION NO.: 4

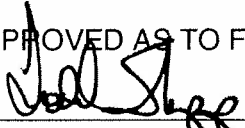
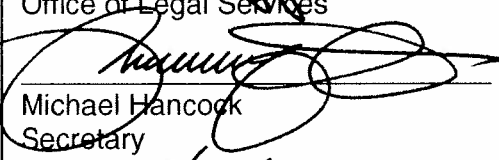
DATE REQUESTED: March 15, 2012

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REQUESTED BY: Brad Webb

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**NEW & REVISED POLICIES**

CHAPTER/ SECTION	EXPLANATION	OLD PAGES TO BE DELETED	NEW PAGES TO BE ADDED
	The purpose of this printing is to include the following new and revised policies in the <i>Construction Procurement Guidance Manual</i> . This revision also includes one index update.		
CP-00	Table of Contents	CP-01	CP-01
CP-300	Projects with FHWA Full Oversight Disadvantaged Business Enterprise (DBE) Goals	CP-303	CP-303-1 CP-303-2
CP-400	Award & Execution of Contracts	CP-404	CP-404
Produced & Distributed by Organizational Management Branch	<p style="text-align: center;">APPROVED AS TO FORM AND LEGALITY</p> <p style="text-align: center;"> _____ Office of Legal Services</p> <p style="text-align: center;"> _____ Michael Hancock Secretary</p> <p style="text-align: center;"><u>9/30/12</u> _____ Date</p>		



**COMMONWEALTH OF KENTUCKY  
TRANSPORTATION CABINET  
FRANKFORT, KY 40622**

MANUAL TITLE: Construction Procurement Manual

REVISION NO.: 3

DATE REQUESTED: July 25, 2011

REPRINT: \_\_\_\_\_

REQUESTED BY: Brad Webb

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**REVISED PROCEDURES**

CHAPTER/ SECTION	EXPLANATION	OLD PAGES TO BE DELETED	NEW PAGES TO BE ADDED
	The purpose of this printing is to include the following revised procedures in the <i>Construction Procurement Manual</i> . This revision also includes one index update.		
CP-00	Table of Contents	CP-01	CP-01
CP-100	Advertising, Proposals, & Awards Branch Responsibilities	CP-105	CP-105
CP-200	Statutory & Regulatory Requirements Listing of Certificate of Eligibility Holders	CP-201 CP-206	CP-201 CP-206
CP-300	Proposal Final Preparations & Posting Changes to Contract Documents Advertising Bids & Notification of Changes Procedures for Advertising Projects Bidder Registration	CP-302 CP-304 CP-306 CP-307 CP-309	CP-302 CP-304 CP-306 CP-307 CP-309
CP-400	Award & Execution of Contracts	CP-404	CP-404
CP-500	Disadvantaged Business Enterprise (DBE) Wage & Hour Compliance	CP-503 CP-504	CP-503 CP-504
Produced & Distributed by Organizational Management Branch			

**COMMONWEALTH OF KENTUCKY  
TRANSPORTATION CABINET  
FRANKFORT, KY 40622**

MANUAL TITLE: Construction Procurement Manual

REVISION NO.: 2

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REQUESTED BY: Brad Webb

NEW: \_\_\_\_\_

<b>REVISED PROCEDURES</b>
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CHAPTER/ SECTION	EXPLANATION	OLD PAGES TO BE DELETED	NEW PAGES TO BE ADDED
	The purpose of this printing is to include the following revised procedure in the <i>Construction Procurement Manual</i> . This revision also includes one index update.		
CP-00	Table of Contents	CP-01	CP-01
CP-200	Construction Prequalification Committee	CP-203	CP-203
Produced & Distributed by Organizational Management Branch			

# CONSTRUCTION PROCUREMENT GUIDANCE MANUAL

**COMMONWEALTH OF KENTUCKY  
TRANSPORTATION CABINET**

**DECEMBER 2010**



**Division of Construction Procurement**



**Transportation Cabinet**

Produced by the Organizational Management Branch  
Office of Human Resource Management





**TRANSPORTATION CABINET**

Frankfort, Kentucky 40622  
www.transportation.ky.gov

**Steven L. Beshear**  
Governor

**Michael W. Hancock, P.E.**  
Secretary

**OFFICE OF THE SECRETARY**     **106556**  
**OFFICIAL ORDER**

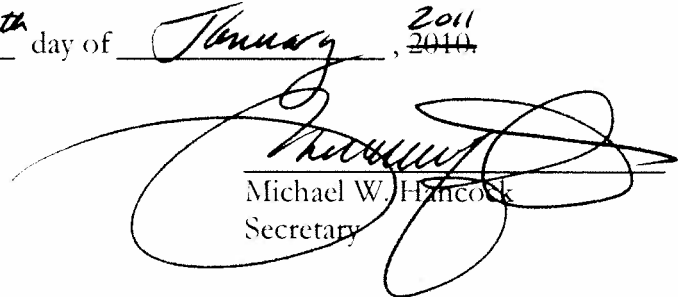
**SUBJECT:**     *Construction Procurement Guidance Manual*

This manual has been prepared to provide information and guidance to personnel of the Kentucky Transportation Cabinet. Its purpose is to establish uniformity in the interpretation and administration of laws, regulations, policies, and procedures applicable to the operation of the Division of Construction Procurement and its relationship with other units of the Cabinet.

The policies and procedures set forth herein are hereby approved and declared effective unless officially changed.

All previous instructions, written and oral, relative to or in conflict with this manual are hereby superseded.

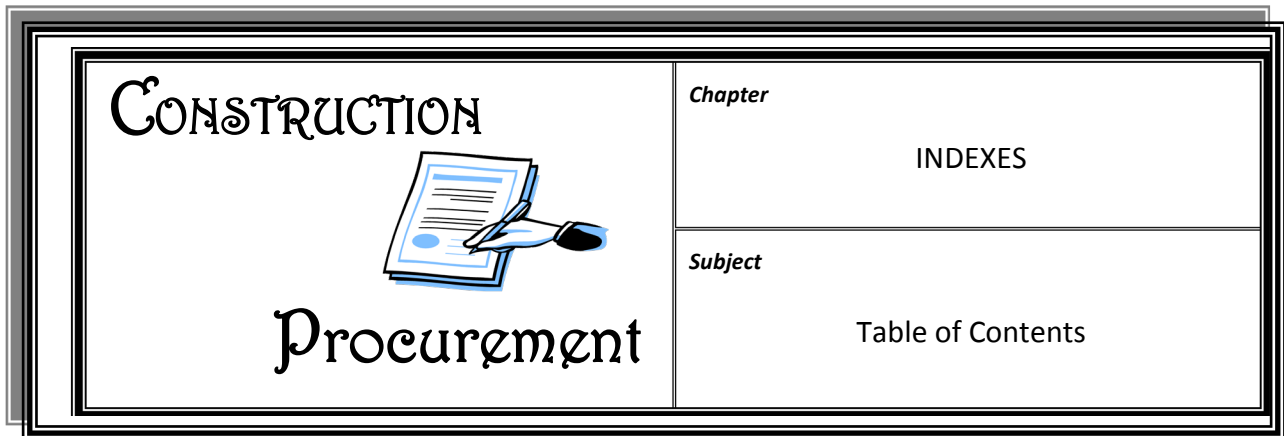
Signed and approved this 13<sup>th</sup> day of January, ~~2010~~ <sup>2011</sup>

  
Michael W. Hancock  
Secretary

Approved as to Legal Form

  
Office of Legal Services



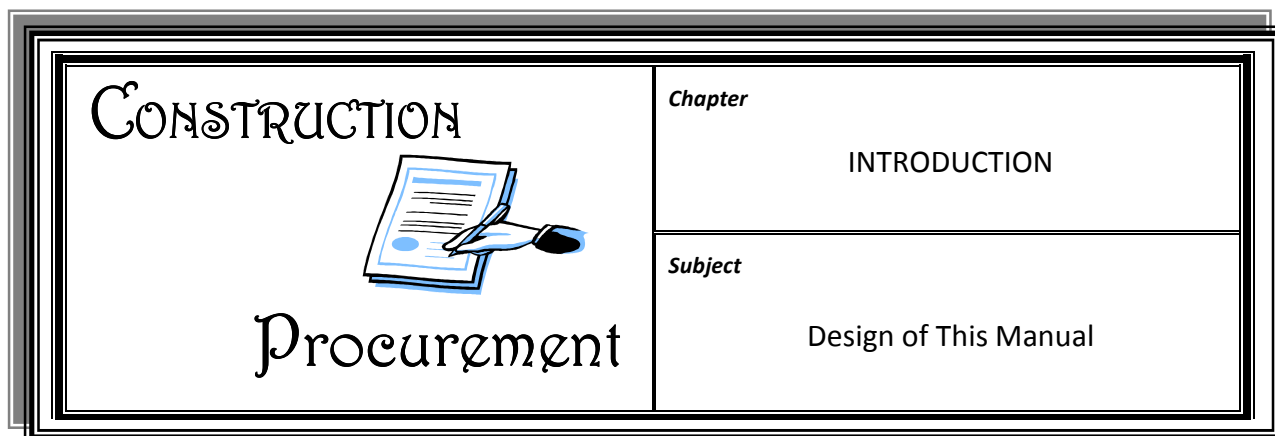


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## ORGANIZATION & NUMBERING

**Chapters**—The subject matter in the manual is divided into chapters. The chapter title appears in the upper right-hand corner of the first page of a subject and in the upper left-hand corner of any subsequent page.

**Subjects**—Chapters are arranged by subjects.

**Subject Number**—Each subject is assigned a number, which appears in the upper right-hand corner of each page of the subject. For example, Chapter 400 includes subject 404 followed by subject 405.

**“CP” Prefix**—Preceding each subject number, this prefix stands for the manual title *Construction Procurement*.

**Subject Title**—The title of a subject appears in the upper right-hand corner of the first page of a subject and in the upper left-hand corner of any subsequent page.

**Date**—The latest issuance date of a subject appears at the bottom of each page of the subject. This date agrees with the latest issuance date shown for the subject in the Table of Contents (**CP-01**).

**Page Numbering**—Each subject has its own page numbering, which appears at the bottom of each page.

## LOCATING INFORMATION

**Indexes**—One index appears at the front of the manual, and one index appears at the back:

- **Table of Contents (CP-01)**—This index at the front lists the titles of the manual’s chapters and their subjects, as well as other information, in numerical order. It includes the latest issuance dates of all the subjects. As the manual matures, these dates change.
- **List of Exhibits (CP-02)**—This index at the back lists the manual’s exhibits and includes the latest issuance date of each exhibit. As the exhibits mature, the issuance dates change.

**QUESTIONS**


**Whom to Contact**—For answers to questions about the contents of the manual, please contact the Division of Construction Procurement at (502) 564-3500.

For copies of the manual, please contact:

Organizational Management Branch  
Transportation Cabinet Office Building  
6<sup>th</sup> Floor West  
200 Mero Street  
Frankfort, KY 40622





<p><b>CONSTRUCTION</b></p>  <p><b>Procurement</b></p>	<p><i>Chapter</i></p> <p>INTRODUCTION</p>
	<p><i>Subject</i></p> <p>Manual Purpose &amp; Overview</p>

**PURPOSE** The Division of Construction Procurement has designed this manual to assist the Transportation Cabinet in contracting for highway construction projects. This manual shall serve as a uniform guide to Cabinet offices and divisions in the interpretation and administration of the statutes, regulations, policies, and procedures related to the procurement of highway construction contracts.

**OVERVIEW** **CP-103** discusses the procurement authority of the Transportation Cabinet and the statutes and regulations governing highway construction contracts.

**CP-104—CP-107** describe the roles and responsibilities of the Division of Construction Procurement and the division’s branches.


**CP-200—CP-500** include requirements and procedures for:

- Prequalification of Contractors
- Preparation of Proposals
- Advertisement of the Letting
- Letting Procedures
- Engineer’s Estimate
- Award of Contract
- Compliance

**REFERENCES** This manual references the forms used in contracting for highway construction and includes them as exhibits. Other references include federal and state statutes and regulations.

**MANUAL REVISIONS** The Cabinet will revise this manual as necessary to include any changes in policies and procedures.



<p>CONSTRUCTION</p>  <p>Procurement</p>	<p><i>Chapter</i></p> <p>INTRODUCTION</p>
	<p><i>Subject</i></p> <p>Regulatory Requirements</p>

**STATE REGULATORY REQUIREMENTS**

**Procurement**—The [Kentucky Revised Statute \(KRS\) 45A.050](#) provides an exemption for the Kentucky Transportation Cabinet (KYTC), Department of Highways to procure services for the construction and maintenance of roads. The Department of Highways assigns these procurement responsibilities to the Division of Construction Procurement. [KRS 176](#) provides additional requirements for the advertisement and procurement of contracts for the Department of Highways.

**Wages and Hours**—[KRS 337](#) provides obligations concerning the wages and hours of workers on projects procured by the Department.

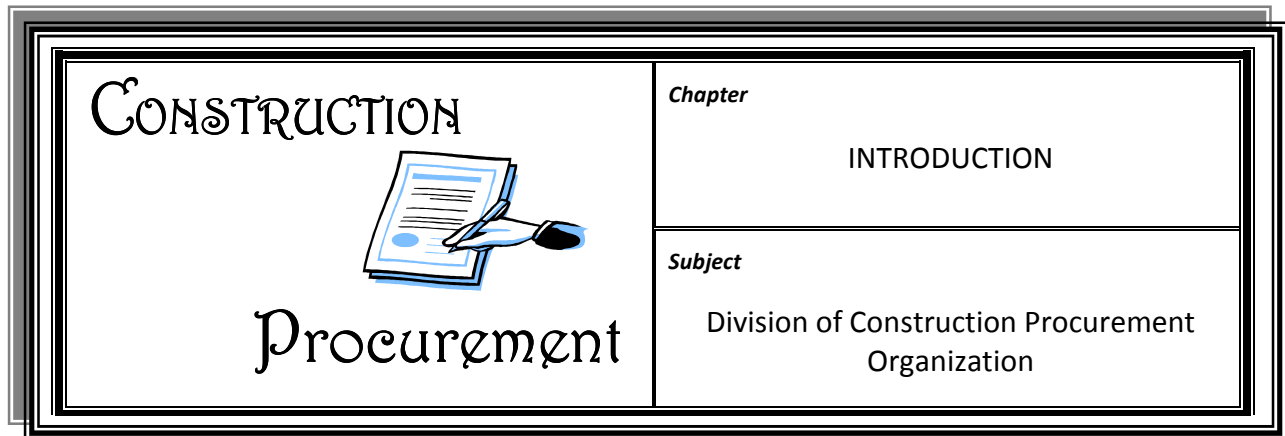
**Prequalification**—The [Kentucky Administrative Regulation 603 Chapter 2.015](#) describes the requirements for prequalification of contractors for construction projects.

**FEDERAL REGULATORY REQUIREMENTS**

For highway projects funded in part by federal-aid funds, the KYTC is regulated by [Title 23 of the United States Code \(USC\)](#) and Code of Federal Regulations [23 CFR](#), [26 CFR](#), [29 CFR](#), and [49 CFR](#).

**Contract Administration**—The Federal Highway Administration (FHWA) and the KYTC have agreed that the processes outlined in this manual and as described within the approved stewardship agreement between the KYTC and the FHWA will serve as the accepted procedure for the contracting for construction projects for federal-aid projects funded under 23 USC. KYTC shall involve the FHWA as outlined in that agreement. Federal oversight includes all projects involving interstates, Appalachian development highways (APD), demonstration funding, or major bridges, including river crossings between states. FHWA involvement, approval, and documentation requirements will be outlined in the stewardship agreement.



**OVERVIEW**

The Division of Construction Procurement is a work unit within the Office of Project Delivery and Preservation within the Kentucky Transportation Cabinet's Department of Highways. The division is responsible for:


- Preparing and advertising proposals
- Bid receipt
- Awarding contracts
- Contract execution
- Engineer cost estimation development
- Wage and hour compliance

The division is divided into three engineering branches:

- Prequalification and Compliance Branch ([CP-107](#))
- Advertising, Proposals, and Awards Branch ([CP-105](#))
- Plans, Specifications, and Estimates Branch ([CP-106](#))

An administrative staff reports directly to the director and is responsible for providing the necessary administrative support to the division.



<p>CONSTRUCTION</p>  <p>Procurement</p>	<p><i>Chapter</i></p> <p>INTRODUCTION</p>
	<p><i>Subject</i></p> <p>Advertising, Proposals, &amp; Awards Branch Responsibilities</p>

**RESPONSIBILITIES**

The Advertising, Proposals, and Awards Branch:

- Prepares Authority to Advertise
- Prepares proposal documents for the monthly bid letting
- Maintains proposal addendums & other related documents
- Posts Notices to Contractors to the division's website at:
  - <http://transportation.ky.gov/Construction-Procurement/Pages/default.aspx>


**Note:** State Highway Engineer (SHE)-approved Authority to Advertise becomes the Notice to Contractors.

- Posts proposals and addendums to proposals on the division's website
- Prepares bidder registration form
- Registers bidders
  - Note:** Contractors must be registered as a bidder on a project to submit a bid on that project and be prequalified to do the project work type.
- Maintains the division website (the primary medium used by the division to administer lettings) and posts the following information:
  - ◆ Notice to Contractors
  - ◆ Qualifications for Bidders
  - ◆ Bidder's List
  - ◆ Bid Quantity Index
  - ◆ Electronic Bid Files
- Checks all bids after the letting

**RESPONSIBILITIES  
(CONT.)**

- Imports all bids into Department's letting software program (Trns\*port LAS)
- Checks financial eligibility of low bidders
- Checks DBE goals are met
- Prepares contracts when projects are awarded
- Maintains all contract-related documents (authority to sign proof of insurance, etc.)
- Issues a notice to proceed
- Loads contracts into KYTC's construction software (SiteManager)
- Passes projects to the Division of Construction




<p>CONSTRUCTION</p>  <p>Procurement</p>	<p><i>Chapter</i></p> <p>INTRODUCTION</p>
	<p><i>Subject</i></p> <p>Plans, Specifications, &amp; Estimates Branch Responsibilities</p>

**RESPONSIBILITIES**

The Plans, Specifications, and Estimates Branch primarily is responsible for inputting highway construction projects into the Trns\*port system software for project administration and producing the engineer's estimate for cost comparisons of bids received at letting. Duties performed while carrying out these responsibilities include:

- Project data entry into the KYTC Trns\*port bidding software program
- Checking project documents for applicable notes, permits, provisions, etc.
- Assisting in the maintenance of the letting schedule
- Maintaining bid item codes
- Preparing the official engineer's estimate for all projects let by the Division of Construction Procurement
- Reviewing all bids received for projects
- Maintaining a highway construction cost index for Kentucky projects
- Maintaining other software and databases used to produce the engineer's estimate
- Supporting the Awards Committee with information to make decisions to award or reject project bids



<p style="text-align: center;">CONSTRUCTION</p>  <p style="text-align: center;">Procurement</p>	<p><i>Chapter</i></p> <p style="text-align: center;">INTRODUCTION</p>
	<p><i>Subject</i></p> <p style="text-align: center;">Prequalification &amp; Compliance Branch Responsibilities</p>

**BRANCH OVERVIEW** The Prequalification and Compliance Branch reviews prequalification applications to determine contractor eligibility in terms of work types and the maximum dollar amount of work the contractor can accept as a prime contractor. Also, the branch monitors compliance with state and federal laws concerning wage and hour and EEO on federal and state projects.

**PREQUALIFICATION  
DUTIES**

Prequalification personnel:

- Review and process all TC 14-1 forms, *Application for Certificate of Eligibility* (**Exhibit 9002**) and make recommendations to the Construction Prequalification Committee concerning work items and eligibility amount
- Review and evaluate all received TC 14-19 forms, *Contractor's Performance Report* (**Exhibit 9007**) and make recommendations to the Construction Prequalification Committee

**COMPLIANCE  
DUTIES**

Compliance personnel are responsible for the administration of wage and hour laws and EEO laws and regulations as they apply to state and federal-aid highway construction projects. Specific duties include but are not limited to:


- Conduct wage and hour reviews on state and federal-aid projects subject to prevailing wage requirements
- Conduct EEO contract compliance reviews on federal-aid projects
- Review and investigate discrimination complaints and sexual harassment complaints on state and federal-aid projects
- Review and investigate wage complaints

**COMPLIANCE  
DUTIES (CONT.)**

- Furnish contractors and districts required posters for project bulletin boards
- Attend preconstruction conferences and advise contractor of wage and hour and EEO requirements
- Review and update wage rate changes and assign project wage rates
- Review TC 14-312 forms, *Engineer's Wage & Hour Report* ([Exhibit 9009](#)) received from districts
- Assign and monitor trainees on federal-aid construction projects
- Prepare the following:
  - ◆ Semiannual Labor Compliance Enforcement Reports
  - ◆ Trainee Goals and Accomplishment Report
  - ◆ Request for Determination of Prevailing Rates for Wages for Highway Construction Projects
  - ◆ Equal Employment Opportunity Program Report
  - ◆ Federal Highway Administration PR 1392 Report





<p>CONSTRUCTION</p>  <p>Procurement</p>	<p><i>Chapter</i></p> <p>PREQUALIFICATION</p>
	<p><i>Subject</i></p> <p>Statutory &amp; Regulatory Requirements</p>

**STATUTE** Pursuant to [KRS Chapter 176](#), Sections 130–220, every person or organization who seeks to procure, bid upon, or offer to bid upon any contract with the Transportation Cabinet for the construction or maintenance of a public road or section thereof shall obtain a *Certificate of Eligibility* ([Exhibit 9005](#)).

**ADMINISTRATIVE REGULATIONS** [603 KAR 2:015](#) states the requirements that the Department of Highways follows to prequalify bidders. The Division of Construction Procurement provides publications of these regulations, or they may be downloaded at:


<http://transportation.ky.gov/Construction-Procurement/Pages/Prequalification.aspx>

The Division of Construction Procurement’s Prequalification and Compliance Branch shall be responsible for the general administration of these statutory and regulatory requirements.

**CONSTRUCTION PREQUALIFICATION COMMITTEE** The Commissioner of the Department of Highways shall appoint a Construction Prequalification Committee to review the TC 14-1 form, *Application for Certificate of Eligibility* ([Exhibit 9002](#)) and make a recommendation of eligibility to the State Highway Engineer. The committee shall determine the maximum amount of incomplete work a contractor shall be permitted to have under contract at any one time. Contractors accepting subcontract work on any project will not have incomplete work charged against their certificates of eligibility. [CP-203](#) further details Construction Prequalification Committee responsibilities.

**FINANCIAL STATEMENT** An independent public accountant or certified public accountant must audit and attest the financial statement of prequalification applicants desiring eligibility in excess of \$1 million.



<p>CONSTRUCTION</p>  <p>Procurement</p>	<p><i>Chapter</i></p> <p>PREQUALIFICATION</p>
	<p><i>Subject</i></p> <p>Application for Certificate of Eligibility</p>

**INFORMATION FOR  
APPLICATION**

An applicant (organization or individual) shall submit a completed TC 14-1 form, *Application for Certificate of Eligibility* (**Exhibit 9002**), and additional required information to the Division of Construction Procurement. Required information includes, not necessarily limited to:

- Applicant's organization and its experience
- Types of work for which eligibility is desired
- Plants and equipment available
- Projects completed within recent years
- Balance sheet and financial statement prepared as of the close of the last fiscal year

An independent public accountant or certified public accountant must audit and attest the financial data submitted by an applicant on a TC 14-1 form for a certificate of eligibility in excess of \$1 million.

The person preparing the statement and a principal officer of the organization must sign the financial data submitted by an applicant for a certificate of eligibility of \$1 million or less.

**CLASSIFIED  
RECORDS**


The Division of Construction Procurement's Prequalification and Compliance Branch shall retain the financial report or audit report of the contractor in the personal files of the applicant for the exclusive use of the Construction Prequalification Committee. All such records shall be considered confidential information and secured under lock and key while in the possession of the Prequalification and Compliance Branch.

**DETERMINATION BY  
PREQUALIFICATION &  
COMPLIANCE  
BRANCH**

The Prequalification and Compliance Branch shall examine the TC 14-1 form and will attempt to obtain additional information needed to supplement the application. The branch may verify the applicant's experience record and obtain performance reports from persons and organizations familiar with the applicant's work.

The Prequalification and Compliance Branch shall determine the maximum financial capacity of the applicant, as provided by [603 KAR 2:015 Section 5](#).



<p><b>CONSTRUCTION</b></p>  <p><b>Procurement</b></p>	<p><i>Chapter</i></p> <p>PREQUALIFICATION</p>
	<p><i>Subject</i></p> <p>Construction Prequalification Committee</p>

**FREQUENCY OF MEETINGS**

The Construction Prequalification Committee shall meet at least monthly to review TC 14-1 forms, *Application for Certificate of Eligibility* ([Exhibit 9002](#)), and to issue eligible applicants a *Certificate of Eligibility* ([Exhibit 9005](#)). The committee shall meet at other times as necessary to review new or renewal applications and to hear appeals for reconsideration of *Certificates of Eligibility* previously issued. When requested by an applicant desiring to bid on a federal-aid project, the committee shall act upon the application within 15 days after its receipt.

**COMPLETION OF CONTRACTOR'S PERFORMANCE REPORT**

Upon completion of a project, the section engineer shall complete a TC-14 form, *Contractor Performance Evaluation* ([Exhibit 9007](#)), for the prime contractor and each subcontractor performing \$50,000 or more of work on projects administered by that office for active projects.

Subcontractors may request a performance evaluation on subcontracts that total less than \$50,000. Subcontractors who request a performance evaluation shall notify the section engineer in writing and shall provide a copy to the Director of Construction and the Director of Construction Procurement.

Year-End performance reports are optional. Section Engineers may submit year-end reports if there is justification (such as poor work performance on a multi-year project).

The forms should be submitted to the Prequalification and Compliance Branch by the Division of Construction field liaison. The Division of Construction field liaison ensures that all Contractor Performance Evaluations for a project have been received prior to formal acceptance of the project and then delivers the Contractor Performance Evaluations to the Division of Construction Procurement.

**COMPLETION OF  
CONTRACTOR'S  
PERFORMANCE REPORT  
(CONT.)**

The average results for a contractor (in the year prior to application for renewal of prequalification) will be used to determine the percent factor by which to multiply the maximum capacity rating in order to determine the eligibility rating. Contractors may appeal the ratings by completing the TC 14-20 form, *Appeal Form-Contractor Performance Evaluation* ([Exhibit 9001](#)) and submitting it to the Chief District Engineer within 10 days.

**DETERMINATION OF  
CAPACITY RATING**

Upon reviewing the application, the maximum capacity rating, performance reports, and other pertinent information, the Construction Prequalification Committee shall determine the maximum amount of incomplete work permitted the applicant at any one time. The State Highway Engineer shall issue a *Certificate of Eligibility* in accordance with the committee's determination.


**RENEWAL OF  
CERTIFICATES OF  
ELIGIBILITY**

Unless suspended or revoked, each *Certificate of Eligibility* shall terminate no later than 120 days after the end of the applicant's fiscal year. Of this time period, 90 days are allotted to permit the applicant to file a new application; the remaining 30 days are for the review and the issuance of a new *Certificate of Eligibility*.

**REQUEST FOR  
RECONSIDERATION**

The Construction Prequalification Committee shall review a request for reconsideration and shall notify the applicant by first-class mail of its determination within 30 days after the receipt of the request, except as otherwise provided.



<p>CONSTRUCTION</p>  <p>Procurement</p>	<p>Chapter</p> <p>PREQUALIFICATION</p>
	<p>Subject</p> <p>Revocation of Certificate of Eligibility</p>

**REVOCAION OF  
CERTIFICATE OF  
ELIGIBILITY**

Written documentation outlining the evidence of the certificate holder's failure to perform satisfactorily or to adhere to the laws, rules, regulations, and specifications applicable to a contract or subcontract shall be presented to the Construction Prequalification Committee for review and be retained by the committee.

Upon receipt of information or evidence that the holder of a TC 14-2 form, *Certificate of Eligibility* ([Exhibit 9005](#)) has failed to perform satisfactorily or to adhere to applicable laws, rules, regulations, and specifications, the Construction Prequalification Committee may suspend or revoke the *Certificate of Eligibility*.

The committee shall review and discuss the documentation. On the basis of the evidence presented, a motion regarding appropriate action shall be made, and a vote shall be taken and recorded.

**PENALTIES**

If the committee votes to apply a penalty, its application shall be as follows:

- First offense—90 days suspension of bidding privileges
- Second offense—180 days suspension of bidding privileges
- Third offense—1 year suspension of bidding privileges
- Fourth offense—3 years suspension of bidding privileges

Additional penalties may include a reduction in total value of uncompleted prime contract work allowed by the Department of Highways (eligibility) and may be in conjunction with any other penalties. Violations shall be considered active and open violations for 3 years.

**NOTICE OF  
SUSPENSION**

The notice shall detail the reasons for the suspension and state the date of revocation of the certificate. The notice will be sent to the certificate holder by both certified mail and first-class mail. The revocation shall become final unless the certificate holder submits a written request for a hearing within 10 days after receipt of the request.

The penalty shall be effective upon receipt of notice of suspension. If the committee receives from the certificate holder a request for reconsideration or administrative hearing, the committee shall defer the penalty pending the outcome of the reconsideration or administrative hearing.


**RECONSIDERATION OR  
ADMINISTRATIVE  
HEARING**

The certificate holder shall send the request for reconsideration or administrative hearing to the Division of Construction Procurement.

The Construction Prequalification Committee shall set a date for an informal hearing where the certificate holder may submit information and evidence pertinent to the revocation.

Within 10 days after the informal hearing, the Construction Prequalification Committee shall advise the certificate holder of its findings. Appeals from the action of the committee shall be made according to statutory provisions.




<p>CONSTRUCTION</p>  <p>Procurement</p>	<p><i>Chapter</i></p> <p>PREQUALIFICATION</p>
	<p><i>Subject</i></p> <p>Affirmation of Contractors Eligible to Bid</p>

**POLICY**

The Prequalification and Compliance Branch of the Division of Construction Procurement shall provide employees selling bid proposals with current listings of qualified bidders and the types of work upon which they are qualified to bid.





<p>CONSTRUCTION</p>  <p>Procurement</p>	<p><i>Chapter</i></p> <p>PREQUALIFICATION</p>
	<p><i>Subject</i></p> <p>Listing of Certificate of Eligibility Holders</p>

**POLICY**


The Prequalification and Compliance Branch of the Division of Construction Procurement shall prepare (at least monthly) a list of individuals and organizations possessing a TC 14-2 form, *Certificate of Eligibility* (**Exhibit 9005**). The listing shall disclose the name and address of each certificate holder and its principal officers.

[KRS 176.210](#) permits such lists to be prepared. However, publication of the amounts of eligibility is restricted and considered classified information.

The Division of Construction Procurement’s website lists certificate holders at:

<http://transportation.ky.gov/Construction-Procurement/Documents/Prequalified%20Contractors%20List.pdf>



<p style="text-align: center;"><b>CONSTRUCTION</b></p>  <p style="text-align: center;"><b>Procurement</b></p>	<p><i>Chapter</i></p> <p style="text-align: center;">PROPOSAL PREPARATION &amp; BIDDING PROCESS</p>
	<p><i>Subject</i></p> <p style="text-align: center;">Preparation of Proposal</p>

**TYPES OF PROJECTS**

The Advertising, Proposals, and Awards Branch (APAB) will input six-year plan projects in AASHTOWare as they are scheduled in the monthly letting. APAB inputs other projects, such as maintenance projects with plans, as they are approved.

APAB will review the bid item codes and bid items for these projects and will request bid item codes for new items.

**ITEMS THAT MAY  
BE INCLUDED**

The following items will be included as necessary (as determined by the APAB):


- Water Quality Notes
- Department of Army Permits
- Right-of-Way Notes
- Utility Notes
- Communicating All Promises (CAP) Note
- Disadvantaged Business Enterprises
- Trainee Notes (types of work for which eligibility is desired)

**APPLICABLE  
DOCUMENTS**

APAB includes the following when warranted:

- Special Notes
- Railroad Notes
- Best Management Practices (BMP) and Notice of Intent (NOI)
- Special Provisions
- Standard Drawings
- Sepias
- Pavement Selection
- Paving Alternates
- Pipe Alternates
- Material and Description Summaries



<p><b>CONSTRUCTION</b></p>  <p><b>Procurement</b></p>	<p><i>Chapter</i></p> <p>PROPOSAL PREPARATION &amp; BIDDING PROCESS</p>
	<p><i>Subject</i></p> <p>Proposal Final Preparations &amp; Posting</p>

**PROPOSAL  
PREPARATION**

After receiving the proposal from the appropriate division, the Division of Construction Procurement attaches appropriate notes, wage rates, and applicable special provisions and then checks for errors and uniformity.


Prior to advertising for bids, the Division of Construction Procurement will ensure all necessary right of way for the project has been obtained. Right of Way Certifications that are Condition 2 or 3 will be documented in writing to upper-level management requesting guidance on how to proceed.

**BID PROPOSALS**

The Division of Construction Procurement posts bid proposals by letting date online at:

<http://transportation.ky.gov/Construction-Procurement/Pages/default.aspx>



<p>CONSTRUCTION</p>  <p>Procurement</p>	<p><i>Section</i></p> <p>FEDERALLY FUNDED PROJECTS</p>
	<p><i>Subject</i></p> <p>Projects with FHWA Full Oversight</p>

**SUBMITTAL**

**DEADLINES**

The Division of Highway Design shall submit final plans to the Division of Construction Procurement 7 weeks prior to the letting date on projects requiring a Plans, Specifications, and Estimates Branch (PS&E) submission (CP-301).

**DELIVERY TO**

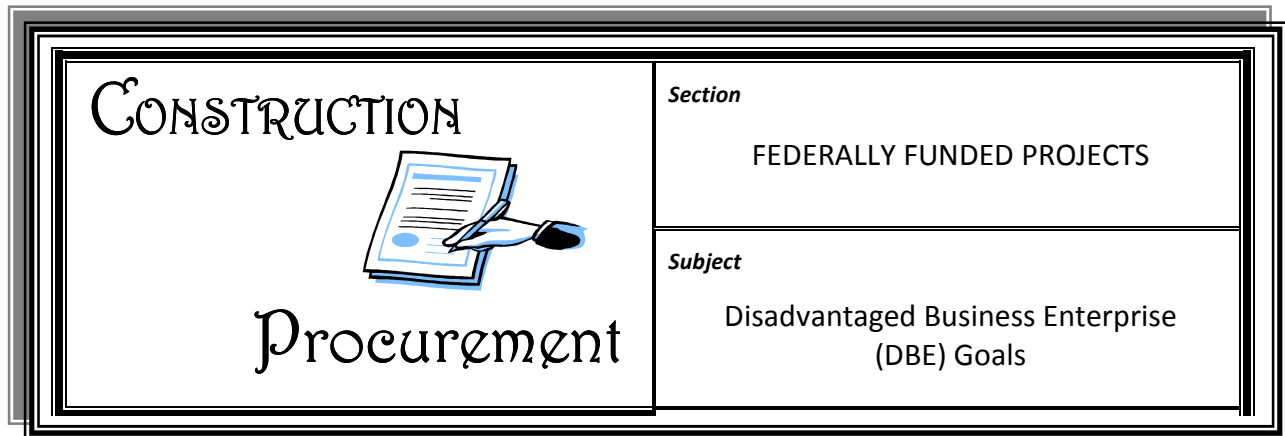
**FHWA**

The PS&E Branch develops a detailed breakdown by types of work, structures, funding, and county. The PS&E package includes:

- Three sets of reduced prints
- Four copies of the detailed estimate
- One proposal Communicating All Promises (CAP) Note
- A letter requesting authorization from FHWA

Five weeks prior to the letting, the PS&E Branch shall submit the PS&E package to the applicable FHWA Project Delivery team member assigned to the district where the project is located.





**PROJECT DBE GOALS** All federally funded projects will have a Disadvantaged Business Enterprise (DBE) goal that represents a percentage of the contract amount to be completed by a KYTC-certified DBE contractor or supplier. The DBE Goal Setting Committee will determine the goals prior to the advertisement of the letting. The recommendations will be presented to the State Highway Engineer for approval and then included in the bid proposals.

**DBE GOAL SETTING  
COMMITTEE**

Approximately two weeks before advertisement of the letting, the Division of Construction Procurement will submit to the DBE Goal Setting Committee a report of the bid items and a field estimate of those items for all federal projects in a letting. Each member reviews the report to determine a reasonable DBE goal by considering the following:

- Bid items on the project
- Project size
- Project type
- Project location

The committee will meet approximately one week before advertisement of the letting to discuss the projects and to make a final determination of the recommended goals that will be presented for the State Highway Engineer's approval.

The DBE Goal Setting Committee consists of representatives from the Office of Civil Rights and Small Business Development, Division of Construction Procurement, and Division of Construction. All members require approval by the State Highway Engineer.


**SHE APPROVAL**

The committee will send a memorandum with a DBE goal for each project and an overall goal for the letting to the State Highway Engineer for approval. Approved goals will be incorporated by the Division of Construction Procurement in the proposal preparation before advertisement of the letting.

**DOCUMENTATION**

All documents discussed above shall be placed in the letting file.



<p>CONSTRUCTION</p>  <p>Procurement</p>	<p><i>Chapter</i></p> <p>PROPOSAL PREPARATION &amp; BIDDING PROCESS</p>
	<p><i>Subject</i></p> <p>Changes to Contract Documents</p>


**POLICY**

After the notice to contractor and proposals have been posted to the website and plan sales have begun, the Plans, Specifications, and Estimates Branch will review and make all changes to the official documents by addendum of the projects designated with a contract ID of 1# - 1###. The revised documents will be sent to the Advertising, Proposals, and Awards Branch.

The Division of Construction Procurement will provide all purchasers of plans and proposals with revised documents and post the addendum online at:

<http://transportation.ky.gov/Construction-Procurement/Pages/default.aspx>  
(then select the letting)



<b>CONSTRUCTION</b>  <b>Procurement</b>	<i>Chapter</i> PROPOSAL PREPARATION & BIDDING PROCESS
	<i>Subject</i> Nonrecurring Bid Items

**POLICY**

The Plans, Specifications, & Estimates Branch shall maintain the database of nonrecurring bid items. Requests for new nonrecurring bid items shall be submitted to this branch.


The branch will determine if an existing nonrecurring item can be utilized. If not, the item number will be created with the next 5-digit sequential number in the series. The item number will have 2 alpha characters followed by five digits. The first alpha character will be either “E” for English units or “N” for neutral units. The second will be based on where the details for the item are located using the following:

- S—Standard Specification (followed by the three-digit spec number)
- R—Supplemental Specification (followed by the three-digit spec number)
- N—Special Note
- P—Special Provision (followed by the provision number)
- C—Contract Note
- D—Details in plans

For example, “23158ES505 detectable warning” refers to details in Standard Specification 505.





<p style="text-align: center;">CONSTRUCTION</p>  <p style="text-align: center;">Procurement</p>	<p><i>Chapter</i></p> <p style="text-align: center;">PROPOSAL PREPARATION &amp; BIDDING PROCESS</p>
	<p><i>Subject</i></p> <p>Advertising Bids &amp; Notification of Changes</p>

**ADVERTISING**

The Division of Construction Procurement shall advertise for bids to be received on construction projects:

- Not less than 21 days prior to opening of bids for federal-aid projects
- Not less than 7 days prior to opening of bids for 100 percent state-funded projects
- At least one time in one of the leading statewide newspapers
- Online at:


<http://transportation.ky.gov/Construction-Procurement/Pages/default.aspx>

**CHANGES ON PLANS  
OR PROPOSALS**

The Division of Construction Procurement shall notify all plan holders and purchasers of proposals of any changes that are made on plans or proposals by posting under the particular letting online at:

<http://transportation.ky.gov/Construction-Procurement/Pages/default.aspx>



<p>CONSTRUCTION</p>  <p>Procurement</p>	<p><i>Chapter</i></p> <p>PROPOSAL PREPARATION &amp; BIDDING PROCESS</p>
	<p><i>Subject</i></p> <p>Procedure for Advertising Projects</p>

**NOTICE TO  
CONTRACTORS**

The Division of Construction Procurement prepares an authority to advertise for the State Highway Engineer's approval. Prior to the inclusion of a federal project in the authority, the Division of Construction Procurement will verify with the Division of Program Management that they have an approved federal FPA-1 form, *Federal-Aid Program Data* (**Exhibit 9025**) for that project. The FPA-1 form is commonly referred to as a PR-1.


Upon receipt of an approved official authority to advertise, the Division of Construction Procurement produces the *Notice to Contractors* (**Exhibit 9014**). The notice will include all projects in the letting, instructions for bidders to submit a bid, and directions to pertinent information.

**INTERNET  
ADVERTISING**

The Division of Construction Procurement posts a copy of each *Notice to Contractors* online at:

<http://transportation.ky.gov/Construction-Procurement/Pages/default.aspx>  
(under the particular letting)




<p>CONSTRUCTION</p>  <p>Procurement</p>	<p><i>Chapter</i></p> <p>PROPOSAL PREPARATION &amp; BIDDING PROCESS</p>
	<p><i>Subject</i></p> <p>Payment to Newspapers</p>

**POLICY**

The publisher shall submit to the Division of Construction Procurement a copy of each newspaper advertisement along with one copy of the company's invoice. The division shall prepare the agency miscellaneous quick pay in eMARS and forward the quick pay with copies of the invoices and tear sheet to the Division of Accounts.

After receiving all necessary documentation, the Division of Accounts will process the payment.



<p>CONSTRUCTION</p>  <p>Procurement</p>	<p><i>Chapter</i></p> <p>PROPOSAL PREPARATION &amp; BIDDING PROCESS</p>
	<p><i>Subject</i></p> <p>Bidder Registration</p>

**PREPARATION OF  
THE BIDDER  
REGISTRATION FORM**

The Advertising, Proposals, and Awards (APA) Branch prepares a *Bidder Registration* form (**Exhibit 9021**) that includes all projects in the letting and then posts it online at:

<http://transportation.ky.gov/Construction-Procurement/Pages/default.aspx>

**REGISTERING AS AN  
ELIGIBLE BIDDER**

The Division of Construction Procurement shall register bidders who submit a *Bidder Registration* form and who are qualified to perform the major type of work being advertised, as designated on the *Qualifications for Bidders* (**Exhibit 9022**) posted for each letting on "<http://transportation.ky.gov/Construction-Procurement/Pages/default.aspx>" (by selecting the letting and then "Qualifications for Bidders" under "Publications").

Bidders must be registered for each project on which they intend to submit a bid.

Registration forms will be accepted up to 3:00 p.m. prevailing time on the day before the letting.

**VERIFICATION OF  
REQUESTS TO BE AN  
ELIGIBLE BIDDER**

Bidders requesting to be registered shall be verified to be prequalified for the work types of the project on which they want to bid. If they are prequalified for the work types of the project, then the bidders will be denoted as such in the Letting and Award Software (LAS).

**LISTING OF  
REGISTERED  
BIDDERS**

The Division of Construction Procurement will make available to the public a listing of all contractors who have registered. The division


updates this listing periodically before the division opens the bids.

**LISTING OF  
REGISTERED  
BIDDERS (CONT.)**

This information will be available:

- In the reception area of the Division of Construction Procurement
- Online at "<http://transportation.ky.gov/Construction-Procurement/Pages/default.aspx>" (by selecting the letting and then selecting "Eligible Bidders List")
- On the Bid Express On-line Bidding Exchange



<p>CONSTRUCTION</p>  <p>Procurement</p>	<p><i>Chapter</i></p> <p>PROPOSAL PREPARATION &amp; BIDDING PROCESS</p>
	<p><i>Subject</i></p> <p>Project Bid Item List</p>

**PREPARING  
BID ITEM LIST**

After officially advertising a project for bids to be received, the Division of Construction Procurement uses copies of proposals and roadway plans as a basis for preparing the project pay estimate package ([Exhibit 9023](#)).

The division applies the following data to pay estimates:

- Project number
- District and county
- Bid Item List ([Exhibit 9004](#))
- Type of construction liquidated damages based on contract amount, unless specified
- Road name and subsection descriptions
- Item description and length of project (miles)
- Unit and type code (subsection work type)
- Total quantity and contract amount (total)
- If a project covers more than one county, a breakdown of quantities showing total amount of work to be performed in each county
- If a project has more than one project number, a breakdown of quantities showing total amount of work to be performed on each project number
- If a project includes more than one account number, a breakdown of each account number, with each breakdown cross-referenced to the project
- Breakdown as to the cost of each structure 20 feet and over in roadway length

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**PREPARING BID  
ITEM LIST (CONT.)**

- Breakdown or grouping of specialty items (for example, lighting and landscaping)
- The successful contractor's unit price bid on each item, taken from the computer tabulation when bids have been opened and the project has been officially awarded
- Successful contractor's name, address, and vendor number, taken from the contractor's bid packet
- Estimated unit prices extended and totaled to match total contract amount
- Application of the account number and encumbrance number upon approval of a TC 14-32 form, *Encumbrance Form (Exhibit 9008)*, by the Division of Accounts

**SUBMITTING  
ENCUMBRANCE  
FORM**

After a project is awarded, the Division of Construction Procurement submits the TC 14-32 form to the Division of Accounts for approval. When the confirmation of a contract notice to proceed has been issued and the encumbrance form is approved, the effective date of the notice to begin work and the specified date of completion are applied. The contract is then electronically passed to the SiteManager system in the Division of Construction.



<p>CONSTRUCTION</p>  <p>Procurement</p>	<p><i>Chapter</i></p> <p>PROPOSAL PREPARATION &amp; BIDDING PROCESS</p>
	<p><i>Subject</i></p> <p>Engineer's Estimate</p>

**PROCEDURE**


The Plans, Specifications, & Estimates Branch (PS&E) will prepare the engineer's estimate (EE) for each highway project in the bid lettings. PS&E will obtain all contract documents available to eligible bidders when advertised for use in determining unit costs. The EE represents fair and reasonable costs to construct a project with current material, labor, and equipment costs including overhead and profit. Cost-based, historical price-based, and/or a hybrid of the two may be used to determine unit prices. The EE on all projects must be completed prior to the letting.

**PUBLIC  
ANNOUNCEMENT  
OF EE**

PS&E will enter the EE in the Proposal and Estimate System (PES) prior to the letting. An engineer's estimate summary produced by the Letting and Award System (LAS) will be delivered to the director of Construction Procurement at the letting location after the deadline for bid submittals. These EEs will be used for the public announcement as per [23 CFR 635.113](#) and [KRS 176.080](#).






<p>CONSTRUCTION</p>  <p>Procurement</p>	<p><i>Chapter</i></p> <p>PROPOSAL PREPARATION &amp; BIDDING PROCESS</p>
	<p><i>Subject</i></p> <p>Confidentiality of Engineer's Estimate</p>

**POLICY** The engineer's estimate (EE) is confidential information and shall not be released to anyone prior to the letting without written authorization from the State Highway Engineer and the Office of Legal Services. The EE shall be kept in a secure location and only accessible to the estimating staff in the Plans, Specifications, & Estimates Branch (PS&E) and the Director of the Division of Construction Procurement.

After reading the EE at the letting, the unit prices shall remain confidential and shall not be released to anyone without written authorization from the State Highway Engineer and the Office of Legal Services.

Any estimator requested to provide the EE without the required written authorization shall immediately notify the Director of the Division of Construction Procurement. The director shall then notify the appropriate management personnel of the unauthorized request.



<p>CONSTRUCTION</p>  <p>Procurement</p>	<p><i>Chapter</i></p> <p>BID REVIEW &amp; AWARDING OF CONTRACTS</p>
	<p><i>Subject</i></p> <p>Opening Bids</p>

**POLICY**


Bids are submitted electronically using the Expedite software and retrieved using the Bid Express Retrieval Console (BERC) software. The bids are checked for an electronic bid bond included. If an electronic bid bond is not included, a cashier's check, certified check, or paper bid bond, TC 14-014 form, *Proposal or Bid Bond* ([Exhibit 9024](#)), must be received prior to the letting time. All bids are checked to determine if the bidder has omitted any of the following:

- A unit price for any pay item
- An amount for the entire quantity of the same pay item, except when a choice of authorized pay items is permitted
- Any required addenda

**FAILURE TO SUBMIT  
COMPLIANT BID**

If the bidder has failed to include a guaranty in an amount at least equal to the amount indicated on the proposal form or to properly prepare the bid (including the omission of any items detailed above), the bid shall be rejected and not read by the Department of Highways.



<p>CONSTRUCTION</p>  <p>Procurement</p>	<p><i>Chapter</i></p> <p>BID REVIEW &amp; AWARDING OF CONTRACTS</p>
	<p><i>Subject</i></p> <p>Information Submitted to Awards Committee</p>


**PROCEDURE**

The Plans, Specifications, and Estimates Branch (PS&E) will provide a printed document containing the project information, total bid, line-item profiles, and unit bids for all projects in the letting for Awards Committee members and designated attendees. This information is confidential and shall be disposed of properly or returned to PS&E for proper disposal.

The *General Administration and Personnel Manual* details Cabinet policy concerning confidential information ([GAP-807](#)).

[CP-404](#) details the Awards Committee membership and requirements.



<b>CONSTRUCTION</b>  <b>Procurement</b>	<i>Chapter</i> BID REVIEW & AWARDING OF CONTRACTS
	<i>Subject</i> Review of Bids

**PROCEDURE**


The Plans, Specifications, and Estimates Branch (PS&E) shall:

- Review and analyze the bids submitted by contractors

**Note:** Trns\*port BAMS/DSS may be used to assist in this analysis.

- Report potential front-loading, materially-unbalanced, and mathematically-unbalanced bids to the Awards Committee



<p>CONSTRUCTION</p>  <p>Procurement</p>	<p><i>Chapter</i></p> <p>BID REVIEW &amp; AWARDING OF CONTRACTS</p>
	<p><i>Subject</i></p> <p>Award &amp; Execution of Contracts</p>

**OVERVIEW**

Immediately after the bids are opened or retrieved, the bid prices are validated. Thereafter, the Division of Construction Procurement makes a comparison of bids based on correct summation of quantities at the unit prices bid. When an error exists in an extension, the correct extended unit price shall govern.

The Commissioner of the Department of Highways reserves the right to accept or reject any or all bids and to waive minor technicalities as may be deemed in the best interest of the Commonwealth.

**IRREGULAR PROPOSALS**

The department will consider any individual proposal and may reject it for any of the following reasons:

- The proposal is on a form other than that furnished by the Cabinet or the form is altered or any part thereof is detached
- There are unauthorized additions, conditional or alternate bids, or irregularities of any kind that tend to make the proposal incomplete, indefinite, or ambiguous as to its meaning
- The bidder adds any provisions reserving the right to accept or reject an award or to enter into a contract pursuant to an award

**DISQUALIFICATION OF BIDDERS**

Any of the following reasons may be considered sufficient for disqualification of a bidder and rejection of the bidder's proposal or proposals:

- More than one proposal for the same work from an individual, firm, or corporation
- Evidence of collusion among bidders

**Note:** Participants in collusion will receive no recognition as bidders for future work until they have been reinstated as qualified bidders.

**DISQUALIFICATION  
OF BIDDERS (CONT.)**

- Any failure to comply with the provisions of Article 102.07 or Article 102.08 of the *Standard Specifications for Road and Bridge Construction*
- Insufficient eligibility to accept an award

**REJECTED BIDS &  
DISQUALIFIED BIDDERS**

The Director of the Division of Construction Procurement will maintain a list of rejected bids and disqualified bidders for each letting.

**REVIEW FOR DEBARRED  
OR SUSPENDED  
CONTRACTORS OR  
INDIVIDUALS**

Prior to the awards meeting, the Advertising, Proposals, and Awards (APA) Branch:

- Reviews the Excluded Parties List System (EPLS) website (<https://www.epls.gov/epls/search.do>) for low bidders on projects who have been suspended or debarred by the federal government
- Checks the EPLS for officers of the low bidders' companies
- Prepares a report of the findings and sends them to the director prior to the awards meeting
- Places a copy of the report in the letting files

**REVIEW FOR DBE  
PARTICIPATION ON  
FEDERALLY FUNDED  
PROJECTS**

Prior to the Awards Committee meeting, the director's office will:

- Send notice to apparent low bidders requesting a Disadvantaged Business Enterprise (DBE) plan
- Review submitted DBE plans for conformance
- Forward submitted DBE plans to FHWA

**AWARDS  
COMMITTEE**

The Awards Committee shall meet to review and analyze bids submitted to the Division of Construction Procurement. The committee will make a recommendation to award or reject each project in a letting to the appointed authority of the Department of Highways.

**AWARDS****COMMITTEE (CONT.)**

The committee members shall include:

- State Highway Engineer (Chair and voting member)
- Deputy State Highway Engineer for the Office of Project Delivery and Preservation (voting member)
- Deputy State Highway Engineer for the Office of Project Development (voting member)
- Additional voting members at the discretion of the State Highway Engineer
- Director of the Division of Construction Procurement, or designee (nonvoting member)
- Branch Manager of the Plans, Specifications, and Estimates Branch in the Division of Construction Procurement, or designee (nonvoting member)

Other attendees may include representatives from the Office for Civil Rights and Small Business Development (OCRSBD), the Internal Audits Branch in the Division of Audit Services, and the Federal Highway Administration (FHWA). Committee members may request additional attendees to attend on a letting- or project-specific basis. Other attendees will be in an advisory role.

Any discussion or material with or about individual unit bid prices is confidential.

**CERTIFICATE OF  
AUTHORITY FOR  
FOREIGN ENTITIES**

As per [KRS 176.085](#), all foreign entities shall provide a certificate of authority from the Kentucky Secretary of State within 14 days of the bid or proposal opening. Once a certificate is provided initially for a bidder, the division will check the Secretary of State's website, "<https://app.sos.ky.gov/ftsearch/default.aspx>" to verify status is active and standing is good for subsequent bids.

**AWARDS COMMITTEE  
MEETING**

The meeting shall be tentatively scheduled for the second Tuesday after the letting. Committee members shall thoroughly review the bids prior to the meeting. Any items of concern should be addressed prior to the meeting to permit time to adequately research and allow for a more efficient meeting.

**AWARDS COMMITTEE  
MEETING (CONT.)**

The Plans, Specifications, & Estimates (PSE) Branch shall conduct a post-letting review to check for materially and mathematically unbalanced bids. The bid tabs will be distributed as soon as possible after the contractors' electronic bids have been validated by the paper copy submitted. The PSE Branch distributes the bid tabs to the committee members.

The committee should consider the following factors when reviewing the bids submitted for a project:

- Comparison of the bids against the engineer's estimate (EE)
- Number of bids submitted
- Distribution or range of bids received
- Identify the geographic location of the bidders
- Potential savings if the project is re-advertised
- Comparison of bids against other recent bids for the same item
- Urgency of the project
- If a previous let project, number of times let
- Current market conditions
- Unbalancing of bids
- Comparison of unit bids versus EE unit bids
- Funding
- Any other factors deemed important by the Cabinet

Special situations may require additional consideration, such as:

- Safety projects that are to correct extremely hazardous conditions where the traveling public may be in danger
- Emergency repair or replacement of damaged facilities
- Projects to open traffic on otherwise completed facilities
- Projects critical to a phased construction schedule, where delay would mean substantial impact on the completion date of the facility



**AWARDS COMMITTEE****MEETING (CONT.)**

There may be errors in the engineer's estimate revealed while reviewing the contractors' bids. Revisions to the engineer's estimate after the letting shall be made only if there is proper justification. The revised estimate and bid tabs will be provided by the PSE Branch prior to the awards meeting.

Committee meeting minutes are kept by the Division of Construction Procurement. After the meeting, the minutes are typed, approved by the State Highway Engineer, and filed.

**AWARD OF  
CONTRACT**

Unless the Department of Highways rejects all bids, the contract will be recommended for award to the lowest responsible bidder whose proposal complies with the requirements of laws, regulations, and specifications relating to the project. Award will normally be made within 10 working days after the date of receiving bids unless it is deemed best to hold the proposals of the two low bidders for a period not to exceed 60 days for final disposition of award. [Exhibit 9015](#) shows a sample award notice.

**FHWA FULL-OVERSIGHT  
PROJECTS**

FHWA full-oversight projects will require concurrence in award or rejection of a project. The APA Branch will send a concurrence letter along with certified bid tabs to FHWA. FHWA will make their endorsement on the concurrence letter and return to the APA Branch for the files. Supporting documentation for award will be provided, as required by FHWA, by the TEBM of the PSE Branch. To avoid potential delay in the contract award, FHWA should make every effort to attend the Awards Committee meeting and provide concurrence at that time.

**CANCELLATION  
OF AWARD**

The Cabinet reserves the right to cancel the award of any contract at any time before the signing of the contract by all parties without any liability accruing against the Cabinet.

**RETURN OF PROPOSAL  
GUARANTIES**

The proposal guaranties (certified checks or cashier's checks) of all except the two lowest bidders will be returned within 5 days after the proposals have been checked, tabulated, and compared.

The proposal guaranty of the lowest bidder will be held. If deemed appropriate in the judgment of the Commissioner of the Department of Highways, the proposal guaranty of the second-lowest bidder may be held until the project is awarded and the contract and bond of the successful bidder have been executed and approved.

**RETURN OF PROPOSAL****GUARANTIES (CONT.)**

If no award is made within 60 days, the department shall return all proposal guaranties (certified checks or cashier's checks) to the bidders. The department will retain bid bonds as a part of the bidder's file.

**EXECUTION OF****CONTRACT**

The bidder to whom the contract is awarded will execute and file with the department:

- Signed contract
- Approved performance bond and payment bond
- Satisfactory evidence of required liability insurance and workers' compensation insurance
- Satisfactory evidence of compliance with Article 102.15 of the applicable *Standard Specifications for Road and Bridge Construction*
- Anticipated earning schedule, if applicable
- Three copies of the TC 14-27 form, *Authority to Sign (Exhibit 9003)*, and the TC 14-309 form, *Trainee Classification Form and Notice of Selection of Program (Exhibit 9018)*, if applicable

The bidder shall submit these documents to the Division of Construction Procurement within 15 calendar days after the date of receiving the notice of award unless otherwise provided in the proposal or notified in writing by the department. The contract and the bonds will be executed on the contract form furnished by the department. Within 30 calendar days after receipt of the above-mentioned documents, the Commissioner of the Department of Highways will make final disposition of the contract.

Upon receipt of the executed contract documents from the contractor, the documents are checked for signatures, witnesses, bonding seal, insurance coverage, and power of attorney for bondsman.

Powers of attorney are checked to determine if they have been properly executed and filed in the Franklin County Court Clerk's office. Two copies of the executed contract and a copy of the power of attorney are submitted to the Office of Legal Services for approval as to form and legality.

**CP-405** and **CP-406** detail the procedures for the execution of contract documents.

**APPROVAL OF  
CONTRACT**


A contract is not binding until the Commissioner of the Department of Highways, or authorized representative, has executed it and the Division of Accounts has certified it as to the availability of sufficient funds.

**FAILURE TO  
EXECUTE CONTRACT**

Failure to comply with Article 103.06 of the *Standard Specifications for Road and Bridge Construction* shall be just claim for the annulment of the award. It is understood by the bidder and the Commissioner of the Department of Highways that, in the event of the annulment of the award, the guaranty deposited with the proposal will be forfeited as agreed and liquidated damages sustained. As provided by [KRS 176.080](#), at this point the Commissioner of the Department of Highways may elect to do any of the following:

- Make award to the next-lowest responsible bidder
- Re-advertise the work
- Have work performed by the Cabinet



<p>CONSTRUCTION</p>  <p>Procurement</p>	<p><i>Chapter</i></p> <p>BID REVIEW &amp; AWARDING OF CONTRACTS</p>
	<p><i>Subject</i></p> <p>Officially Awarding Contracts</p>

**PROCEDURE**

When the Awards Committee recommends that a project be awarded, the Division of Construction Procurement prepares an Official Order ([Exhibit 9015](#)) and forwards it to:

- Division of Accounts for certification as to funds available
- Commissioner, Department of Highways, for official action
- Division of Accounts for an Official Order number

The Advertising, Proposals, and Awards (APA) Branch distributes the approved Official Order as follows:


- Division of Construction 1 copy
- Division of Program Management 1 copy
- Division of Highway Design 2 copies
- Division of Structural Design 1 copy
- Office of Public Affairs 1 copy
- Deputy State Highway Engineer for Project Development 1 copy
- Division of Maintenance 3 copies
- Office of Budget and Fiscal Management 1 copy
- Division of Accounts original document
- Legislative Research Commission 1 copy
- Federal Highway Administration (federal) 1 copy
- Kentucky Association of Highway Contractors 1 copy
- Division of Construction Procurement 5 copies

**FHWA**

**REQUIREMENTS**

For certain federal-aid projects, the Department of Highways must obtain the concurrence of the Federal Highway Administration prior to award by the Commissioner of the Department of Highways. The APA Branch sends a letter, accompanied by a noncollusion affidavit ([Exhibit 9010](#)), to the Federal Highway Administration requesting concurrence.



<p>CONSTRUCTION</p>  <p>Procurement</p>	<p><i>Chapter</i></p> <p>BID REVIEW &amp; AWARDING OF CONTRACTS</p>
	<p><i>Subject</i></p> <p>Execution of Contract Documents</p>

**PROCEDURE**

When a project has been officially awarded, the Division of Construction Procurement:

- Prepares two contracts and bonds with a letter of transmittal
- Sends the contracts, bonds, and original letter of transmittal to the contractor for execution
- Prior to execution, distributes the transmittal letter as follows:
  - ◆ Chief District Engineer 1 copy
  - ◆ Division of Accounts 1 copy
  - ◆ Division of Construction Procurement (contract folder) 1 copy

**PROCESSING  
CONTRACT  
DOCUMENT**

After the contractor and the Cabinet have properly executed the contract document, the processing of the document is as follows:

1. The Division of Construction Procurement prepares TC 14-32, *Encumbrance Form* ([Exhibit 9008](#)), for each project after the project authorization from the appropriate office has been received.
2. Two copies of the completed TC 14-32 is forwarded to the Division of Accounts for approval of the encumbrance for each account number on the contract document.
3. Upon receipt of the project authorization, the Division of Construction Procurement issues a notice to begin work ([Exhibit 9013](#)).
4. Upon receipt of the approved encumbrance from the Division of Accounts, the Division of Construction Procurement passes the project in SiteManager system to the Division of Construction.

**DISTRIBUTION OF  
CONTRACT DOCUMENT**

**AFTER FINAL  
EXECUTION**

After final execution of the contract document, the Division of Construction Procurement distributes copies as follows:

- Contractor 1 copy
- Division of Construction Procurement Original document
- Division of Accounts Original document
- Chief District Engineer 1 copy
- Bonding companies 1 copy
- Federal Highway Administration (if federal aid) 1 copy
- Division of Construction 1 copy

**DISTRIBUTION OF  
NOTICE TO BEGIN  
WORK**


The Division of Construction Procurement distributes copies of the notice to begin work as follows:

- Contractor Original document
- Chief District Engineer 1 copy
- Bonding company 1 copy
- Federal Highway Administration (if federal aid) 1 copy
- Division of Construction 1 copy
- Division of Materials 1 copy
- Division of Accounts 1 copy
- Division of Construction Procurement 1 copy
- Kentucky Association of Highway Contractors 1 copy

**AUTHORITY TO SIGN**

A TC 14-27, *Authority to Sign* ([Exhibit 9003](#)), will be required to be kept on file for every contractor. The authority will be in effect for all persons listed on the form until an officer of the company submits a new TC 14-27.



<p><b>CONSTRUCTION</b></p>  <p><b>Procurement</b></p>	<p><i>Chapter</i></p> <p>BID REVIEW &amp; AWARDING OF CONTRACTS</p>
	<p><i>Subject</i></p> <p>Rejection of Project</p>

**PROCEDURE**

When the Awards Committee recommends that a project be rejected, the Advertising, Proposals, and Awards Branch:

1. Prepares an Official Order (**Exhibit 9016**) and submits it to the Commissioner of the Department of Highways for approval
2. Sends the approved Official Order to the Division of Accounts for an official order number
3. Notifies the contractor by letter, with a copy of the Official Order attached, that the project has been rejected


**DISTRIBUTION OF  
OFFICIAL ORDER FOR  
REJECTION OF  
PROJECT**

The Advertising, Proposals, and Awards Branch distributes the Official Order as follows:

- Division of Construction 1 copy
- Division of Program Management 1 copy
- Division of Highway Design 2 copies
- Division of Structural Design 1 copy
- Office of Public Affairs 1 copy
- Deputy State Highway Engineer for Project Development 1 copy
- Division of Maintenance 3 copies
- Office of Budget and Fiscal Management 1 copy
- Division of Accounts Original document
- Legislative Research Commission 1 copy
- Federal Highway Administration (federal aid) 1 copy
- Kentucky Association of Highway Contractors 1 copy
- Division of Construction Procurement 5 copies





<p style="text-align: center;">CONSTRUCTION</p>  <p style="text-align: center;">Procurement</p>	<p><i>Chapter</i></p> <p style="text-align: center;">CONTRACT COMPLIANCE</p>
	<p><i>Subject</i></p> <p style="text-align: center;">Equal Employment Opportunity Compliance</p>

**COMPLIANCE  
PROCEDURES**

The Kentucky Transportation Cabinet follows the compliance procedures outlined in [23 CFR, Part 230, Subpart C, Appendix A](#). The Cabinet conducts equal employment opportunity (EEO) contract compliance reviews in accordance with [23 CFR, Part 230, Subpart D, Appendix A](#). This includes close cooperation with the Federal Highway Administration (FHWA).

**EEO  
ENFORCEMENT**

Compliance personnel in the Division of Construction Procurement enforce equal employment opportunity through the Federal Aid Highway Act of 1968, ([23 U.S.C. 140 \[a\]](#)) and [23 CFR 230](#). Bid proposals include directives that give sufficient data to assist contractors in meeting their equal employment opportunity obligations and keeping their companies in compliance with:

- Goals and timetables in each county for the employment of women and minorities in construction
- Efforts utilized to reach and maintain goals
- Assurance that employees are free from harassment or discrimination
- Standard Federal EEO Construction Contract Specifications ([FHWA 1273](#))

**EXPLANATION OF  
EEO PROVISIONS**

At every preconstruction conference, the district EEO aide explains in detail the EEO provisions in each federal-aid contract. This is made a matter of official record, as it is in the minutes of the conference. In the absence of the EEO aide, an affirmative action compliance officer from the Division of Construction Procurement may attend the preconstruction conference.

The *Construction Guidance Manual* ([CST-308-2](#)) further details EEO discussion requirements at the preconstruction conference.

**IMPLEMENTATION  
OF HIGHWAY  
CONSTRUCTION  
EEO PROGRAM**

District EEO aides are responsible for assisting the Division of Construction Procurement in implementing the highway construction EEO program.

**DESIGNATION OF  
EEO AIDE**

Each Chief District Engineer designates the EEO aide responsible for EEO and wage contract requirements. The EEO aide is responsible to the Division of Construction Procurement for the implementation of these contract requirements. Compliance staff of the Division of Construction Procurement relates all compliance regulations, changes, deletions, and procedures to each EEO aide.

Each EEO aide shall supply all pertinent correspondence, information, directives, etc., relative to EEO or wage-and-hour compliance to appropriate district personnel. It is also the responsibility of the EEO aide or section engineer to report to the Division of Construction Procurement any noncompliance on any project within his or her district. The *Construction Guidance Manual* ([CST-308-1](#)) further details EEO aide requirements.

**EEO POSTERS**

The compliance staff of the Division of Construction Procurement furnishes the district EEO aides with the EEO posters required to be posted in conspicuous locations at the project site before construction commences. The EEO aides provide them to the prime contractor and/or subcontractor.

**EEO  
DOCUMENTATION  
RESPONSIBILITIES**

The district EEO aide assures that the contractor, prior to the commencement of work, is knowledgeable of the frequency and adequacy of reports required to meet the documentation responsibilities in the EEO documentation guidelines.

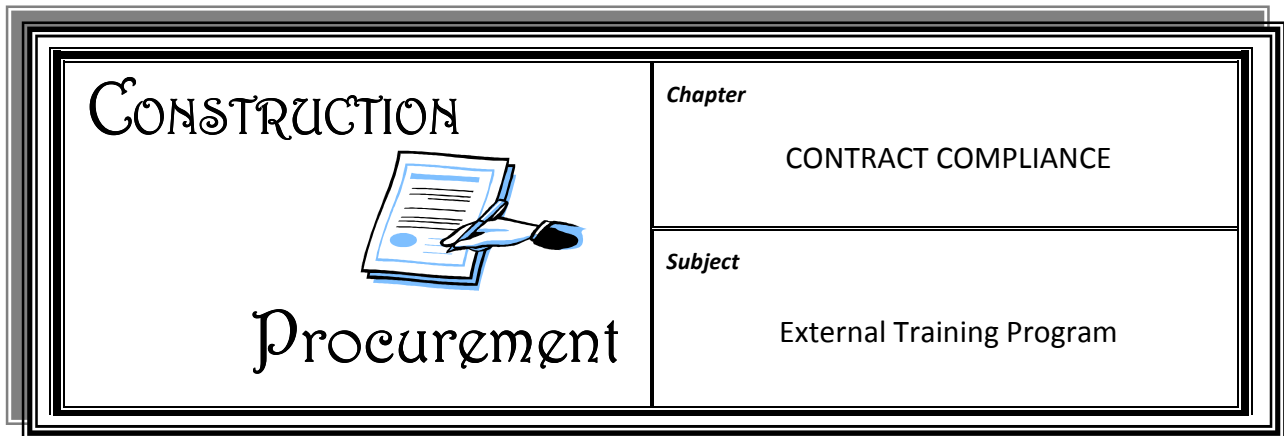
**COMPLAINTS OF  
DISCRIMINATION**

When made aware of any complaints of discrimination by any employee of a work force (employed by a contractor or subcontractor) who believes that he or she has been discriminated against because of race, creed, color, sex, disability, age, or national origin, the district EEO aide immediately notifies compliance personnel in the Division of Construction Procurement.

**COMPLAINTS OF  
DISCRIMINATION  
(CONT.)**

The Division of Construction Procurement investigates complaints of discrimination and may refer such complaints to other state and federal agencies.





**PLACEMENT OF  
TRAINEES**

23 CFR, Part 230.111 details the requirements for the placement of trainees on federal-aid construction contracts.

**TRAINEE  
DISTRIBUTION**

Before commencing work, the contractor forwards completed TC 14-309 form, *Trainee Classification Form & Notice of Selection of Program* (**Exhibit 9018**), to the Division of Construction Procurement. Compliance staff then examines the classifications listed and checks them against the classifications listed in the contract. After considering the type of federal funding involved and the number of minorities and females available in the labor market areas, compliance staff can assure proper distribution of trainees in appropriate classifications.


**TRAINEE  
APPROVAL**

The contractor must forward a completed TC 14-310, *Notice of Selection of Trainee and Trainee Work History* (**Exhibit 9012**), to the Division of Construction Procurement for approval.

**TRAINEE  
PROGRESS**

Compliance staff of the Division of Construction Procurement continually monitors the progress of all trainees by means of project site interviews and reports from contractors and engineers in the field. The TC 14-311 form, *Weekly Training Report* (**Exhibit 9020**) and the TC 14-310 form are utilized by compliance personnel in monitoring compliance with trainee special provisions.



<p>CONSTRUCTION</p>  <p>Procurement</p>	<p><i>Chapter</i></p> <p>CONTRACT COMPLIANCE</p>
	<p><i>Subject</i></p> <p>Disadvantaged Business Enterprise (DBE)</p>

**REQUIREMENTS**

The Cabinet includes in its bid proposals for all federal-aid projects the following requirements:

- A certification requiring the bidder's signature stating that if the bidder desires to subcontract any portion of the work, he or she:
  - ◆ Will take affirmative action to seek out and consider disadvantaged business enterprises as potential subcontractors
  - ◆ Has made contact with potential disadvantaged business enterprise subcontractors; has affirmatively solicited their interest, capacity, and prices; and has documented the result of such contacts


**Note:** A bidder's failure to submit this certification shall render its bid nonresponsive.

- A provision requiring the contractor to designate a liaison officer who will administer his or her disadvantaged business enterprise program

The Office for Civil Rights and Small Business Development oversees the KYTC DBE Program and provides more information on their website at:

<http://transportation.ky.gov/Civil-Rights-and-Small-Business-Development/Pages/default.aspx>



<p><b>CONSTRUCTION</b></p>  <p><b>Procurement</b></p>	<p><i>Chapter</i></p> <p>CONTRACT COMPLIANCE</p>
	<p><i>Subject</i></p> <p>Wage &amp; Hour Compliance</p>

**RESPONSIBILITY**

Compliance personnel in the Division of Construction Procurement are responsible for the administration of wage-and-hour laws and regulations as they apply to highway construction in Kentucky. The division obtains wage determinations from the U.S. Department of Labor’s “Wage Determinations OnLine” website and incorporates them into proposals.

Compliance personnel ensure that contracts include appropriate wage determinations and required provisions. This requires interpreting instructional, policy, and procedure memoranda from the U.S. Department of Labor, U.S. Department of Transportation, and Kentucky Department of Labor. Compliance personnel furnish requirements of labor standards to Cabinet personnel and to firms doing business with the Cabinet.

**LABOR STANDARDS  
PROVISIONS  
COMPLIANCE**

The Division of Construction Procurement uses the U.S. Department of Transportation’s *Labor Compliance Manual* and the U.S. Department of Labor’s *Operations Handbook* as guides to monitor labor practices of federal-aid highway construction contractors. Labor standards provisions of contracts are required by various acts (Copeland “Anti-Kickback” Act, Davis-Bacon Act and related acts, Work Hours and Safety Act of 1962, False Information Act, False Claims Act, etc.).

The district EEO aide or compliance officer (CO) explains these acts and other applicable labor regulations to contractors at preconstruction conferences.

**FEDERAL- & STATE-  
REQUIRED POSTERS  
COMPLIANCE**

Compliance personnel of the Division of Construction Procurement provide the district EEO aide the applicable posters for a construction project. At the preconstruction conference, the district EEO aide furnishes the posters to the contractor to display at the project site.

**FEDERAL- & STATE-  
REQUIRED POSTERS  
COMPLIANCE (CONT.)****➤ Required federal posters:**

- ◆ Minimum Wage Rate Schedule
- ◆ **Form FHWA 1495** (wage rate information applicable to federal-aid system projects)
- ◆ **Form FHWA 1022** (notice relating to false statements and false claims)
- ◆ Safety and Health Protection on the Job (compliance safety and health requirements)
- ◆ Kentucky Law Requires Equal Opportunity
- ◆ TC 14-314 form, *Contractor Notice* (**Exhibit 9006**) (notice to contractor for equal employment opportunity)
- ◆ TC 14-313 form, *Nondiscrimination in Employment* (**Exhibit 9011**)
- ◆ Equal Employment Opportunity Is the Law

**➤ Required State Posters:**

- ◆ Minimum Wage Rate Schedule
- ◆ Safety and Health Protection on the Job (compliance safety and health requirements)
- ◆ Kentucky Law Requires Equal Opportunity
- ◆ TC 14-314 form, *Contractor Notice* (**Exhibit 9006**) (notice to contractor for equal employment opportunity)
- ◆ TC 14-313 form, *Nondiscrimination in Employment* (**Exhibit 9011**)

**WAGE & HOUR  
REQUIREMENTS**

Compliance personnel of the Division of Construction Procurement:

- Keep a current file on rules and regulations as they apply to wage-and-hour requirements
- Meet with FHWA representatives to effect concurrence with interpretation
- Work with the U.S. Department of Labor, FHWA, and Kentucky Department of Labor in related matters
- Prepare Semiannual Labor Compliance Enforcement Reports ([Exhibit 9017](#)) for submission to the FHWA (these reports give the number of violations and total wage interviews)

**DETECTION OF  
VIOLATIONS**

When violations are detected and corrective actions are not taken, compliance personnel of the Division of Construction Procurement may recommend withholding or delaying pay estimates or suspension of work. Compliance personnel are to determine the seriousness of violations as quickly as possible and effectuate a speedy settlement in order to prevent construction delays.

**WAGE COMPLAINTS**

If employees believe mistakes in wages have occurred, they should complete a TC 14-318 form, *Wage Complaint by Employees* ([Exhibit 9019](#)) and submit it to either the Division of Construction Procurement or the Division of Construction.

**WAGE & HOUR  
REVIEWS**

Compliance personnel of the Division of Construction Procurement conduct in-depth wage-and-hour reviews on federal-aid projects covered by prevailing wage requirements. The findings of these reviews are reported on a TC 14-312 form, *Engineer's Wage & Hour Report* ([Exhibit 9009](#)). During these reviews, compliance personnel also interview employees to determine if the contractor is meeting all labor compliance requirements.

A compliance representative may accompany FHWA representatives in conducting annual in-depth wage-and-hour inspections.



**WAGE RATE****CHANGES**

Compliance personnel of the Division of Construction Procurement use the “Wage Determinations OnLine” website (<http://www.wdol.gov/>) to obtain modifications to the wage determinations and changes in wage regulations.

**ESTABLISHMENT OF****WAGE DETERMINATIONS**

The U.S. Secretary of Labor and the Wage and Hour Division determine prevailing wages and issue regulations and standards to be observed by contracting agencies.

**INVESTIGATION  
OF COMPLAINTS**

Compliance personnel of the Division of Construction Procurement investigate wage complaints and conduct hearings on violations of labor requirements.

**AUDIT OF  
PAYROLLS**

Compliance personnel of the Division of Construction Procurement:

- Audit a random number of payrolls monthly on active construction projects and specifically monitor for wage discrepancies, clerical errors, missing payrolls, failure to follow FHWA 1273 guidelines, and other discrepancies
- Receive and review the Engineer’s Wage-and-Hour Reports, which provide information relating to the wage status of the project
- Keep a record of investigations conducted and wages collected on federal-aid and state-funded projects


**LABOR CABINET  
INVESTIGATIONS**

Highway construction project payrolls may be used in labor investigations conducted by the Kentucky Department of Labor or the U.S. Department of Labor. Some of these cases may involve using the payrolls in court.

**SPECIFIC LABOR  
REQUIREMENTS**

Compliance staff of the Division of Construction Procurement monitors specific labor requirements contained in Appalachian Project Development (APD) projects (for example, 80 percent of the work force must reside in the Appalachian area).



<p><b>CONSTRUCTION</b></p>  <p><b>Procurement</b></p>	<p><i>Chapter</i></p> <p>CONTRACT COMPLIANCE</p>
	<p><i>Subject</i></p> <p>Contract Sanctions for Noncompliance</p>

**OVERVIEW**

The Transportation Cabinet has several methods of imposing sanctions upon a contractor by law, regulations, or specifications.

**WITHHOLDING OR  
DELAYING PROGRESS  
PAYMENTS**

To impose this sanction:

1. The Division of Construction Procurement may recommend to the Chief District Engineer (CDE) withholding pay estimates when contractors fail to comply with equal employment opportunity (EEO) or wage-and-hour contract requirements. When it is not feasible to notify the CDE, the division may notify the Division of Accounts directly.
2. The letter of notification of contractual requirements signed by the contractor at the preconstruction conference will be the only notice, insofar as EEO or labor compliance is concerned, before action of withholding pay estimates may be taken. The EEO aide holds record of the letter on file before any action of withholding pay estimates is made.
3. Compliance personnel of the Division of Construction Procurement shall initiate withholding action on payment of a contractor's estimate by submitting a request and the reason in writing to the CDE or Division of Accounts, as applicable. Such a request to withhold or release funds shall preferably designate a specific date rather than a specific pay estimate to initiate or cancel action.

**SUSPENSION  
OF WORK**

The Division of Construction Procurement may recommend suspension of work on projects where efforts to obtain contract compliance by withholding progress payments have failed.

**TERMINATION  
OF CONTRACT**

The State Highway Engineer may terminate contracts where contract requirements have not been met and compliance has not been obtained by withholding progress payments or suspending work. Such action shall not be taken until the contractor has been afforded an opportunity to show cause why the contract should not be terminated.

**SUSPENSION  
OF BIDDING**

The CDE or the Division of Construction Procurement may recommend suspension of bidding for a contractor failing to take corrective action.



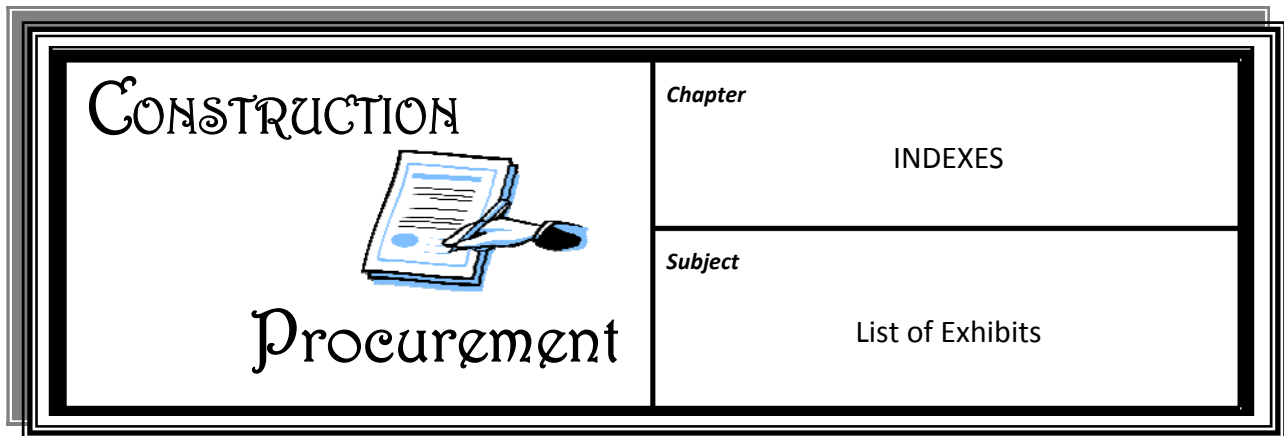




EXHIBIT #	FORM TITLE	MANUAL REFERENCE
9001	Appeal Form - Contractor Performance Evaluation, TC 14-20	203
9002	Application for Certificate of Eligibility, TC 14-1	104, 107,201,202,203
9003	Authority to Sign, TC 14-27	104, 404,406
9004	Bid Item List Example	104, 310
9021	Bidder Registration Form	309
9005	Certificate of Eligibility, TC 14-2	104, 201,203,204,206
9006	Contractor Notice, TC 14-314	104, 504
9007	Contractor Performance Evaluation, TC 14-19	104, 107,203
9008	Encumbrance Form, TC 14-32 (Example)	310, 406
9009	Engineer’s Wage & Hour Report, TC 14-312	107,504
9010	Noncollusion Certification	104, 405
9011	Nondiscrimination in Employment, TC 14-313	104, 504
9012	Notice of Selection of Trainee & Trainee Work History, TC 14-310	104, 502
9013	Notice to Begin Work (Example)	104, 406
9014	Notice to Contractors (Example)	307
9015	Official Order for Award of Project (Example)	104, 404,405
9016	Official Order for Rejection of Project (Example)	104, 407
9023	Project Pay Estimate Package (Example)	310


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<b>EXHIBIT #</b>	<b>FORM TITLE</b>	<b>MANUAL REFERENCE</b>
9024	Proposal or Bid Bond, TC 14-14 .....	401
9022	Qualifications for Bidders (Example) .....	309
9017	Semiannual Labor Compliance Enforcement Report, FHWA-1494 .....	104, 504
9018	Trainee Classification Form & Notice of Selection of Training Program, TC 14-309 .....	404,502
9019	Wage Complaint by Employee, TC 14-318 .....	504
9020	Weekly Training Report, TC 14-311 .....	502



		KENTUCKY TRANSPORTATION CABINET Department of Highways <b>DIVISION OF CONSTRUCTION PROCUREMENT</b>		TC 14-20 Rev. 04/2007 Page 1 of 2
<b>APPEAL FORM—CONTRACTOR PERFORMANCE EVALUATION</b>				
NAME <i>(contractor)</i>		Prime Contractor <input type="checkbox"/> Subcontractor <input type="checkbox"/>	CID	
ADDRESS <i>(street)</i>			PHONE	
CITY		STATE	ZIP	
PROJECT ID #	DATE <i>(completion)</i>	WORK <i>(type)</i>	COST	
An appeal <b>must be submitted</b> to the Chief District Engineer <b>within 10 days</b> after receiving the report.				
<b>Explain Basis for Appeal.</b>				
SIGNATURE		DATE		

	KENTUCKY TRANSPORTATION CABINET Department of Highways DIVISION OF CONSTRUCTION PROCUREMENT	TC 14-20 Rev. 04/2007 Page 2 of 2
<b>APPEAL FORM—CONTRACTOR PERFORMANCE EVALUATION</b>		
NAME ( <i>contractor</i> )	Prime Contractor <input type="checkbox"/> Subcontractor <input type="checkbox"/>	CID
An appeal <b>must be submitted</b> to the Chief District Engineer <b>within 10 days</b> after receiving the report.		
Explain Basis for Appeal. ( <i>continued</i> )		
SIGNATURE	DATE	

		KENTUCKY TRANSPORTATION CABINET Department of Highways DIVISION OF CONSTRUCTION PROCUREMENT			TC 14-1 Rev. 08/2010 Page 1 of 15
<b>APPLICATION FOR CERTIFICATE OF ELIGIBILITY</b>					
NAME (Name is to appear as recorded; trade names, divisions, and subsidiaries are to be identified as subordinates to the financially responsible organization.)					
ADDRESS (street/P.O. Box)	CITY	STATE	ZIP	DATE	
PHONE (including area code)	FAX (including area code)	EMAIL (primary company)			
The above named applicant applies for a Certificate of Eligibility or renewal of an existing Certificate of Eligibility which qualifies the applicant to bid upon and accept work on projects sponsored by the Kentucky Transportation Cabinet, Commonwealth of Kentucky, which requires prequalification as provided in KRS 176.130, the regulation relating to the Prequalification of Highway Contractors and in the current edition of the Standard Specifications for Road and Bridge Construction of the Kentucky Transportation Cabinet, Department of Highways.					
This application is based on the following factors. (Check appropriate designation.) KRS 176.150 authorizes the Kentucky Transportation Cabinet to obtain information requested in this application.					
ORGANIZATION <input type="checkbox"/> Individual <input type="checkbox"/> Partnership <input type="checkbox"/> Corporation <input type="checkbox"/> Limited Liability Co.	TYPE OF APPLICATION <input type="checkbox"/> New <input type="checkbox"/> Renewal <input type="checkbox"/> Interim <input type="checkbox"/> Reinstatement	TAXPAYER IDENTIFICATION # SSN Employer Identification # Tax Year Ends (m/d/y)			
Caution: Read instructions carefully before preparation of application. Return completed form to: 200 Mero Street, Frankfort, KY 40622					





KENTUCKY TRANSPORTATION CABINET  
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APPLICATION FOR CERTIFICATE OF ELIGIBILITY

TYPE OF WORK FOR WHICH CERTIFICATE OF ELIGIBILITY IS REQUIRED

Applicants seeking a certificate of eligibility must indicate herein the type(s) of work for which they desire eligibility. Applicants should request eligibility for only the type(s) of work for which they have the organization, experience, and equipment to perform.

Check only type(s) of work desired

PRINCIPAL TYPE OF WORK

- A.  Grade and Drain
- B.  Portland Cement Concrete Paving
- C. Bituminous Concrete Paving
  - C1.  Bituminous Concrete Paving, option B
  - C2.  Bituminous Concrete Paving, option A
- E. Bridge Projects
  - E1.  Bridges not more than 70' clear span
  - E2.  Bridges not more than 100' clear span
  - E3.  Bridges 100' and over clear span
  - E4.  Demolition of major bridges
  - E5.  Bridges over navigable streams
- F.  Signs
- G.  Lighting
- H.  Landscaping
- I.  Other


INCIDENTAL TYPE OF WORK (usually performed by specialized contractors/subcontractors)

- 1.  Clearing and grubbing
- 2.  Ditching and shouldering
- 3.  Bridge approaches
- 4.  Guardrail
- 5.  Fencing
- 6.  Seeding and sodding
- 7.  Dense graded aggregate base construction
- 8.  Cement concrete base construction
- 9.  Soil cement base construction
- 10.  Plant mix bank gravel base construction
- 11.  Curb and gutter
- 12.  Sidewalk
- 13.  Entrance pavement
- 14.  Paved ditch
- 15.  Culverts
- 16.  Bridge repair
- 17.  Bridge deck repair
- 18.  Bridge painting
- 19.  Steel erection
- 20.  Tying steel reinforcement
- 21.  Furnish and drive piling
- 22.  Dredging
- 23.  Hydraulic embankment construction
- 24.  Storm drainage and storm sewer
- 25.  Slurry seal
- 26.  Buildings and related construction
- 27.  Demolition







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<b>APPLICATION FOR CERTIFICATE OF ELIGIBILITY</b>					
EXPERIENCE QUESTIONNAIRE					
Active Certificate of Eligibility issued by other States or Agencies (Check here if none <input type="checkbox"/>					
STATE OR ISSUING AGENCY	ADDRESS	EXPIRATION DATE	MAXIMUM AMOUNT OF ELIGIBILITY	PRINCIPAL TYPES OF WORK	
Principal Officers, Managers, and Superintendents of the Organization (List below and attach detailed resumes.)					
INDIVIDUAL'S NAME	PRESENT POSITION OR OFFICE	YEARS OF CONSTRUCTION EXPERIENCE	MAGNITUDE & TYPE OF WORK	IN WHAT CAPACITY	
CREDIT REFERENCES	NAME	OFFICER/REPRESENTATIVE		ADDRESS	
Bank					
Material Supplier					
Equipment Supplier					
Prime Contractors					
AGENTS & REPRESENTATIVES	NAME	OFFICER/REPRESENTATIVE		ADDRESS	
Surety Company					
Auditor/Accountant					
Process Agent					
Legal Counsel					





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APPLICATION FOR CERTIFICATE OF ELIGIBILITY

FINANCIAL DATA & CERTIFICATION

1. Applicants desiring a Certificate of Eligibility in excess of \$1,000,000 shall submit a Standard Audit Report form prepared by a certified public accountant, an independent public accountant, or the equivalent in other states, in addition to the application. The audit report shall be prepared under separate cover and attached to the application upon filing with the Kentucky Transportation Cabinet. The audit report shall provide for all required statements. A Balance Sheet Audit is unacceptable for this type of certificate.
2. Applicants desiring a Certificate of Eligibility for \$1,000,000 or less shall submit a limited financial report certified to accuracy by a principal officer of the organization making the request for the certificate. The applicant shall provide all the detailed financial data required in a financial report form acceptable to the Kentucky Transportation Cabinet. Details relative to certain required schedules of accounts and replies to accounting questions are to be as provided for elsewhere in this application form as in special instructions given by the Cabinet to the applicant.



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APPLICATION FOR CERTIFICATE OF ELIGIBILITY


ACCOUNTING QUESTIONNAIRE

The following questionnaire must be completed by all applicants for eligibility ratings. All questions must be answered fully unless reference is made to specific notes available in a separate audit report.

1. Accounting Method:  Cash  Accrual  Other (Explain.)
2. Method of Reporting Income:  Percent of completion method  Completed contract method  Other (Explain.)
3. Do the accounting method and the method of reporting income in this financial report conform to the method for reporting income for tax purposes?  
 Yes  No If no, has adequate provision been made for deferred income taxes?  Yes  No
4. If applicant is a Sub-Chapter S Corp., partnership, or sole proprietor, does the applicant anticipate any significant withdrawal for taxes or another reason subsequent to the balance sheet date which may significantly affect the distribution of earnings during the current operational period?  Yes  No
5. Have there been any changes subsequent to the balance sheet date that would significantly affect working capital of the applicant?  Yes  No  
If yes, attach an explanation.
6. What are the contingent liabilities of the applicant? Give details and attach to this form, unless provided for elsewhere in an audit report, any liabilities as bondsman, guarantor on contractors, notes, or accounts of others, and all other known existing contingent liabilities.
7. What lawsuits are pending, but not reduced to judgment, and who are the principals? What is the possible amount of loss, if any, that is anticipated within the next 12 months that has not been provided for in the audit report? Explain.
8. Did the applicant make any prepayments of liabilities classified on the preceding year's application as long term?  Yes  No  
If yes, attach schedule of such payments, and list payee, date of payment, and amount.
9. Has the applicant paid, or intend to prepay within 12 months from balance sheet date, any portion of present year's long-term debt?  Yes  No  
If yes, attach explanation as to how much and when this debt is scheduled to be reduced during the life of this certificate.
10. Does the classification of accounts in this application conform to the classification shown in the audit report?  Yes  No  
If no, why and how do they differ in detail (see page 11 of Balance Sheet)?
11. Give last year examined and closed by the US Internal Revenue Service.
12. Has the applicant elected to participate in a plan that allows for exchanging certain securities for retainage as permitted in Kentucky (KRS 176.095)?  
 Yes  No How much of the applicant's investment account is represented by this type of asset?



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APPLICATION FOR CERTIFICATE OF ELIGIBILITY		
IF A PROPRIETORSHIP, COMPLETE THIS SECTION. Individuals doing business in a name other than their own must file full trade name with the Kentucky Transportation Cabinet. Where is the trade name registered?		
COUNTY COURT CLERK/OTHER RECORDING AGENT	COUNTY	STATE
OR, IF A CO-PARTNERSHIP, COMPLETE THIS SCHEDULE. DATE OF ORGANIZATION <span style="float: right;">Co-partnership is <input type="checkbox"/> general <input type="checkbox"/> limited</span> If limited, attach partnership agreement, and give general facts relative to the nature of the restrictions: NAMES AND ADDRESSES OF ALL PARTIES HOLDING 10% OR MORE OF INTEREST IN ORGANIZATION (List both active and inactive members.)		
NAME	ADDRESS	
OR, IF A CORPORATION, LIMITED LIABILITY COMPANY, COMPLETE THIS SCHEDULE. INCORPORATED/ORGANIZED (date) <span style="float: right;">INCORPORATED/ORGANIZED (state)</span> PRINCIPAL OFFICERS (List members of LLC.) <span style="float: right;">PERCENTAGE OF SHARES HELD IN THE CORPORATION</span>		
President		
Vice President		
Secretary		
Treasurer		
PRINCIPAL STOCKHOLDERS NAMES AND ADDRESSES OF ALL STOCKHOLDERS HOLDING 10% OR MORE OF SHARES IN ORGANIZATION (Exclude officers above.)		
NAME	ADDRESS	
AFFILIATED OR ASSOCIATED ORGANIZATION—List affiliated or associated organizations that have inter-company relationships with this corporation. (parent company, subsidiaries, sister corporations, and all other entities held separately and jointly by principal stockholders of the applicant's organization)		
NAME (organization)	ADDRESS	RELATIONSHIP
NOTE: Organizations and individuals found guilty of collusion and bid rigging in the states/territories of the US are subject to debarment in Kentucky.		

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APPLICATION FOR CERTIFICATE OF ELIGIBILITY							
Balance Sheet As Of		Check one: <input type="checkbox"/> Fiscal Year <input type="checkbox"/> Interim Report					
ITEM #	ASSETS	SCH #	AMOUNT	ITEM #	LIABILITIES & CAPITAL	SCH#	AMOUNT
CURRENT ASSETS				CURRENT LIABILITIES			
1.	Cash	A		18.	Notes payable	G	
2.	Accounts receivable –Due on contracts including amounts retained by customers in accordance with contract provisions less allowance of \$        for losses of uncollectible accounts.	B1		19.	Accounts payable and unbilled amounts due subcontractors (including amounts retained)	H	
3.	Other receivables	B2		20.	Withheld from employees (taxes & sundry)		
4.	Unbilled contract costs, etc.			21.	Income taxes		
5.	Inventory of materials and supplies (valued at the lower cost or market)			22.	Other taxes		
6.	Marketable securities at cost (\$ market value)	C		23.	Billing in excess of cost, etc.		
7.	Investment in joint ventures, etc. (only if currently liquidable)	D		24.	Current portion of long-term debt	11	
8.	Prepaid expenses			25.	Other current liabilities		
9.	Other current assets	E		26.	Total current liabilities		
10.	Total Current Assets			FIXED LIABILITIES			
FIXED ASSETS (NET)				27.	Long-term debt	12	
11.	Construction and automotive equipment			28.	Less current portion (line 24)	13	
12.	Land, buildings, office, and other			29.	Net long-term debt	14	
13.	Total Fixed Assets			OTHER LIABILITIES			
OTHER ASSETS				30.	Other noncurrent liabilities	J	
14.	Cash Value of life insurance (insurance loan value)	F		31.	Total Fixed and Other Liabilities		
15.	Other noncurrent assets			CAPITAL			
16.	Total Other Assets			32.	Individual or partnership capital		
				33.	Capital paid in	K	
				34.	Retained earnings	L	
				35.	Total Capital		
17.	GRAND TOTAL			36.	GRAND TOTAL		



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APPLICATION FOR CERTIFICATE OF ELIGIBILITY

LIST OF SUPPORTING SCHEDULES FOR DETAIL ACCOUNTS (See instructions.)

NOTE: Detail schedules listed below are required for all Certificates of Eligibility of less than \$1,000,000. These special schedules are not required from applicants who file a certified audit report. The Kentucky Transportation Cabinet may demand from those applicants seeking Certificates of Eligibility in excess of \$ 1,000,000 that such information be made available on an individual basis when the financial report warrants further explanation of facts not revealed in the notes of the examining auditor.

SCHEDULE A: Line 1 of balance sheet—Cash: List bank accounts and give name of bank, address, and nature of any restrictions.

SCHEDULE B1: Line 2 of balance sheet—Accounts Receivable: List all trade accounts over \$1,000, subtotal 60-, 90-, 120-day items. Items 6 months or more must include details on separate schedule.

SCHEDULE B2: Line 3 of balance sheet—Other Receivables: List all receivables other than trade from any sources not otherwise specifically itemized in current assets.

SCHEDULE C: Line 6 of balance sheet—Marketable Securities: Requirements- Number of shares, description, face value, cost, current market value

SCHEDULE D: Line 7 of balance sheet—Investments in Joint Ventures, Etc.: The investment of a party to a joint venture and receivables from the joint ventures should be separately disclosed if items are material in amount. It may be possible to separate the investment in a joint venture and the receivables therefrom into current and noncurrent portions based upon the underlying assets of the joint venture. Interest in the equity of fixed assets of a joint venture is noncurrent for the purpose of this report until time of disposal, termination, or dissolution of the joint venture. Provisions for taxes must be made for receivables taken into income from such investments.

Schedule E: Line 9 of balance sheet—Other Current Assets: Enumerate and describe. Notes receivable from principals or individuals who are officers, stockholders, employees, and immediate relatives should be excluded from current assets for eligibility evaluation of the applicant unless special circumstances warrant consideration in the opinion of the examining officer. Explain.

Schedule F: Line 14 of balance sheet—Cash Value of Life Insurance, Less Loans: Requirements—Amount of policy, name of the life insured, beneficiary, cash value, loan; the beneficiary of the life insurance policies must be the applicant (if a corporation or partnership) for computing eligibility evaluation. Life insurance payable to persons other than the estate of individuals shall be excluded in the eligibility evaluation of other assets.

SCHEDULE G: Line 18 of balance sheet—Notes Payable: Requirements—Name of holder, security, due date, principal amount due

SCHEDULE H: Line 19 of balance sheet—Accounts Payable: List all trade accounts over \$1,000, subtotal 60-, 90-, 120-day items. Items 6 months or more must include details on separate schedule.

SCHEDULE I: Line 27 of balance sheet—Long-Term Debt: Describe and provide breakdown of current portion of long-term debt due on lines 24 and 28 and net long-term debt due on line 29.

SCHEDULE J: Line 30 of balance sheet—Other Noncurrent Liabilities: Give details.

SCHEDULE K: Line 33 of balance sheet—Capital: Explain capital account relative to the amount of authorized and outstanding stocks.

SCHEDULE L: Line 34 of balance sheet—Retained Earnings: Explain surplus accounts relative to capital surplus or special restricted surplus accounts that affect future earnings.



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APPLICATION FOR CERTIFICATE OF ELIGIBILITY

APPLICANT'S DETERMINATION OF MAXIMUM CAPACITY			TRANSPORTATION CABINET'S DETERMINATION OF ELIGIBILITY RATING				
	ITEM # (from balance sheet)	ELIGIBILITY EVALUATION AMOUNT		MAXIMUM %	PREVIOUS %	SUGGESTED %	APPROVED %
1. Current assets	10		Organizational experience	20			
2. Less current liabilities	26		Plant & equipment	30			
3. Net current assets (working capital lines 1 & 2)			Performance	50			
4. Cash value of life insurance	14		Total	100			
5. Total (lines 3 & 4)							
6. Multiplying factor		x 12					
7. Net current asset factor							
8. Book value of machine & equipment	11		TOTAL MAXIMUM CAPACITY FACTOR (\$ x %) PERCENT RATINGS				
9. Multiplying factor		x 6					
10. Total equipment value factor							
11. Total maximum capacity factor (lines 7 & 10)			ELIGIBILITY RATING				
Refer to Kentucky Transportation Cabinet rules and regulations relating to prequalification of contractors for eligibility formula and allowed values.							



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APPLICATION FOR CERTIFICATE OF ELIGIBILITY

The undersigned hereby certifies that neither the undersigned nor any member of the undersigned's family having an interest of ten percent (10%) or more in any business entity prequalifying with the Kentucky Transportation Cabinet has contributed more than the amount specified in KRS 121.056(2) to the campaign of the gubernatorial candidate election last preceding the date of the prequalification application.

SIGNATURE

X

TITLE

NAME (company/corporation)

STATE OF

COUNTY OF

The foregoing statement was acknowledged and sworn before me this \_\_\_\_ day of \_\_\_\_\_, 20\_\_.

My commission expires \_\_\_\_\_, 20\_\_.

NOTARY PUBLIC

X



KENTUCKY TRANSPORTATION CABINET  
Department of Highways  
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APPLICATION FOR CERTIFICATE OF ELIGIBILITY

APPLICANT CERTIFICATION  
Affidavit

STATE OF

COUNTY OF

I, \_\_\_\_\_, being duly sworn, certify that I am \_\_\_\_\_ (official title) of the firm hereinafter described which executed the foregoing application and financial statement, that I am familiar with the operation and financial records of the said firm, and do attest to the truth and correctness of answers made to interrogatories by the Kentucky Transportation Cabinet, Commonwealth of Kentucky.

Sworn before me this \_\_\_ day of \_\_\_\_\_, 20\_\_

NOTARY PUBLIC

X

ORGANIZATION (name)

BY (signature & title)

X

My commission expires \_\_\_\_\_, 20\_\_

Affix seal here, if corporation

Person Preparing Financial Data, If Other Than the Above Officer

NAME

TITLE

ADDRESS

	KENTUCKY TRANSPORTATION CABINET Department of Highways <b>DIVISION OF CONSTRUCTION PROCUREMENT</b>	TC 14-27 Rev. 04/2007 Page 1 of 1
<b>AUTHORITY TO SIGN</b>		

The names, addresses, and titles of persons having authority to execute contract documents and obligations and to conduct other business for the organization, the signatures of whom are legally binding, are as follows.

NAME	ADDRESS	TITLE	AUTHORITY <i>(scope)</i>

Corporations *(foreign or domestic)* must list process agent(s) below.


I, \_\_\_\_\_, hereby certify that the persons listed in the foregoing are authorized agents of the organization and the signatures are legally binding. This date *(d/m/y)*:

<b>NAME <i>(firm)</i></b>	<b>BY</b>	<b>TITLE</b>
---------------------------	-----------	--------------

Return completed form to: 200 Mero Street, Frankfort, KY 40622

KYTC DEPARTMENT OF HIGHWAYS  
 LOW BIDDER EXTENDED LETTING  
 Call: 3:2 Contract ID: 10-1011 Sheet 2  
 Date Run :May 06, 2010 01:43 pm

County: ALLEN District: 03 Date Let : 02-19-10 Call: 312 Contid: 10-1011  
 Proposal Description: JL03 002 1332 005-006 Length: 0 KM Time: 26 WORKING  
 ASPHALT SURFACING NEW CONSTRUCTION POPE-HALFWAY ROAD (KY 133  
 Number of Bidders 5 phone  
 1 02519 SCOTTY'S CONTRACTING AND STONE LLC 232,997.20 (270)781-3998  
 2 02980 CHARLES DEWEESE CONSTRUCTION INC 252,904.13 (270)586-9122  
 3 00232 HOLLAND INC 232,323.16 (270)781-7044  
 4 00890 SCOTT AND MURPHY INC 234,841.98 (270)781-9944  
 5 02623 HICON CONSTRUCTION LLC 312,017.13 (502)331-9330

ENGINEERS ESTIMATE 335,293.43 LOW BIDDER is -30.5 % FROM ENGINEER'S ESTIM  
 Apparent Low Bidder Low > SCOTTY'S CONTRACTING AND STONE LLC 232,997.20

Prop Line #	Item Description	Quantity	Unit	Low Bidder	Ext Amount
0010	IGA BASE	504.000	TON	18.8000	9,475.20
0020	TRAFFIC BOUND BASE	105.000	TON	19.0000	1,995.00
0030	CRUSHED AGGREGATE SIZE NO 2	2.000	TON	19.0000	38.00
0040	CL2 ASPH BASE 0.75D PG64-22	1,014.000	TON	71.1000	72,095.40
0050	CL2 ASPH SURF 0.38D PG64-22	141.000	TON	84.4500	11,907.45
0060	ENTRANCE PIPE-15 IN	40.000	LF	35.0000	1,400.00
0070	CULVERT PIPE-18 IN	68.500	LF	38.0000	2,603.00
0080	PERFORATED PIPE-4 IN	150.000	LF	9.0000	1,350.00
0090	NON-PERFORATED PIPE-4 IN	150.000	LF	9.0000	1,350.00
0100	PERF PIPE HEADWALL TY 3-4 IN	2.000	EACH	450.0000	900.00
0110	S & F BOX INLET-OUTLET-18 IN	2.000	EACH	2,000.0000	4,000.00
0120	REMOVE PAVEMENT	149.000	SQYD	10.0000	1,490.00
0130	ROADWAY EXCAVATION	9,722.000	CUYD	6.6000	64,165.20
0140	GUARDRAIL-STEEL W BEAM-S FACE	25.000	LF	66.3700	1,659.25
0150	GUARDRAIL TERMINAL SECTION NO 1	2.000	EACH	175.0000	350.00
0160	EIGHT-OF-WAY MONUMENT TYPE 1	13.000	EACH	52.0000	676.00
0170	WITNESS POST	4.000	EACH	36.0000	144.00
0180	CHANNEL LINING CLASS II	11.000	TON	50.0000	550.00
0190	CLEARING AND GRUBBING	1.000	LS	7,000.0000	7,000.00
0200	SIGNS	32.740	SQFT	7.0000	229.18
0210	EDGE KEY	19.000	LF	65.4000	1,242.60
0220	FABRIC GEOTEXTILE TY IV FOR PIPE	92.000	SQYD	2.0000	184.00
0230	MAINTAIN & CONTROL TRAFFIC	1.000	LS	2,500.0000	2,500.00
0240	MOBILIZATION FOR MILL & TEXT	1.000	LS	875.0000	875.00
0250	STAKING	1.000	LS	6,000.0000	6,000.00
0260	EROSION CONTROL BLANKET	829.000	SQYD	1.2100	1,003.09
0270	PAVE STRIPING-PERM PAINT-4 IN	2,808.000	LF	0.3900	1,095.12
0280	PIPELINE VIDEO INSPECTION	68.500	LF	16.7800	1,149.43
0290	KPDES PERMIT AND TEMP EROSION CONTROL	1.000	LS	2,500.0000	2,500.00
0300	TURF REINFORCEMENT MAT 2	170.000	SQYD	6.5000	1,105.00
0310	STEEL ENCASEMENT PIPE-8 IN	60.000	LF	128.0000	7,680.00
0320	STEEL ENCASEMENT PIPE-16 IN	105.000	LF	59.0000	6,195.00
0330	FVC PIPE-4 IN	142.000	LF	12.6000	1,789.20
0340	FVC PIPE-8 IN	137.000	LF	16.5000	2,260.50
0350	TIE-IN 4 IN	1.000	EACH	2,000.0000	2,000.00
0360	TIE-IN 4 IN	1.000	EACH	2,200.0000	2,200.00
0370	TIE-IN 8 IN	2.000	EACH	3,200.0000	6,400.00
0380	DEMobilIZATION	1.000	LS	3,440.5800	3,440.58
	TOTAL BIDS				232,997.20





**Certificate of Eligibility**

Issued By  
Commonwealth of Kentucky  
Transportation Cabinet

Certificate No.

*This certifies that*

is hereby qualified to accept a contract or subcontract on projects of the Department of Highways for such a period as uncompleted work under prime contract at any time does not exceed the aggregate amount of \_\_\_\_\_.

*This certificate which expires*

is subject to revision or revocation, and is extended to 120 days from this expiration date. An application for renewal of this certificate must be filed within ninety days after the above date.

**Types of Work**

Date Issued:

By

\_\_\_\_\_  
State Highway Engineer  
Department of Highways





**Kentucky Transportation Cabinet**  
**Division of Construction Procurement**  
**CONTRACTOR PERFORMANCE EVALUATION**

Section 1: Project Completion Evaluation

TC 14-19  
06/2015

Name \_\_\_\_\_  
 Contract ID# \_\_\_\_\_  
 Address \_\_\_\_\_  
 Phone Number \_\_\_\_\_  
 Completion Date \_\_\_\_\_

Prime/Sub? \_\_\_\_\_  
 County #N/A \_\_\_\_\_  
 City #N/A \_\_\_\_\_  
 Zip #N/A \_\_\_\_\_  
 State #N/A \_\_\_\_\_

Contractor Performance Report					
CATEGORY	Standard Comment (Per Applicable Rating)	Level	Rating	Score	Max Score %
<b>A. Contractor Work Performance (Max=70)</b>					
A1. Overall quality of work of the contractor (including subcontractors <\$50,000)		5		0	25 0%
A2. Meeting of contract dates (including approved extensions)		3		0	15 0%
A3. Completion of job/discount activities (punch list, clean-up, paperwork, etc) in a timely manner		3		0	15 0%
A4. Cooperation/Coordination with KYTC's Project Staff		3		0	15 0%
<b>CATEGORY A TOTAL</b>					
				0	70 0%
<b>B. Public Safety and Traffic Control (Max=40)</b>					
B1. Maintenance of Traffic (proper signage, workers worked during allowable hours, public notification)		3		0	15 0%
B2. Overall Safety of Employees (including subcontractors)		5		0	25 0%
<b>CATEGORY B TOTAL</b>					
				0	40 0%
<b>C. Environmental Requirements (Max=15)</b>					
C1. Performance of environmental mitigation methods (e.g. monitoring silt fencing, erosion control)		3		0	0 N/A
<b>CATEGORY C TOTAL</b>					
				0	N/A
<b>Section I Maximum Score</b>					
				0	110 0%

Comments:

Print \_\_\_\_\_ Sign \_\_\_\_\_ Section Engineer \_\_\_\_\_ PD&P Branch Manager \_\_\_\_\_  
 Print \_\_\_\_\_ Sign \_\_\_\_\_  
 District Date 4/21/2016  
 TERM Date \_\_\_\_\_

**Final Evaluation Report for Prime  
Contractor and Subcontractor(s)  
Ratings Explanations**

**Section A – Contractor Work Performance**

**A1. Overall quality of work of the contractor (including subcontractors) > \$50,000  
Choose a rating from 1 to 5 according to the following criteria:**

- 1 **1:** Items were removed and replaced or deductions were made due to quality issues in accordance with specifications which totaled more than 5% of the total contract amount or the contractor failed to complete the project leading to a default of the contract.
- 2 **2:** Items were removed and replaced or deductions were made due to quality issues in accordance with specifications which totaled no more than 5% of the total contract amount.
- 3 **3:** The work was acceptable with all corrective work items and noted deficiencies being addressed per specifications.
- 4 **4:** No corrective work at final inspection.
- 5 **5:** No corrective work at final inspection and no material failures on the contract.

**A2. Meeting of all contract dates (including approved extensions)  
Choose a rating from 1 to 5 according to the following criteria:**

- 1 **1:** Failed to complete the project by the established contract completion date and failed to meet an established milestone date in the contract.
- 2 **2:** Failed to complete the project by the established contract completion date or failed to meet an established milestone date in the contract.
- 3 **3:** Completed the project on schedule or up to 5% ahead of the contract completion date and met all established milestone dates in the contract.
- 4 **4:** Completed the project between 5% and 10% ahead of the contract completion date and met all established milestone dates in the contract.
- 5 **5:** Completed the project by more than 10% ahead of the contract completion date and met all established milestone dates in the contract.

**A3. Completion of all job closeout activities (punch list, clean-up, paperwork, etc.)  
Choose a rating from 1 to 5 according to the following criteria:**

- 1 **1:** Failed to complete all corrective work and to submit all project documentation within 180 days of receiving the Comprehensive Final Inspection Report with the exception of the seeding and landscaping.
- 2 **2:** Failed to complete all corrective work and to submit all project documentation within 90 days of receiving the Comprehensive Final Inspection Report with the exception of the seeding and landscaping.
- 3 **3:** Completed all corrective work and submitted all project documentation within 90 days of receiving the Comprehensive Final Inspection Report except for seeding and landscaping.
- 4 **4:** Completed all corrective work submitted all project documentation within 30 days of receiving the Comprehensive Final Inspection Report except for seeding and landscaping.
- 5 **5:** There was no corrective work on this project and all project documentation was submitted as requested.

**A4. Cooperation/coordination with KYTC's project staff  
Choose a rating from 1 to 5 according to the following criteria:**

- 1 **1:** Contractor did not adhere to submitted schedule and did not keep KYTC informed of changes and problems. Requests for information/submittals/records/etc. were routinely ignored. Numerous complaints from private citizens were not addressed.
- 2 **2:** Contractor did not adhere to submitted schedule and did not keep KYTC routinely informed of changes and problems. Requests for information/submittals/records/etc. were not handled in a timely manner.
- 3 **3:** Cooperation/coordination was timely and satisfactory throughout the project.
- 4 **4:** Contractor actively coordinated/communicated with Cabinet personnel and attempted to minimize the impact of delays on the project.
- 5 **5:** Contractor actively engaged as a project partner by coordinating progress meetings, scheduling work around events and delays, or by utilizing other resources to make the project a success. Contractor not only attempted to minimize impact of delays for the Cabinet, but put forth valuable suggestions to enhance project.

**Section B – Public Safety and Traffic Control****B1. Maintenance of traffic (proper signage, workers worked during allowable hours, public notification)**

Choose a rating from 1 to 5 according to the following criteria:

- 1 **1:** Contractor was directed numerous times to correct deficiencies in the work zone. Liquidated Damages were assessed for failure to correct the noted deficiencies which were documented on the Traffic Control Inspection Report.
- 2 **2:** Contractor was directed to correct deficiencies in the work zone less than 5 times during the project. Contractor completed any noted deficiencies in a timely manner and liquidated damages were not assessed.
- 3 **3:** Traffic Control devices were installed as per standards with minimal direction.
- 4 **4:** Traffic Control devices were installed as per standards with no direction from KYTC.
- 5 **5:** Total project involvement by actively engaging advance warning techniques, oversize vehicle considerations, media dissemination, law enforcement officers, and on-site traffic control technicians.

**B2. Overall safety of employees (including subcontractors)**

Choose a rating from 1 to 5 according to the following criteria:

- 1 **1:** Received an OSHA citation and/or a serious hazard was noted by the Section Engineer and the issue(s) were not immediately corrected. Safety issues were not communicated with project partners.
- 2 **2:** Minor hazards noted by the Section Engineer, minimal communication regarding safety issues.
- 3 **3:** The Section Engineer noted no hazards during the project.
- 4 **4:** Safety meetings were held (must be verified in order to rate). Any safety concerns were communicated.
- 5 **5:** Total safety project involvement by all project partners. All partners are involved with safety meetings and communication of potential safety problems being distributed to all parties including the Section Engineer and KYTC personnel.

**Section C – Environmental Requirements****C1. Performance of environmental mitigation methods (e.g., maintaining silt fencing, erosion control)**

Choose a rating from 1 to 5 according to the following criteria:

- 0 **0:** Environmental mitigation methods do not apply to this project.
- 1 **1:** EPA fines assessed against the project and/or erosion control liquidated damages were assessed on the project.
- 2 **2:** Erosion control devices were not adequately maintained. Corrective work at the completion of the project was excessive. Progressive seeding was not completed per contract requirements.
- 3 **3:** Erosion control devices were adequately maintained. Progressive seeding was completed per contract requirements. Contractor completed any necessary corrective work as a result of an Erosion Control Inspection Report (TC 63-61) within 7 calendar days of receipt of the report.
- 4 **4:** Vegetation was established with minimal corrective work at the completion of the project. Progressive seeding was completed per contract requirements.
- 5 **5:** Vegetation was established before the project was completed. Progressive seeding was completed per contract requirements. No corrective work at the completion of the project.

EXHIBIT

Encumbrance Form, TC 14-32 (Example)

**CP-9008**

PAGE: 1

COMMONWEALTH OF KENTUCKY  
TRANSPORTATION CABINET - C35  
ENCUMBRANCE FORM

TC 14-32  
REV.06/01/08

DOC ID: XCONST0921017750001K  
DEFERRED PAYMENT: N

REQUESTED BY: DIVISION OF CONSTRUCTION PROCUREMENT

DATE: 05/06/10

CONTRACT ID: 101775      ADMIN. DISTRICT: 05      PRIMARY COUNTY: JEFFERSON

PROJECT NO: ARRA 3001 (349)

DESCRIPTION: VARIOUS SIDEWALK IMPROVEMENTS IN LOUISVILLE

CONTRACT COMPLETION: November 15, 2010      LETTING DATE: February 26, 2010      CALL NO: 151

PES NO: DL05672491075      FED/STATE PROJ NO: ARRA 3001(349)      SYP NO: -      FED TYPE:

PROJ WORK TYPE: SIDEWALK CONSTRUCTION

FUND	FUNC	LC	SUB FUNC	ACT	SUB ACT	DEPT OBJ	PROGRAM	TASK ORDER	REPORTING CODE	ACCT TEMP	PO LINE	AMOUNT
0001	ARWY	12TS	FD52	5 056 4580		E797	8346701C				1	\$ 71,440.00
0002	ZEMO	12TS	FD52	5 056 4580		E797	8346701C				1	\$ 1,500.00
											PROJECT TOTAL:	\$ 72,940.00
											CONTRACT TOTAL:	\$ 72,940.00
											CONTRACT AWARD AMOUNT:	\$ 72,940.00

CONTRACT WITH: TRIPLETT STRIPING INC DBA TSI PAVING  
1010 PROVIDENCE WAY  
CLARKSVILLE, IN 47129  
91007      VC0000026679

CONTRACT/AGREEMENT ATTACHED

CP ADMIN: AB

DISTRIBUTION: DIVISION OF ACCOUNTS  
DIVISION OF MATERIALS  
DIVISION OF CONSTRUCTION PROCUREMENT  
DISTRICT OFFICE

KENTUCKY TRANSPORTATION CABINET  
 Division of Construction Procurement  
 Compliance Section

TC 14-312E  
 Rev. 06/2007  
 Page 1

Contract ID No.: \_\_\_\_\_

**ENGINEER'S WAGE AND HOUR REPORT**

Date: \_\_\_\_\_ County: \_\_\_\_\_

Project: \_\_\_\_\_

Name: \_\_\_\_\_  
 Contractor  Subcontractor

Foreman: \_\_\_\_\_

Address: \_\_\_\_\_

City/State: \_\_\_\_\_

Telephone: \_\_\_\_\_

FORMS POSTED:	
* Wage Schedule _____	<input type="checkbox"/> YES <input type="checkbox"/> NO
* Contractor Notice (TC 14-314) _____	<input type="checkbox"/> YES <input type="checkbox"/> NO
* Non-Discrimination in Employment (TC 14-313) _____	<input type="checkbox"/> YES <input type="checkbox"/> NO
Notice (Form FHWA 1022) _____	<input type="checkbox"/> YES <input type="checkbox"/> NO
* Safety and Health Protection on the Job _____	<input type="checkbox"/> YES <input type="checkbox"/> NO
* Kentucky Law Requires _____	<input type="checkbox"/> YES <input type="checkbox"/> NO
Wage Rate Information (Form FHWA 1495) _____	<input type="checkbox"/> YES <input type="checkbox"/> NO
Equal Employment Opportunity _____	<input type="checkbox"/> YES <input type="checkbox"/> NO
ALL REQUIRED POSTERS _____	<input type="checkbox"/> YES <input type="checkbox"/> NO
* Posters Required on State Project Job Sites	

1	NAME	ADDRESS	OBSERVED WORKING AS	CLASSIFICATION	WAGE <input type="checkbox"/> UNKNOWN	OVER TIME <input type="checkbox"/> WORKED <input type="checkbox"/> PAID
2	NAME	ADDRESS	OBSERVED WORKING AS	CLASSIFICATION	WAGE <input type="checkbox"/> UNKNOWN	OVER TIME <input type="checkbox"/> WORKED <input type="checkbox"/> PAID
3	NAME	ADDRESS	OBSERVED WORKING AS	CLASSIFICATION	WAGE <input type="checkbox"/> UNKNOWN	OVER TIME <input type="checkbox"/> WORKED <input type="checkbox"/> PAID
4	NAME	ADDRESS	OBSERVED WORKING AS	CLASSIFICATION	WAGE <input type="checkbox"/> UNKNOWN	OVER TIME <input type="checkbox"/> WORKED <input type="checkbox"/> PAID
5	NAME	ADDRESS	OBSERVED WORKING AS	CLASSIFICATION	WAGE <input type="checkbox"/> UNKNOWN	OVER TIME <input type="checkbox"/> WORKED <input type="checkbox"/> PAID
6	NAME	ADDRESS	OBSERVED WORKING AS	CLASSIFICATION	WAGE <input type="checkbox"/> UNKNOWN	OVER TIME <input type="checkbox"/> WORKED <input type="checkbox"/> PAID
7	NAME	ADDRESS	OBSERVED WORKING AS	CLASSIFICATION	WAGE <input type="checkbox"/> UNKNOWN	OVER TIME <input type="checkbox"/> WORKED <input type="checkbox"/> PAID
8	NAME	ADDRESS	OBSERVED WORKING AS	CLASSIFICATION	WAGE <input type="checkbox"/> UNKNOWN	OVER TIME <input type="checkbox"/> WORKED <input type="checkbox"/> PAID
9	NAME	ADDRESS	OBSERVED WORKING AS	CLASSIFICATION	WAGE <input type="checkbox"/> UNKNOWN	OVER TIME <input type="checkbox"/> WORKED <input type="checkbox"/> PAID
10	NAME	ADDRESS	OBSERVED WORKING AS	CLASSIFICATION	WAGE <input type="checkbox"/> UNKNOWN	OVER TIME <input type="checkbox"/> WORKED <input type="checkbox"/> PAID

Date: \_\_\_\_\_ County: \_\_\_\_\_ Name: \_\_\_\_\_

Project: \_\_\_\_\_ Contract ID No.: \_\_\_\_\_  Contractor  
 Subcontractor

- A. Do you know where wage rates are posted?
- B. Have you been informed about your fringe benefits?
- C. Have you been charged for tools used in performing your duties except for reasonable avoidable loss or damage thereto?
- D. Have you ever been charged for any transportation furnished by contractor or his agents?
- E. Has your employer made arrangements for the cashing of your paychecks?
- F. When you work in a higher classification, are you paid the proper wage rate for that classification?

1. \_\_\_\_\_
- A.  YES  NO
  - B.  YES  NO
  - C.  YES  NO
  - D.  YES  NO
  - E.  YES  NO
  - F.  YES  NO

6. \_\_\_\_\_
- A.  YES  NO
  - B.  YES  NO
  - C.  YES  NO
  - D.  YES  NO
  - E.  YES  NO
  - F.  YES  NO

2. \_\_\_\_\_
- A.  YES  NO
  - B.  YES  NO
  - C.  YES  NO
  - D.  YES  NO
  - E.  YES  NO
  - F.  YES  NO

7. \_\_\_\_\_
- A.  YES  NO
  - B.  YES  NO
  - C.  YES  NO
  - D.  YES  NO
  - E.  YES  NO
  - F.  YES  NO

3. \_\_\_\_\_
- A.  YES  NO
  - B.  YES  NO
  - C.  YES  NO
  - D.  YES  NO
  - E.  YES  NO
  - F.  YES  NO

8. \_\_\_\_\_
- A.  YES  NO
  - B.  YES  NO
  - C.  YES  NO
  - D.  YES  NO
  - E.  YES  NO
  - F.  YES  NO

4. \_\_\_\_\_
- A.  YES  NO
  - B.  YES  NO
  - C.  YES  NO
  - D.  YES  NO
  - E.  YES  NO
  - F.  YES  NO

9. \_\_\_\_\_
- A.  YES  NO
  - B.  YES  NO
  - C.  YES  NO
  - D.  YES  NO
  - E.  YES  NO
  - F.  YES  NO

5. \_\_\_\_\_
- A.  YES  NO
  - B.  YES  NO
  - C.  YES  NO
  - D.  YES  NO
  - E.  YES  NO
  - F.  YES  NO

10. \_\_\_\_\_
- A.  YES  NO
  - B.  YES  NO
  - C.  YES  NO
  - D.  YES  NO
  - E.  YES  NO
  - F.  YES  NO

Resident Engineer: \_\_\_\_\_

Print/Type Name Here

Signature: \_\_\_\_\_

Compliance Officer: \_\_\_\_\_

Print/Type Name Here

Signature: \_\_\_\_\_



KENTUCKY TRANSPORTATION CABINET  
Division of Construction Procurement  
Compliance Section

TC 14-312AE  
Rev. 06/2007  
Page 1

Contract ID No.: \_\_\_\_\_

**ENGINEER'S WAGE AND HOUR REPORT  
CONTINUATION PAGE**

Date: \_\_\_\_\_ County: \_\_\_\_\_ Foreman: \_\_\_\_\_

Project: \_\_\_\_\_ Address: \_\_\_\_\_

Name: \_\_\_\_\_ City/State: \_\_\_\_\_

Contractor  Subcontractor

Telephone: \_\_\_\_\_

11	NAME		ADDRESS	
	OBSERVED WORKING AS	CLASSIFICATION	WAGE <input type="checkbox"/> UNKNOWN	OVER TIME <input type="checkbox"/> WORKED <input type="checkbox"/> PAID
12	NAME		ADDRESS	
	OBSERVED WORKING AS	CLASSIFICATION	WAGE <input type="checkbox"/> UNKNOWN	OVER TIME <input type="checkbox"/> WORKED <input type="checkbox"/> PAID
13	NAME		ADDRESS	
	OBSERVED WORKING AS	CLASSIFICATION	WAGE <input type="checkbox"/> UNKNOWN	OVER TIME <input type="checkbox"/> WORKED <input type="checkbox"/> PAID
14	NAME		ADDRESS	
	OBSERVED WORKING AS	CLASSIFICATION	WAGE <input type="checkbox"/> UNKNOWN	OVER TIME <input type="checkbox"/> WORKED <input type="checkbox"/> PAID
15	NAME		ADDRESS	
	OBSERVED WORKING AS	CLASSIFICATION	WAGE <input type="checkbox"/> UNKNOWN	OVER TIME <input type="checkbox"/> WORKED <input type="checkbox"/> PAID
16	NAME		ADDRESS	
	OBSERVED WORKING AS	CLASSIFICATION	WAGE <input type="checkbox"/> UNKNOWN	OVER TIME <input type="checkbox"/> WORKED <input type="checkbox"/> PAID
17	NAME		ADDRESS	
	OBSERVED WORKING AS	CLASSIFICATION	WAGE <input type="checkbox"/> UNKNOWN	OVER TIME <input type="checkbox"/> WORKED <input type="checkbox"/> PAID
18	NAME		ADDRESS	
	OBSERVED WORKING AS	CLASSIFICATION	WAGE <input type="checkbox"/> UNKNOWN	OVER TIME <input type="checkbox"/> WORKED <input type="checkbox"/> PAID
19	NAME		ADDRESS	
	OBSERVED WORKING AS	CLASSIFICATION	WAGE <input type="checkbox"/> UNKNOWN	OVER TIME <input type="checkbox"/> WORKED <input type="checkbox"/> PAID
20	NAME		ADDRESS	
	OBSERVED WORKING AS	CLASSIFICATION	WAGE <input type="checkbox"/> UNKNOWN	OVER TIME <input type="checkbox"/> WORKED <input type="checkbox"/> PAID

Date: \_\_\_\_\_ County: \_\_\_\_\_ Name: \_\_\_\_\_

Project: \_\_\_\_\_ Contract ID No.: \_\_\_\_\_  Contractor  
 Subcontractor

- A. Do you know where wage rates are posted?
- B. Have you been informed about your fringe benefits?
- C. Have you been charged for tools used in performing your duties except for reasonable avoidable loss or damage thereto?
- D. Have you ever been charged for any transportation furnished by contractor or his agents?
- E. Has your employer made arrangements for the cashing of your paychecks?
- F. When you work in a higher classification, are you paid the proper wage rate for that classification?

11. \_\_\_\_\_
- A.  YES  NO
  - B.  YES  NO
  - C.  YES  NO
  - D.  YES  NO
  - E.  YES  NO
  - F.  YES  NO

16. \_\_\_\_\_
- A.  YES  NO
  - B.  YES  NO
  - C.  YES  NO
  - D.  YES  NO
  - E.  YES  NO
  - F.  YES  NO

12. \_\_\_\_\_
- A.  YES  NO
  - B.  YES  NO
  - C.  YES  NO
  - D.  YES  NO
  - E.  YES  NO
  - F.  YES  NO

17. \_\_\_\_\_
- A.  YES  NO
  - B.  YES  NO
  - C.  YES  NO
  - D.  YES  NO
  - E.  YES  NO
  - F.  YES  NO

13. \_\_\_\_\_
- A.  YES  NO
  - B.  YES  NO
  - C.  YES  NO
  - D.  YES  NO
  - E.  YES  NO
  - F.  YES  NO

18. \_\_\_\_\_
- A.  YES  NO
  - B.  YES  NO
  - C.  YES  NO
  - D.  YES  NO
  - E.  YES  NO
  - F.  YES  NO

14. \_\_\_\_\_
- A.  YES  NO
  - B.  YES  NO
  - C.  YES  NO
  - D.  YES  NO
  - E.  YES  NO
  - F.  YES  NO

19. \_\_\_\_\_
- A.  YES  NO
  - B.  YES  NO
  - C.  YES  NO
  - D.  YES  NO
  - E.  YES  NO
  - F.  YES  NO

15. \_\_\_\_\_
- A.  YES  NO
  - B.  YES  NO
  - C.  YES  NO
  - D.  YES  NO
  - E.  YES  NO
  - F.  YES  NO

20. \_\_\_\_\_
- A.  YES  NO
  - B.  YES  NO
  - C.  YES  NO
  - D.  YES  NO
  - E.  YES  NO
  - F.  YES  NO

Resident Engineer \_\_\_\_\_

Print/Type Name Here

Signature \_\_\_\_\_

Compliance Officer \_\_\_\_\_

Print/Type Name Here

Signature \_\_\_\_\_

Contract ID:101015

NON-COLLUSION CERTIFICATION

COMMONWEALTH OF KENTUCKY

COUNTY WHITLEY

PROJECT NO. STP 0751(076)

I, Jim, Company President, (Name of officer signing certification) (Title) under penalty of perjury under the laws of the United States, do hereby certify that

jim (Name of Individual, Co-Partnership, or Corporation submitting bid)

its agent, officers or employees have not directly or indirectly entered into any agreement, participated in any collusion, or otherwise taken action in restraint of free competitive bidding in connection with this proposal.

(Signature) Company President (Title)

NON-COLLUSION CERTIFICATION

COMMONWEALTH OF KENTUCKY

COUNTY WHITLEY

PROJECT NO. STP 0751(076)

I, Jim, Company President, (Name of officer signing certification) (Title) under penalty of perjury under the laws of the United States, do hereby certify that

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its agent, officers or employees have not directly or indirectly entered into any agreement, participated in any collusion, or otherwise taken action in restraint of free competitive bidding in connection with this proposal.

(Signature) Company President (Title)

Contract ID:101015

CERTIFICATION OF ORGANIZATION(S)

COMMONWEALTH OF KENTUCKY

COUNTY WHITLEY

PROJECT NO. STP 0751(076)

I, (Name of Officer or Authorized Agent) (Title)

under penalty of perjury under the laws of the United States, do hereby certify that, except as noted below,

jim (Name of Individual, Co-Partnership, or Corporation submitting bid)

any person associated therewith in the capacity of (owner, partner, director, officer, principal investigator, project director, manager, auditor, or any position involving the Administration of Federal Funds): is not currently under suspension, debarment, voluntarily exclusion, or determination of ineligibility by any federal agency; has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years; does not have a proposed debarment pending; and has not been indicted, convicted, or had a civil judgement rendered against (it) by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

Please list below any exceptions to the foregoing, to whom it applies, initiating agency and dates of action.

Exceptions:

(Signature) (Title)

Contract ID:101015

CERTIFICATION OF PERFORMANCE

Certification with regard to the Performance of Previous Contracts or Subcontracts subject to the Equal Opportunity Clause and the filing of Required Reports.

The , hereby certifies that he , participated in previous contract or subcontract subject to the equal opportunity clause, as required by Executive Orders 10925, 11114, or 11246, and that he , filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the Former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

jim  
(Name of Individual, Co-Partnership, or Corporation submitting bid)

(Name of Officer or Authorized Agent) (Title)

\_\_\_\_\_  
(Signature)

Date:

NOTE: The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b)(1)), and must be submitted by bidders and proposed subcontractors only in connection with the contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders of their implementing regulation.

Proposed prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed reports should note that 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

Contract ID:101015

CERTIFICATION FOR FEDERAL-AID CONTRACT

The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agent.
2. If any funds other than the Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

This certification is a material representation of fact which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The prospective participation also agrees by submitting his or her bid proposal that he or she shall require the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such subrecipients shall certify and disclose accordingly.

jim

(Name of Individual, Co-Partnership, or Corporation submitting bid)

(Name of Officer or Authorized Agent)

(Title)

\_\_\_\_\_  
(Signature)

CERTIFICATION OF BID PROPOSAL / DBE

We (I) proposed to furnish all labor, equipment and materials necessary to construct and/or improve the subject project in accordance with the plans, proposal, the Transportation Cabinet's Standard Specifications for Road and Bridge Construction, current edition, special provisions, notes applicable to the project as indicated herein and all addenda issued on this project.

We (I) attach a bid guaranty as provided in the special provisions in an amount not less than 5% of the total bid. We agree to execute a contract in accordance with this proposal within 15 calendar days after the receipt of the notice of award for the project.

We (I) have examined the site of proposed work, project plans, specifications, special provisions, and notes applicable to the project referred to herein. We understand that the quantities shown herein are estimated quantities subject to increase or decrease as provided in the specifications.

We (I) acknowledge receipt of all addendum(s) (if applicable) and have made necessary revisions to the bid proposal. We have considered all addendum(s) in calculation of the submitted bid and applied the updated bid items, which are included.

"The bidder certifies that it has secured participation by Disadvantaged Business Enterprises(DBE) in amount of - - percent of the total value of this contract and that the DBE participation is in compliance with the requirements of 49 CFR 26 and the policies of the Kentucky Transportation Cabinet pertaining to the DBE Program."

For Electronic Bidding - We (I) certify by signing this bid with a digital signature, which is considered a valid binding signature, all documents contained in the Bid Packet produced by the Expedite Bidding Program.

jim

(Name of Individual, Co-Partnership, or Corporation submitting bid)

(Name of Officer of Authorized Agent)

Title

\_\_\_\_\_  
(Signature)

,

When two or more organizations bid as a joint venture, enter names of each organization and an authorized agent for each organization must sign above.

Contract ID:101015

DBE SUB-CONTRACTOR BIDDERS LIST

The Department of Transportation Federal Regulations require that the Kentucky Transportation Cabinet provide a bidders list to be maintained in the Office of Personnel Management, Small Business Development Branch (49 CFR 26:11) for each federally funded project awarded.

Project No. STP 0751(076)  
List all quotes/bids received on this project.

DBE (Disadvantaged Business Enterprise) Contractors, Consultants, and Suppliers submitting quotes/bids for this project:

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.
- 8.

DBE (Disadvantaged Business Enterprise) Contractors, Consultants, and Suppliers contacted who did NOT submit quotes/bids for this project.

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.
- 8.

Non-DBE (Disadvantaged Business Enterprise) Contractors, Consultants, and Suppliers submitting quote/bids for this project.

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.
- 8.

If you need additional space, please attach a separate page. If you need assistance regarding this form, please contact Melvin Bynes or Anita Hall at (502)564-3601.



Contract ID:101015

KENTUCKY TRANSPORTATION CABINET  
DEPARTMENT OF HIGHWAYS  
FRANKFORT, KENTUCKY  
PROPOSAL or BID BOND

TC14-14

Bond ID:  
Surety Registry Agency:  
Bond Pct:  
Surety State:

KNOW ALL MEN BY THESE PRESENTS that we,  
as PRINCIPAL, and the \_\_\_\_\_ a corporation  
organized and existing under the laws of the State of  
having its principal place of business at

as SURETY, are held and firmly bound unto the TRANSPORTATION CABINET  
in its official capacity as agent of the Commonwealth of Kentucky,  
hereinafter called the CABINET, as OBLIGEE, in the penal sum of 5% of  
the total bid ( DOLLARS), lawful money of  
the United States of America, for the payment of which, well and  
truly to be made, we bid ourselves, our heirs, executors, administrators,  
successors and assigns jointly and severally, firmly by these presents.

WHEREAS the principal is herewith submitting its bid proposal,  
attached hereto, with the CABINET, dated , ,  
for Project No. , Contract ID ,  
Call , County  
for work described in said bid proposal.

The CONDITION OF THE ABOVE OBLIGATION IS SUCH that, if the aforesaid  
principal shall be awarded the contract, the said principal will, within  
fifteen (15) days after the notice of such award, execute the contract  
with required performance and payment bond, provide satisfactory  
evidence of required liability insurance (furnish evidence of  
compliance with process agent's requirements (See 102.15, Spec.)  
and provide the proposed work schedule, then this obligation shall  
be null and void. Upon the failure or refusal of the principal herein  
to provide all of the foregoing requirements or the omission, failure  
or refusal to provide any one of the same, then in this event the  
bond shall be considered forfeited and the principal and the surety  
will pay unto the obligee the penal sum of 5% of the total bid  
( DOLLARS) upon demand, which is  
the amount of this bid bond and for which the principal and surety  
have obligated themselves herein, as agreed damages. However, in  
no event shall the surety's Liability exceed the penal sum hereof.

Attest:  
  
\_\_\_\_\_  
(Corporate Seal)

PRINCIPAL:  
jim  
  
,  
BY \_\_\_\_\_

Attest:  
  
\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

BY

\_\_\_\_\_

	<p>KENTUCKY TRANSPORTATION CABINET Department of Highways <b>DIVISION OF CONSTRUCTION PROCUREMENT</b></p> <p><b>NONDISCRIMINATION IN EMPLOYMENT</b></p>	<p>TC 14-313 Rev. 06/2009 Page 1 of 1</p>
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**TO** *(name)*

The undersigned currently holds with the Transportation Cabinet, Commonwealth of Kentucky, Frankfort, Kentucky, CID # \_\_\_\_\_ for FED/STATE PROJECT # \_\_\_\_\_.

You are advised that under the provisions of the above contract or subcontract and in accordance with KRS Chapter 344, *An Act of the Kentucky General Assembly to Prevent Discrimination in Employment* and Presidential Executive Order No. 11246 of September 24, 1965, the undersigned is obliged not to discriminate against any employee or applicant for employment because of race, color, age, sex, religion, or national origin. This obligation not to discriminate in employment includes, but is not limited to, the following:

- Hiring, placement upgrading, transfer, or demotion
- Recruitment, advertising, or solicitation for employment
- Training during employment
- Rates of pay or other forms of compensation
- Selection for training including apprenticeship
- Layoff or termination


This notice is furnished to you pursuant the provisions of the above contract or subcontract and KRS Chapter 344 in regard to nonfederal-aid projects and Presidential Executive Order No. 11246 of September 24, 1965, in regard to federal-aid projects.

**SIGNATURE** *(contractor/subcontractor)*

**BY** \_\_\_\_\_

**DATE** \_\_\_\_\_

**The contractor shall post copies of this notice in conspicuous places available to employees or applicants for employment.**

		KENTUCKY TRANSPORTATION CABINET Department of Highways <b>DIVISION OF CONSTRUCTION PROCUREMENT</b>		TC 14-310 Rev. 08/2007 Page 1 of 1
<b>NOTICE OF SELECTION OF TRAINEE AND TRAINEE WORK HISTORY</b>				
<b>NAME (contractor)</b>		<b>COUNTY #</b>	<b>FED/STATE PROJECT #</b>	<b>CONTRACT ID</b>
<b>NAME (trainee)</b>			<b>SSN (last four digits)</b>	<b>PHONE</b>
<b>ADDRESS (trainee's street)</b>				
<b>CITY</b>			<b>STATE</b>	<b>ZIP</b>
<b>CLASSIFICATION</b>			<b>WAGE (per hour)</b>	
<b>ETHNIC GROUP (Check one.)</b> <input type="checkbox"/> American Indian or Alaskan Native <input type="checkbox"/> Asian or Pacific Islander <input type="checkbox"/> Black <input type="checkbox"/> Hispanic <input type="checkbox"/> Other: _____				
<b>GENDER (Check one.)</b> <input type="checkbox"/> Male <input type="checkbox"/> Female				
<b>REFERRAL SOURCE</b>				
<b>WORK HISTORY (List all work experience related to construction work.)</b>				
<b>NOTE:</b> This report must be filed and approved prior to trainee commencing work. Return completed form to: 200 Mero Street, Frankfort, KY 40622				
<b>FOR TRANSPORTATION CABINET USE ONLY</b>				
<input type="checkbox"/> <b>Approved</b>		<b>BY (Division of Construction Procurement)</b>		<b>DATE</b>
<b>REMARKS</b>				



**TRANSPORTATION CABINET**

Frankfort, Kentucky 40622  
www.transportation.ky.gov/

**Steven L. Beshear**  
Governor

**Michael W. Hancock, P.E.**  
Acting Secretary

June 10, 2010

THE ALLEN COMPANY INC  
3009 ATKINSON AVE SUITE 300  
Lexington, KY 40509

RE: NOTICE TO BEGIN WORK - Contract ID #101019  
AWARD AMOUNT - \$ 32,854,330.28  
LETTING DATE - May 28, 2010  
AWARD DATE - June 03, 2010  
CLARK COUNTY, IM-NH 0645(068)  
ASPHALT SURFACE WITH BRIDGE

Dear Contractor:

We are enclosing for your record a copy of the executed contract on the subject project. This is your notice to proceed with the contract work.

When the contract specifies a fixed completion date, the contractor will begin work after receipt of the Notice to Begin Work at such time as will enable him to complete the work by the specified completion date. Please inform the District Engineer at once as to your plans for beginning work on this project.

The contract time established for the completion of this project is November 01, 2011

Sincerely yours,

Ryan Griffith, Director  
Division of Construction Procurement

RG/AB

CC: DIVISION OF ACCOUNTS  
DIVISION OF CONSTRUCTION  
DIVISION OF MATERIALS  
DISTRICT ENGINEER

CONTRACTORS ASSOCIATION  
HARTFORD FIRE INSURANCE COMPANY  
PHOENIX INSURANCE COMPANY  
BIG MEADOW OIL COMPANY  
SPARTAN CONSTRUCTION  
BAR-TIE REINFORCING



An Equal Opportunity Employer M/F/D

PART 1

TRANSPORTATION CABINET  
DEPARTMENT OF HIGHWAYS  
DIVISION OF CONSTRUCTION PROCUREMENT  
FRANKFORT, KENTUCKY

POSTED: June 18, 2010  
NOTICE TO CONTRACTORS

SEALED BIDS WILL BE RECEIVED ELECTRONICALLY THROUGH THE BID EXPRESS BIDDING SERVICE UNTIL 10:00 AM (EASTERN DAYLIGHT TIME) ON FRIDAY, JULY 30, 2010. BIDS WILL BE PUBLICLY OPENED AND READ AT 10:00 AM (EASTERN DAYLIGHT TIME) FOR IMPROVEMENT OF:

CALL 200 CONTRACT ID 101321

MCCRACKEN, LIVINGSTON COUNTIES  
BRO 0601 (159)  
PADUCAH-SMITHLAND ROAD (US60)  
THE DBE PARTICIPATION GOAL IS 0.80%.

LIVINGSTON COUNTY  
BRO 0601 (159): PADUCAH-SMITHLAND ROAD (US 60) CONSTRUCT BRIDGE AND APPROACHES OVER TENNESSEE RIVER, A DISTANCE OF 0.93 MILES. BRIDGE WITH GRADE, DRAIN & SURFACE.  
SYP NO. 01-01115.08.

MCCRACKEN COUNTY  
BRO 0601 (159): PADUCAH-SMITHLAND ROAD (US 60) CONSTRUCT BRIDGE AND APPROACHES OVER TENNESSEE RIVER, A DISTANCE OF 0.54 MILES. BRIDGE WITH GRADE, DRAIN & SURFACE.  
SYP NO. 01-01115.08.

- \* A MANDATORY PRE-BID MEETING WILL BE HELD ON July 07, 2010, 10:00 A.M. PREVAILING TIME, AT THE TRANSPORTATION CABINET OFFICE BUILDING, 200 MERO STREET, FRANKFORT, KY 40622.
- \* ANY COMPANY THAT IS INTERESTED IN BIDDING ON THE SUBJECT PROJECT OR BEING PART OF A JOINT VENTURE MUST BE REPRESENTED AT THE PRE-BID MEETING.
- \* NO INDIVIDUAL CAN REPRESENT MORE THAN ONE COMPANY. AT THE MEETING, A ROSTER WILL BE TAKEN OF THE REPRESENTATIVES PRESENT. ONLY COMPANIES REPRESENTED AT THE MEETING WILL BE ELIGIBLE TO HAVE THEIR BIDS OPENED AT THE DATE OF LETTING.

NOTICE TO CONTRACTORS  
JULY 30, 2010 LETTING  
PAGE 2

ANY PROSPECTIVE BIDDERS INTERESTED IN REVIEWING THESE PROJECTS AT THE SITE AND DISCUSSING THE PARTICULARS OF THESE PROJECTS SHALL CONTACT THE DISTRICT OFFICE PRIOR TO 12:00 NOON, PREVAILING TIME, JULY 19, 2010. UPON REQUEST, THE DISTRICT OFFICE SHALL PROVIDE PERSONNEL ON JULY 20, 2010, TO GO OVER THE PROJECT WITH ANY PROSPECTIVE BIDDERS.

PROPOSALS FOR THESE PROJECTS WILL BE AVAILABLE AT THE DIVISION OF CONSTRUCTION PROCUREMENT WEBSITE. CONTRACTORS MUST REGISTER FOR EACH PROJECT THEY INTEND TO BID. THE BIDDER REGISTRATION FORM IS AVAILABLE ON THE WEBSITE. THE DEPARTMENT WILL ONLY ACCEPT BID REGISTRATION FORMS FROM CONTRACTORS WHO ARE PREQUALIFIED IN THE WORK CATEGORIES OF THE PARTICULAR PROJECT.

INTERNET:

THE DIVISION OF CONSTRUCTION PROCUREMENT HAS ESTABLISHED A WEB PAGE ON THE INTERNET. BY USING THE FOLLOWING ADDRESS: [WWW.TRANSPORTATION.KY.GOV/CONTRACT](http://WWW.TRANSPORTATION.KY.GOV/CONTRACT). YOU MAY THEN VIEW AND/OR DOWNLOAD THE FOLLOWING FILES:

(1) BULLETINS. (2) BID ITEM QUANTITY INDEX. (3) ELIGIBLE BIDDERS LIST. (4) NOTICE TO CONTRACTORS. (5) BIDDER REGISTRATION FORM. (6) UNIT BID TABULATIONS. (7) PROPOSALS. (8) EXPEDITE BID FILES. (9) QUESTIONS AND ANSWERS. (10) LETTING RESULTS. (11) DESIGN BUILD PROJECTS. (12) EXPEDITE SOFTWARE. (13) ANTICIPATED EARNING SPREADSHEET. (14) PREQUALIFIED CONTRACTORS LIST. (15) E-FORMS LIBRARY. (16) AVERAGE UNIT BID PRICES. (17) ASPHALT PRICE INDEX. (18) GENERAL PARTICIPATION PLAN. (19) DBE DIRECTORIES. (20) ADDENDUM HISTORY. (21) QUALIFICATIONS FOR BIDDERS. (22) LETTING SPECIFIC BULLETINS.

REQUEST FOR PROPOSALS SHOULD BE MADE TO:

DEPARTMENT OF HIGHWAYS  
TRANSPORTATION CABINET OFFICE BUILDING  
DIVISION OF CONSTRUCTION PROCUREMENT  
200 MERO STREET, 3RD FLOOR  
FRANKFORT, KENTUCKY 40622  
TELEPHONE: (502) 564-3500  
FAX: (502) 564-8961 OR (502) 564-7299

REQUEST FOR PLANS (NON REFUNDABLE) SHOULD BE MADE TO:

[HTTP://WWW.LYNNIMAGING.COM/KYTRANSPORTATION/](http://WWW.LYNNIMAGING.COM/KYTRANSPORTATION/)

TRANSPORTATION CABINET  
FRANKFORT, KENTUCKY

DIVISION OF CONSTRUCTION PROCUREMENT

OFFICIAL ORDER NO. 106002


SUBJECT: AWARD OF PROJECT

IN ACCORDANCE WITH OFFICIAL ADVERTISEMENT, BIDS WERE PUBLICLY OPENED AND READ AT THE CENTRAL OFFICE OF THE DEPARTMENT OF HIGHWAYS ON APRIL 23, 2010.

THE AWARDS COMMITTEE REVIEWED AND CONSIDERED THE BIDS RECEIVED ON THE FOLLOWING PROJECT, AND UPON RECOMMENDATION OF THE AWARDS COMMITTEE, IT IS HEREBY DIRECTED THAT THE FOLLOWING PROJECT BE AWARDED, THAT THE SUCCESSFUL BIDDER BE SO NOTIFIED IN WRITING, AND A CONTRACT BE EXECUTED.

CALL #104 CONTRACT ID #101313  
JEFFERSON COUNTY, BRZ 0503 (216): OLD LAGRANGE ROAD (CR 1010), BRIDGE WITH GRADE, DRAIN & SURFACE, A DISTANCE OF 0.04 MILES. THE LOW BID WAS SUBMITTED BY LOUISVILLE PAVING COMPANY INC, 1801 PAYNE STREET, Louisville, KY, IN THE AMOUNT OF \$243,197.92.

SIGNED AND APPROVED THIS 3<sup>rd</sup> DAY OF May, 2010.

  
STATE HIGHWAY ENGINEER - DEPARTMENT OF HIGHWAYS



TRANSPORTATION CABINET  
FRANKFORT, KENTUCKY

DIVISION OF CONSTRUCTION PROCUREMENT

OFFICIAL ORDER NO. 106081

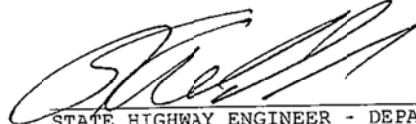
SUBJECT: REJECTION OF PROJECT

IN ACCORDANCE WITH OFFICIAL ADVERTISEMENT, BIDS WERE PUBLICLY OPENED AND READ AT THE CENTRAL OFFICE OF THE DEPARTMENT OF HIGHWAYS ON MAY 28, 2010.

THE AWARDS COMMITTEE REVIEWED AND CONSIDERED THE BID RECEIVED ON THE FOLLOWING PROJECT, AND UPON RECOMMENDATION OF THE AWARDS COMMITTEE, IT IS HEREBY DIRECTED THAT THE FOLLOWING PROJECT BE REJECTED.

CALL #301                      CONTRACT ID #102055  
GREENUP COUNTY, FD05 045 0023 000-005: COUNTRY MUSIC HIGHWAY (US 23), ASPHALT  
RESURFACING, A DISTANCE OF 4.48 MILES.

SIGNED AND APPROVED THIS 8<sup>th</sup> DAY OF June, 2010.



STATE HIGHWAY ENGINEER - DEPARTMENT OF HIGHWAYS

U.S. DEPARTMENT OF TRANSPORTATION FEDERAL HIGHWAY ADMINISTRATION  <b>SEMIANNUAL LABOR COMPLIANCE ENFORCEMENT REPORT</b>  (Pursuant to 29 C.F.R. 5.7 (b))		STATE OR DIVISION  DATE
<b>FOR PROJECTS SUBJECT TO THE DAVIS-BACCN AND RELATED ACTS</b>		
ITEM		NUMBER/AMOUNT
1. Period Covered	From: _____ To: _____	
2. Number of Prime Contracts Awarded		
3. Total Dollar Amount of Prime Contracts Awarded		
4. Number of Contractors/Subcontractors Against Whom Complaints Were Received		
5. Number of Investigations Completed		
6. Number of Contractors/Subcontractors Found in Violation		
7. Amount of Wages found DUE	(a) Davis-Bacon and Related Acts	
	(b) Contract Work Hours and Safety Standards Act	
8. Amount of Back Wages Paid	(a) Davis-Bacon and Related Acts	
	(b) Contract Work Hours and Safety Standards Act	
9. Number of Employees Due Wage Restitution Under the Davis-Bacon and Related Acts and Contract Work Hours and Safety Standards Act		
10. Amount of Liquidated Damages Assessed Under the Contract Work Hours and Safety Standards Act		
11. REMARKS		
RESPONSIBLE OFFICER	Send To:  DOL - Wage and Hour Division Office of Enforcement Policy Government Contracts Team, Room S-3018 200 Constitution Ave., NW Washington DC 20210	
TITLE		
SIGNATURE		

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**INSTRUCTIONS**General:

1. Reports shall be submitted to the Department of Labor by each Division Administrator. The report is due on or before April 30 and October 30 of each year.
2. Violations of the Fair Labor Standards Act shall not be included in the reports.
6. Enter the number of contractors/subcontractors found to be in violation as a result of the investigations reported in item 5. Do not count a contractor/subcontractor more than once in a single investigation because he/she has violated more than one act or because more than one contract is included in the investigation.

Comments on Report Items:

1. Enter the beginning and ending dates (either October 1 through March 31 or April 1 through September 30) of the period covered by each semi-annual report.
2. Enter the total number of contracts awarded by FHWA and/or the State departments of transportation which are subject to the Davis Bacon and Related Acts and the Contract Work Hours and Safety Standards Act.
3. Enter the total dollar amount of the contracts from item 2.
4. Enter the total number of contractors/subcontractors against whom complaints were received.
5. Enter the total number of full scale investigations completed. A full scale investigation is a complete and detailed investigation into the administration of labor standards provisions. Do not include routine payroll checks. However, a full scale investigation may be one limited to some portion of a contractor's/subcontractor's operation such as a single paving operation.
7. Enter the total dollar amount of wages found to be due employees of the contractors/subcontractors reported in item 6. Separate the dollar amounts according to (a) violations of the Davis-Bacon and Related Acts and (b) violations of the Contract Work Hours and Safety Standards Act.
8. Enter the total dollar amounts of back wages paid to employees of the contractor/subcontractor reported in item 6. Separate the dollar amount according to (a) violations of the Davis-Bacon and Related Acts and (b) violations of the Contract Work Hours and Safety Standards Act.
9. Enter the number of employees to whom the wages were paid in item 8. This figure is the unduplicated count, i.e., an employee paid wages as a result of an investigation shall be counted only once regardless of the fact that he/she may have been paid under both Davis-Bacon and Related Acts and the Contract Work Hours and Safety Standards Act.
10. Enter the total dollar amount of liquidated damages assessed as a result of violations of the Contract Work Hours and Safety Standards Act.
11. Enter any explanation or comment considered necessary to a proper understanding of the report. A separate sheet may be attached if necessary.

		KENTUCKY TRANSPORTATION CABINET Department of Highways <b>DIVISION OF CONSTRUCTION PROCUREMENT</b>	TC 14-309 Rev. 02/2013 Page 1 of 1
<b>TRAINEE CLASSIFICATION FORM AND NOTICE OF SELECTION OF TRAINING PROGRAM</b>			
NAME ( <i>contractor</i> )		Prime Contractor <input type="checkbox"/> Subcontractor <input type="checkbox"/>	CONTRACT ID
ADDRESS ( <i>street</i> )		FED/STATE PROJECT #	
CITY	STATE	ZIP	
TRAINEE REPRESENTATIVE	COUNTY	PHONE	
<input type="checkbox"/> Kentucky Association of Highway Contractors <input type="checkbox"/> Highway Contractors, Inc. & International Union of Operating Engineers, Local 181 <input type="checkbox"/> Heavy & Highway Contractors Multi-Employer Bargaining Assoc. & United Steelworkers of America, ALF-CIO, CLC <input type="checkbox"/> Iron Worker Employers Assoc. & The International Assoc. of Bridge, Structural, Ornamental, & Reinforcing, Local 70 <input type="checkbox"/> Ohio Operating Engineers Apprenticeship & Training Fund <input type="checkbox"/> Kentucky State District Council of Carpenters <input type="checkbox"/> Whitney M. Young, Jr. Job Corps Center <input type="checkbox"/> Other			
<b>CLASSIFICATION</b>	<b>TRAINEES (#)</b>	<b>SUB'S TRAINEES (#)</b>	<b>TENTATIVE STARTING DATE</b>
<b>CERTIFIED BY</b> ( <i>contractor's authorized agent</i> )		<b>REPORT TO:</b> Kentucky Transportation Cabinet Div. of Construction Procurement, Compliance Section 200 Mero St, Third Floor Frankfort, Ky 40622 (502) 564-3500	
<b>NOTE:</b> This report must be filed for approval prior to commencing construction.			
<b>FOR TRANSPORTATION CABINET USE ONLY</b>			
<input type="checkbox"/> Approved	BY ( <i>Division of Construction Procurement</i> )		DATE
REMARKS			

	KENTUCKY TRANSPORTATION CABINET Department of Highways <b>DIVISION OF CONSTRUCTION PROCUREMENT</b>	TC 14-318 Rev. 02/2013 Page 1 of 1	
<b>WAGE COMPLAINT BY EMPLOYEE</b>			
<b>INSTRUCTIONS:</b> Promptly after violation, file complaint with the Division of Construction Procurement or Section Engineer.			
<b>SECTION 1: COMPLAINANT INFORMATION</b>			
FIRST NAME	LAST NAME	DATE	PHONE
ADDRESS	CITY	STATE	ZIP
<b>SECTION 2: PROJECT/CONTRACTOR INFORMATION</b>			
PROJECT IDENTIFICATION			
LOCATION		DEPARTMENT REPRESENTATIVE	
CONTRACTOR NAME		CONTRACTOR SUPERINTENDENT/FOREMAN	
ADDRESS	CITY	STATE	ZIP
<b>SECTION 3: COMPLAINT INFORMATION</b>			
NATURE OF COMPLAINT <i>(Check all that apply.)</i> <input type="checkbox"/> Improperly Classified <input type="checkbox"/> Wages Incorrect <input type="checkbox"/> Overtime Claimed <input type="checkbox"/> Other <i>(Explain.)</i> _____			
EMPLOYMENT DATES	FROM DATE	TO DATE	
TIME FRAME OF ALLEGED VIOLATION			
STATEMENT OF ALLEGED VIOLATION			
SIGNATURE <i>(complainant)</i>		DATE	
REMARKS <i>(For Division of Construction Procurement Office Use Only)</i>			

	KENTUCKY TRANSPORTATION CABINET Department of Highways <b>DIVISION OF CONSTRUCTION PROCUREMENT</b>	TC 14-311 Rev. 06/2007 Page 1 of 1
<b>WEEKLY TRAINING REPORT</b>		

NAME (contractor)	COUNTY #	FED/STATE PROJECT #	CONTRACT ID
NAME (trainee)		SSN (last four digits)	WAGE (per hour)

**CLASSIFICATION**

**ETHNIC GROUP (Check one.)**

American Indian or Alaskan Native  
 Asian or Pacific Islander  
 Black  
 Hispanic  
 Other: \_\_\_\_\_

**GENDER (Check one.)**

Male  
 Female

DATE (training started) **Reporting Hours in Accordance with Individual Training Program**

TRAINING CATEGORY	PHASE I	PHASE II	PHASE II	TOTALS
HOURS TRAINED THIS WEEK				
HOURS REQUIRED				
TOTAL HOURS TRAINED				
TRAINING HOURS REMAINING				

**REMARKS**

PREPARED BY	TITLE	DATE
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Return completed form to: 200 Mero Street, Frankfort, KY 40622

# BIDDER REGISTRATION FORM

**Letting: July 30, 2010 PART 1, 2 & 3**

Submit Form

Bidders: Contractors desiring to submit a bid (Either Printed version or electronic bid via Bid Express) MUST register with KYTC as a valid bidder.  
 Failure to register will cause failure of the Bid Express bid submission process.

Please complete this form and FAX to 502-564-8961 or 502-564-7299 or email kytc.bidrequest@ky.gov

Company Name: \_\_\_\_\_ Phone #: \_\_\_\_\_ Email: \_\_\_\_\_  
 Requested By: \_\_\_\_\_ Vendor # \_\_\_\_\_

CALL CID	COUNTY	CALL CID	COUNTY	CALL CID	COUNTY	CALL CID	COUNTY				
100	101320	Jefferson	316	102945	Perry	408	103306	Wayne	436	103225	Wolfe
101	104009	Clark	317	103308	Cumberland	409	103310	Clinton	437	103226	Magoffin
102	104010	Clark	318	101323	Rowan	410	103112	Shelby	438	103228	Knott
103	104011	Knott	319	102946	Lee	411	103311	McCreary	439	103317	Graves
104	101028	Laurel	320	103218	Nicholas	412	103111	Laurel	440	103319	Hickman
106	101027	Knott	321	103220	Hancock	413	103113	Hart	441	103320	Harrison
107	101029	Kenton-Boone	322	102248	McLean	414	102035	Todd	442	103321	Grant
108	101322	Christian	323	102247	Fleming	415	102252	Muhlenberg	443	103322	Fulton
109	101325	Allen	324	102249	Caulisle	416	102953	Jefferson			
110	104020	Franklin	325	102250	Henderson	417	103114	Hardin			
200	101321	Various	326	102251	Greenup	418	103116	Logan			
201	104013	Todd	327	102253	McCracken	419	103117	Henry			
300	101319	Ballard	328	102254	Caldwell	420	103120	Monroe			
301	101324	Campbell	329	102255	Harlan	421	103215	Rowan			
302	103309	McCreary	330	102955	Bell	422	103219	Fleming			
303	102213	Bath	331	103115	Butler	423	103222	Floyd			
304	102236	Henry	332	103205	Lee	424	103223	Letcher			
305	102238	Clinton	333	103221	Ohio	425	103224	Pike			
306	102239	Campbell	334	102256	Fayette	426	103302	Pulaski			
307	102240	Green	335	103318	Gallatin	427	103312	Lincoln			
308	102242	Rockcastle	400	103107	Breckinridge	428	103313	Adair			
309	102241	McCreary	401	103307	Russell	429	103314	Rockcastle			
310	102243	Casey	402	103108	Grayson	430	103315	Casey			
311	102245	Lincoln	403	103305	Marshall	431	103316	Owen			
312	102246	Harrison	404	102234	Trigg-Marshall	432	103118	Edmonson			
313	102244	Washington	405	102237	Davness	433	103121	Simpson			
314	102943	Wolfe	406	103109	Meade	434	103124	Warren			
315	102944	Powell	407	103110	Jackson	435	103125	Bell			

\*Bidders must have appropriate prequalification for each project requested. For Prequalification or general questions, please call 502-564-3500.

TRANSPORTATION CABINET  
DIVISION OF CONTRACT PROCUREMENT  
REQUIRED WORK ITEMS FOR BIDDERS  
LETTING July 30, 2010

PAGE 1

July 16, 2010

COUNTY CALL - CONTID	ITEM NO.	ROUTE	TYPE OF WORK
-----			
JEFFERSON COUNTY			
100 - 101320	Qualifications Required - A, C1, C2 05-00271.00	I 265	ASPHALT REHAB WITH GRADE & DRAIN.
CLARK COUNTY			
101 - 104009	Qualifications Required - C1, C2 -	US 60	ASPHALT SURFACE WITH GUARDRAIL.
CLARK COUNTY			
102 - 104010	Qualifications Required - C1, C2 -	KY 1927	ASPHALT SURFACE WITH GRADE & DRAIN.
KNOTT COUNTY			
103 - 104011	Qualifications Required - A, I15, E1, E2, E3, E5 -	KY 899	GRADE & DRAIN.
LAUREL COUNTY			
104 - 101028	Qualifications Required - A, I04 08-08501.00	I 75	GUARDRAIL.
KNOTT COUNTY			
106 - 101027	Qualifications Required - E2, E3, E5 12-01080.00	KY 1098	BRIDGE WITH GRADE, DRAIN & SURFACE.
KENTON, BOONE COUNTIES			
107 - 101029	Qualifications Required - A, I04 06-02800.10	I 275	GUARDRAIL.
CHRISTIAN COUNTY			
108 - 101322	Qualifications Required - E2, E3, E5 02-01068.00	CS 1007	BRIDGE REPLACEMENT.
ALLEN COUNTY			
109 - 101325	Qualifications Required - E3, E5 03-08100.00	KY 100	GRADE & DRAIN WITH BRIDGE.
FRANKLIN COUNTY			
110 - 104020	Qualifications Required - C1, C2 -	KY 676	ASPHALT SURFACE WITH GUARDRAIL.
MCCRACKEN, LIVINGSTON COUNTIES			
200 - 101321	Qualification Required - E5 01-01115.08	US 60	BRIDGE WITH GRADE, DRAIN & SURFACE.
	01-01115.08	US 60	BRIDGE WITH GRADE, DRAIN & SURFACE.
TODD COUNTY			
201 - 104013	Qualification Required - A -	US 181	GRADE & DRAIN.
	-	KY 107	GRADE & DRAIN.
BALLARD COUNTY			
300 - 101319	Qualifications Required - A, C1, C2 01-07000.00	KY 286	GRADE & DRAIN WITH ASPHALT SURFACE.
CAMPBELL COUNTY			
301 - 101324	Qualifications Required - A, C1, C2 06-00224.00	KY 8	GRADE & DRAIN WITH ASPHALT SURFACE.
MCCRERY COUNTY			
302 - 103309	Qualifications Required - C1, C2 -	CR 1062	ASPHALT RESURFACING.
BATH COUNTY			
303 - 102213	Qualifications Required - C1, C2 -	KY 211	ASPHALT RESURFACING.



REQUIRED WORK ITEMS FOR BIDDERS  
 LETTING July 30, 2010

PAGE 2

July 16, 2010

HENRY COUNTY 304 - 102236	Qualifications Required - C1, C2 - KY 193 ASPHALT RESURFACING.
CLINTON COUNTY 305 - 102238	Qualifications Required - C1, C2 - KY 90 ASPHALT RESURFACING.
CAMPBELL COUNTY 306 - 102239	Qualifications Required - C2 - KY 9 ASPHALT RESURFACING.
GREEN COUNTY 307 - 102240	Qualifications Required - A, I04 - KY 0565 GUARDRAIL.
ROCKCASTLE COUNTY 308 - 102242	Qualifications Required - C1, C2 - KY 1229 ASPHALT RESURFACING.
MCCREARY COUNTY 309 - 102241	Qualifications Required - C2 - US 27 ASPHALT RESURFACING.
CASEY COUNTY 310 - 102243	Qualifications Required - C1, C2 - KY 910 ASPHALT RESURFACING.
LINCOLN COUNTY 311 - 102245	Qualifications Required - C2 - US 27 ASPHALT RESURFACING.
HARRISON COUNTY 312 - 102246	Qualifications Required - C2 - US 27 ASPHALT RESURFACING.
WASHINGTON COUNTY 313 - 102244	Qualifications Required - C1, C2 - KY 152 ASPHALT RESURFACING.
WOLFE COUNTY 314 - 102943	Qualifications Required - I16, I17, E1, E2, E3, E5 - KY 9000 BRIDGE DECK RESTORATION & WATERPROOFING.
POWELL COUNTY 315 - 102944	Qualifications Required - I16, I17, E1, E2, E3, E5 - KY 9000 BRIDGE DECK RESTORATION & WATERPROOFING.
PERRY COUNTY 316 - 102945	Qualifications Required - I16, I17, E1, E2, E3, E5 - KY 0699 BRIDGE DECK RESTORATION & WATERPROOFING.
CUMBERLAND COUNTY 317 - 103308	Qualifications Required - C1, C2 - KY 485 ASPHALT RESURFACING.
ROWAN COUNTY 318 - 101323	Qualifications Required - A 09-00197.00 CS 1088 GRADE & DRAIN WITH ASPHALT SURFACE.
LEE COUNTY 319 - 102946	Qualifications Required - I16, I17, E1, E2, E3, E5 - KY 2016 BRIDGE DECK RESTORATION & WATERPROOFING.
NICHOLAS COUNTY 320 - 103218	Qualifications Required - C1, C2 - KY 386 ASPHALT RESURFACING.
HANCOCK COUNTY 321 - 103220	Qualifications Required - C1, C2 - KY 2181 ASPHALT RESURFACING.

REQUIRED WORK ITEMS FOR BIDDERS  
LETTING July 30, 2010

PAGE 3

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MCLEAN COUNTY 322 - 102248	Qualifications Required - C1, C2 - KY 136 ASPHALT RESURFACING.
FLEMING COUNTY 323 - 102247	Qualifications Required - C2 - KY 32 ASPHALT RESURFACING.
CARLISLE COUNTY 324 - 102249	Qualifications Required - A, I15, E1, E2, E3, E5 - KY 545 CULVERT REPLACEMENT.
HENDERSON COUNTY 325 - 102250	Qualifications Required - A, C1, C2 - US 41 JPC PAVEMENT REPAIRS.
GREENUP COUNTY 326 - 102251	Qualifications Required - C2 - US 23 ASPHALT RESURFACING.
MCCRACKEN COUNTY 327 - 102253	Qualifications Required - A, I15, I24, E1, E2, E3, E5 - US 60 MAINTENANCE.
CALDWELL COUNTY 328 - 102254	Qualifications Required - C1, C2 - KY 139 ASPHALT RESURFACING.
HARLAN COUNTY 329 - 102255	Qualifications Required - A, I35, I40, E1, E2, E3, E5 - KY 179 SLIDE REPAIR.
BELL COUNTY 330 - 102955	Qualifications Required - B, I28 - US 025E JPC PAVEMENT REPAIRS.
BUTLER COUNTY 331 - 103115	Qualifications Required - C1, C2 - KY 1117 ASPHALT RESURFACING.
LEE COUNTY 332 - 103205	Qualifications Required - C1, C2 - KY 2017 ASPHALT RESURFACING.
OHIO COUNTY 333 - 103221	Qualifications Required - C1, C2 - KY 505 ASPHALT RESURFACING.
FAYETTE COUNTY 334 - 102256	Qualifications Required - C2 - KY 4 ASPHALT RESURFACING.
GALLATIN COUNTY 335 - 103318	Qualifications Required - C1, C2 - KY 455 ASPHALT RESURFACING.
BRECKINRIDGE COUNTY 400 - 103107	Qualification Required - C1, C2 - KY 992 ASPHALT RESURFACING. - KY 1740 ASPHALT RESURFACING. - KY 2781 ASPHALT RESURFACING.
RUSSELL COUNTY 401 - 103307	Qualification Required - C1, C2 - KY 619 ASPHALT RESURFACING. - KY 910 ASPHALT RESURFACING.
GRAYSON COUNTY 402 - 103108	Qualification Required - C1, C2 - KY 720 ASPHALT RESURFACING. - KY 1168 ASPHALT RESURFACING. - KY 1356 ASPHALT RESURFACING.

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## MARSHALL COUNTY

403 - 103305 Qualification Required - C1, C2  
 - KY 0408 ASPHALT RESURFACING.  
 - KY 1610 ASPHALT RESURFACING.

## TRIGG, MARSHALL COUNTIES

404 - 102234 Qualification Required - C2  
 - US 68 ASPHALT RESURFACING.  
 - US 68X ASPHALT RESURFACING.

## DAVISS COUNTY

405 - 102237 Qualification Required - C1, C2  
 - KY 81 ASPHALT RESURFACING.  
 - KY 2235 ASPHALT RESURFACING.

## MEADE COUNTY

406 - 103109 Qualification Required - C1, C2  
 - KY 1600 ASPHALT RESURFACING.  
 - KY 1735 ASPHALT RESURFACING.  
 - KY 2726 ASPHALT RESURFACING.

## JACKSON COUNTY

407 - 103110 Qualification Required - C1, C2  
 - KY 89 ASPHALT RESURFACING.  
 - KY 89 ASPHALT RESURFACING.  
 - KY 1912 ASPHALT RESURFACING.  
 - KY 1955 ASPHALT RESURFACING.  
 - KY 3446 ASPHALT RESURFACING.

## WAYNE COUNTY

408 - 103306 Qualification Required - C1, C2  
 - KY 167 ASPHALT RESURFACING.  
 - KY 1275 ASPHALT RESURFACING.  
 - KY 3106 ASPHALT RESURFACING.

## CLINTON COUNTY

409 - 103310 Qualification Required - C1, C2  
 - KY 553 ASPHALT RESURFACING.  
 - KY 0558 ASPHALT RESURFACING.

## SHELBY COUNTY

410 - 103112 Qualification Required - C1, C2  
 - KY 12 ASPHALT RESURFACING.  
 - KY 362 ASPHALT RESURFACING.  
 - KY 395 ASPHALT RESURFACING.  
 - KY 1005 ASPHALT RESURFACING.  
 - KY 1531 ASPHALT RESURFACING.  
 - KY 1848 ASPHALT RESURFACING.  
 - KY 2862 ASPHALT RESURFACING.

## MCCREARY COUNTY

411 - 103311 Qualification Required - C1, C2  
 - KY 478 ASPHALT RESURFACING.  
 - KY 1044 ASPHALT RESURFACING.

## LAUREL COUNTY

412 - 103111 Qualification Required - C1, C2  
 - KY 552 ASPHALT RESURFACING.  
 - KY 1006 ASPHALT RESURFACING.  
 - KY 1803 ASPHALT RESURFACING.  
 - KY 1810 ASPHALT RESURFACING.  
 - KY 2041 ASPHALT RESURFACING.  
 - KY 3431 ASPHALT RESURFACING.  
 - KY 3432 ASPHALT PAVEMENT & ROADWAY REHABILITATIO.  
 - KY 3435 ASPHALT RESURFACING.

## HART COUNTY

413 - 103113 Qualification Required - C1, C2  
 - KY 566 ASPHALT RESURFACING.  
 - KY 2185 ASPHALT RESURFACING.

REQUIRED WORK ITEMS FOR BIDDERS  
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## TODD COUNTY

414 - 102035 Qualification Required - C1, C2  
 - KY 107 ASPHALT RESURFACING.  
 - KY 181 ASPHALT RESURFACING.

## MUHLENBERG COUNTY

415 - 102252 Qualification Required - C1, C2  
 - US 62 ASPHALT RESURFACING.  
 - US 62 ASPHALT RESURFACING.  
 - KY 604 ASPHALT RESURFACING.

## JEFFERSON COUNTY

416 - 102953 Qualification Required - I16, I17, E1, E2, E3, E5  
 - KY 0148 BRIDGE DECK RESTORATION & WATERPROOFING.  
 - KY 1531 BRIDGE DECK RESTORATION & WATERPROOFING.  
 - KY 1531 BRIDGE DECK RESTORATION & WATERPROOFING.  
 - KY 1531 BRIDGE DECK RESTORATION & WATERPROOFING.

## HARDIN COUNTY

417 - 103114 Qualification Required - C1, C2  
 - KY 583 ASPHALT RESURFACING.  
 - KY 1375 ASPHALT RESURFACING.  
 - KY 1868 ASPHALT RESURFACING.  
 - KY 2802 ASPHALT RESURFACING.

## LOGAN COUNTY

418 - 103116 Qualification Required - C1, C2  
 - KY 73 ASPHALT RESURFACING.  
 - KY 1293 ASPHALT RESURFACING.  
 - KY 1785 ASPHALT RESURFACING.

## HENRY COUNTY

419 - 103117 Qualification Required - C1, C2  
 - KY 389 ASPHALT PAVEMENT & ROADWAY REHABILITATIO.  
 - KY 561 ASPHALT PAVEMENT & ROADWAY REHABILITATIO.

## MONROE COUNTY

420 - 103120 Qualification Required - C1, C2  
 - KY 678 ASPHALT RESURFACING.  
 - KY 839 ASPHALT RESURFACING.

## ROWAN COUNTY

421 - 103215 Qualification Required - C1, C2  
 - KY 799 ASPHALT RESURFACING.  
 - KY 1722 ASPHALT RESURFACING.

## FLEMING COUNTY

422 - 103219 Qualification Required - C1, C2  
 - KY 156 ASPHALT RESURFACING.  
 - KY 161 ASPHALT RESURFACING.  
 - KY 170 ASPHALT RESURFACING.  
 - KY 2505 ASPHALT RESURFACING.

## FLOYD COUNTY

423 - 103222 Qualification Required - C1, C2  
 - KY 194 ASPHALT PAVEMENT PATCHING.  
 - KY 680 ASPHALT PAVEMENT PATCHING.  
 - KY 1426 ASPHALT PAVEMENT PATCHING.  
 - KY 1498 ASPHALT PAVEMENT PATCHING.  
 - KY 2030 ASPHALT PAVEMENT PATCHING.  
 - KY 2555 ASPHALT PAVEMENT PATCHING.  
 - KY 3379 ASPHALT PAVEMENT PATCHING.  
 - KY 3380 ASPHALT PAVEMENT PATCHING.

REQUIRED WORK ITEMS FOR BIDDERS  
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## LETCHEE COUNTY

424 - 103223

## Qualification Required - C1, C2

- KY 510 ASPHALT PAVEMENT PATCHING.  
 - KY 806 ASPHALT PAVEMENT PATCHING.  
 - KY 932 ASPHALT PAVEMENT PATCHING.  
 - KY 1103 ASPHALT PAVEMENT PATCHING.  
 - KY 2034 ASPHALT PAVEMENT PATCHING.  
 - KY 2035 ASPHALT PAVEMENT PATCHING.  
 - KY 2036 ASPHALT PAVEMENT PATCHING.  
 - KY 2545 ASPHALT PAVEMENT PATCHING.  
 - KY 3404 ASPHALT PAVEMENT PATCHING.  
 - KY 3410 ASPHALT PAVEMENT PATCHING.

## PIKE COUNTY

425 - 103224

## Qualification Required - C1, C2

- KY 199 ASPHALT RESURFACING.  
 - KY 0881 ASPHALT RESURFACING.  
 - KY 1056 ASPHALT PAVEMENT PATCHING.  
 - KY 1056 ASPHALT RESURFACING.  
 - KY 2061 ASPHALT PAVEMENT PATCHING.  
 - KY 2061 ASPHALT PAVEMENT PATCHING.  
 - KY 2061 ASPHALT RESURFACING.  
 - KY 2062 ASPHALT RESURFACING.

## PULASKI COUNTY

426 - 103302

## Qualification Required - C1, C2

- KY 328 ASPHALT RESURFACING.  
 - KY 635 ASPHALT RESURFACING.  
 - KY 1675 ASPHALT RESURFACING.  
 - KY 2301 ASPHALT RESURFACING.  
 - KY 3261 ASPHALT RESURFACING.  
 - KY 3263 ASPHALT RESURFACING.

## LINCOLN COUNTY

427 - 103312

## Qualification Required - C1, C2

- KY 300 ASPHALT RESURFACING.  
 - KY 1770 ASPHALT RESURFACING.  
 - KY 1770 ASPHALT RESURFACING.

## ADAIR COUNTY

428 - 103313

## Qualification Required - C1, C2

- KY 704 ASPHALT RESURFACING.  
 - KY 768 ASPHALT RESURFACING.

## ROCKCASTLE COUNTY

429 - 103314

## Qualification Required - C1, C2

- KY 1912 ASPHALT RESURFACING.  
 - KY 2549 ASPHALT RESURFACING.

## CASEY COUNTY

430 - 103315

## Qualification Required - C1, C2

- KY 198 ASPHALT RESURFACING.  
 - KY 1552 ASPHALT RESURFACING.  
 - KY 1640 ASPHALT RESURFACING.  
 - KY 1649 ASPHALT RESURFACING.  
 - KY 1676 ASPHALT RESURFACING.  
 - KY 3196 ASPHALT RESURFACING.

## OWEN COUNTY

431 - 103316

## Qualification Required - C1, C2

- KY 607 ASPHALT RESURFACING.  
 - KY 1883 ASPHALT RESURFACING.

## EDMONSON COUNTY

432 - 103118

## Qualification Required - C1, C2

- KY 238 ASPHALT RESURFACING.  
 - KY 1075 ASPHALT RESURFACING.

## SIMPSON COUNTY

433 - 103121

## Qualification Required - C1, C2

- KY 664 ASPHALT RESURFACING.  
 - KY 1434 ASPHALT RESURFACING.

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## WARREN COUNTY

434 - 103124      Qualification Required - C1, C2  
-                    KY 263 ASPHALT RESURFACING.  
-                    KY 526 ASPHALT RESURFACING.

## BELL COUNTY

435 - 103125      Qualification Required - C1, C2  
-                    KY 1491 ASPHALT RESURFACING.  
-                    KY 2011 ASPHALT RESURFACING.  
-                    KY 3485 ASPHALT RESURFACING.

## WOLFE COUNTY

436 - 103225      Qualification Required - C1, C2  
-                    KY 2016 ASPHALT RESURFACING.  
-                    KY 2491 ASPHALT RESURFACING.

## MAGOFFIN COUNTY

437 - 103226      Qualification Required - C1, C2  
-                    KY 364 ASPHALT RESURFACING.  
-                    KY 867 ASPHALT RESURFACING.

## KNOTT COUNTY

438 - 103228      Qualification Required - C1, C2  
-                    KY 1087 ASPHALT PAVEMENT PATCHING.  
-                    KY 1098 ASPHALT PAVEMENT PATCHING.  
-                    KY 1231 ASPHALT PAVEMENT PATCHING.  
-                    KY 1410 ASPHALT PAVEMENT PATCHING.  
-                    KY 3391 ASPHALT PAVEMENT PATCHING.  
-                    KY 3392 ASPHALT PAVEMENT PATCHING.

## GRAVES COUNTY

439 - 103317      Qualification Required - C1, C2  
-                    KY 129 ASPHALT RESURFACING.  
-                    KY 564 ASPHALT RESURFACING.

## HICKMAN COUNTY

440 - 103319      Qualification Required - C1, C2  
-                    KY 0058 ASPHALT RESURFACING.  
-                    KY 0123 ASPHALT RESURFACING.

## HARRISON COUNTY

441 - 103320      Qualification Required - C1, C2  
-                    KY 392 ASPHALT RESURFACING.  
-                    KY 1842 ASPHALT RESURFACING.

## GRANT COUNTY

442 - 103321      Qualification Required - C1, C2  
-                    KY 491 ASPHALT RESURFACING.  
-                    KY 1942 ASPHALT RESURFACING.  
-                    KY 1995 ASPHALT RESURFACING.

## FULTON COUNTY

443 - 103322      Qualification Required - C1, C2  
-                    KY 1128 ASPHALT RESURFACING.  
-                    KY 1282 ASPHALT RESURFACING.

## CONTRACTOR WORK CLASSIFICATIONS:

CODE	DESCRIPTION
15*	CULVERTS
37*	TRAFFIC CONTROL
A	GRADE AND DRAIN
B	PORTLAND CEMENT CONCRETE PAVING
C	ASPHALT PAVING
C1	ASPHALT PAVING OPTION B
C2	ASPHALT PAVING OPTION A
CO01	STAKING
CO02	FUEL SUPPLIER
CO03	SUPPLIER
E1	BRIDGES NOT MORE THAN 70 FT. CLEAR SPAN
E2	BRIDGES NOT MORE THAN 100 FT. CLEAR SPAN
E3	BRIDGES 100 FT. CLEAR SPAN AND OVER
E4	DEMOLITION OF MAJOR BRIDGES
E5	BRIDGES OVER NAVIGABLE STREAMS
E5*	BRIDGES OVER NAVIGABLE STREAMS
F	SIGNS
G	LIGHTING
H	LANDSCAPING
I01	CLEARING AND GRUBBING
I02	DITCHING AND SHOULDERING
I03	BRIDGE APPROACHES
I04	GUARD RAILS
I05	FENCING
I06	SEEDING AND SODDING
I07	DENSE GRADED AGGREGATE BASE CONSTRUCTION
I08	CEMENT CONCRETE BASE CONSTRUCTION
I09	SOIL CEMENT BASE CONSTRUCTION
I10	PLANT MIX BANK GRAVEL BASE CONSTRUCTION
I11	CURB AND GUTTER
I12	SIDEWALK
I13	ENTRANCE PAVEMENT
I14	PAVED DITCH
I15	CULVERTS
I16	BRIDGE REPAIR
I17	BRIDGE DECK REPAIR
I18	BRIDGE PAINTING
I18A	BRIDGE PAINTING
I18B	BRIDGE PAINTING
I18C	BRIDGE PAINTING
I19	STEEL ERECTION
I20	TIEING STEEL REINFORCEMENT
I21	FURNISH AND DRIVE PILING
I22	DREDGING
I23	HYDRAULIC EMBANKMENT CONSTRUCTION
I24	STORM DRAINAGE & STORM SEWER
I25	SLURRY SEAL
I26	BUILDINGS AND RELATED CONSTRUCTION
I27	DEMOLITION
I28	CONCRETE REPAIRS
I29	RIGHT OF WAY MOWING
I30	TRAFFIC SIGNALS
I31	PAVEMENT STRIPING
I32	PAVEMENT MARKERS
I33	UTILITIES
I34	FIBER OPTIC CABLE INSTALLATION
I35	PERMANENT ANCHORED TIEDBACK WALLS
I36	TREE TRIMMING & BRUSH REMOVAL
I37	TRAFFIC CONTROL
I38	BITUMINOUS MILLING & TEXTURING
I39	GROUTING FOR GROUND IMPROVEMENTS
I40	DRILL AND PLACE RAIL
I41	BARRIER WALLS
I42	HYDRODEMOLITION OF BRIDGE DECKS
I43	BREAKING AND SEATING
I44	INTEGRATED TRAFFIC MANAGEMENT SYSTEMS
I45	DRILLING OF HORIZONTAL DRAINS
I46	WATERPROOFING

I47 EPOXY COATING  
I48 DRILLED CAISSON FOUNDATIONS  
I49 TUNNELS  
I50 REMOVAL AND PLACEMENT OF PROJECT MATERIA  
I51 CONCRETE SAWING  
I52 DIAMOND GRINDING  
I53 TELECOMMUNICATION CONSTRUCTION  
I54 ROOFING  
I55 CONCRETE GRINDING  
I56 SALT STORAGE SHEDS  
I57 EDGE DRAINS  
I58 VERTICAL DRILLING  
I59 COVERED TIMBER BRIDGES  
I60 HYDRAULIC JACKING  
I61 SPECIAL ENGINEERED FILLS  
I62 PAINTING OF BUILDINGS  
I63 UNDERGROUND TANK REMOVAL  
I64 ASBESTOS REMOVAL  
I65 SHORING  
I66 ROCK BLASTING  
I67 GUNITE/SHOTCRETE  
I68 TRENCHING  
I69 STEEL PIPE  
I70 TUNNEL LINER  
I71 STRUCTURAL PLATE PIPE  
I72 BIN-TYPE RETAINING WALLS  
I73 UNDERDRAINS  
I74 SWEEPING  
I75 CATCH BASIN CLEANING  
I76 JET RODDING  
I77 POWER WASHING  
I78 SURFACE PLANING AND TEXTURING  
I79 GRINDING AND JOINT SEALING  
I80 CAISSONS  
I81 SLURRY DIAPHRAM WALLS  
I82 SHEETING PILING AND SHORING  
I83 MAJOR BRIDGE FOUNDATIONS  
I84 WICK DRAINS  
I85 PAVEMENT GRINDING AND GROOVING  
I86 SAWING & SEALING OF CRACKS & JOINTS  
I87 CRACK INJECTION  
I88 ROCK BOLTING  
I89 PNEUMATIC BLANKET  
I90 GUNITE POOLS  
I91 EPOXY INJECTION & REPAIRS  
I92 AUTOMATIC SPRINKLER SYSTEMS INSTALLATION  
I93 RAILROAD TRACK CONSTRUCTION & REPAIR  
I94 CONCRETE JOINT REPAIR  
I95 WALLCOVERING  
I96 DRILLED SHAFTS  
I97 VIBRO COMPACTION  
I98 STONE COLUMNS  
I99 DEEP DYNAMIC COMPACTION  
J01 COMPACTION GROUTING  
J02 SLURRY WALLS  
J03 CHEMICAL GROUTING  
J04 CONSOLIDATION GROUTING  
J05 LIME INJECTION  
J06 MINI PILES  
J07 DAMS AND RESERVOIRS  
J08 SLAB JACKING  
J09 HYDRO DEMOLITION  
J10 SHOTCRETE  
J11 STRAIGHTENING STRUCTURAL STEEL  
J12 CORE DRILLING  
J13 REHABILITATION OF STORM DRAINAGE AND STO  
J14 CHIP AND SEAL  
J15 POLYURETHANE CONCRETE LIFTING  
J16 RETAINING WALLS  
J17 AUGER CAST PILING  
J18 HEATING AND AIR CONDITIONING INSTALLATIO  
J19 INSTALLATION OF ROADWAY SENSORS AND COUN  
J20 JET GROUTING



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J21 PRESSURE GROUTING  
J22 UNDERSEALING & FILLING VOIDS  
J23 WELLS  
J24 GROUND FREEZING  
J25 RETRO-REFLECTIVITY ANALYSIS  
J26 PIPELINE CONSTRUCTION  
J27 INTERIOR - MILLWORK  
J28 EXPANSION JOINTS  
J29 JOINT SEALING  
J30 CONCRETE HEADWALLS  
J31 GRINDING AND MILLING  
J32 IRRIGATION INSTALLATION  
J33 GROUND IN RUMBLE STRIPS  
J34 FLOORCOVERING  
J35 WINDOW TREATMENT & AWNINGS  
J36 SEALCOATING  
J39 DRILLED AND UNDERREAMED PIERS  
J40 LIME & CEMENT ROAD BED SOIL STABILIZATIO  
J41 WETLAND MITIGATION TREE PLANTING  
J42 WELDING  
J43 GLASS INSTALLATION AND GLAZING  
J44 MECHANICAL  
J45 INSTALLING OVERHEAD DOORS  
J46 PNEUMATIC BACKSTOWING  
J47 MACHINE PREP. OF EXISTING SLAB  
J48 CRASH CUSHIONS  
J49 DECORATIVE PAVERS  
J50 MUDJACKING  
J51 VIDEO PIPE INSPECTION & CLEANING  
J52 RAILROAD SIDING CONSTRUCTION & REPAIR  
J53 COLD MILLING  
J54 UNDERWATER CONSTRUCTION  
J55 ROAD PLANING AND SURFACE REMOVAL  
J56 STAY IN PLACE BRIDGE FORMS  
J57 CRACK SEALING  
J58 SEALCOATING  
J59 FERTILIZATION  
J60 EMBANKMENT IN PLACE  
J61 RALUMAC  
J62 MASONRY COATING  
J63 ROADWAY WEATHER MONITORING EQUIPMENT INS  
J64 ASPHALT PLANING AND SCARIFICATION  
J65 BORING & TUNNELING  
J66 HERBICIDE SPRAYING AND BRUSH CONTROL  
J67 LAW ENFORCEMENT OFFICER  
J68 ROCKFALL CONTAINMENT  
J69 SOIL STABILIZATION  
J70 PRECAST PAVEMENTS  
J71 GEOTECHNICAL DRILLING  
J72 STONE VENEER  
J73 PRECAST PANEL WALL ERECTION  
J74 JOINT ADHESIVE  
J75 POLYMER BRIDGE OVERLAY  
J76 SOIL NAILING  
J77 GABION BASKETS  
J78 MASONRY  
J79 LANDSCAPE MULCH  
J80 PAVEMENT STRIPING REMOVAL  
J81 CONCRETE PATCHING  
J82 CARBON FIBER BRIDGE WRAP  
J83 STREAM RESTORATION MITIGATION  
J84 LANDSCAPE MAINTENANCE  
J85 LANDSCAPE SITE PREPARATION  
J86 ASPHALT REPAIRS  
J87 VIDEO & LASER PIPELINE INSPECTION

KYTC DEPARTMENT OF HIGHWAYS  
 LOW BIDDER EXTENDED LETTING 01-22-10  
 Call: 101 Contract ID: 10-1001 Sheet 1  
 Date Run :February 01, 2010 01:26 pm

County: CARLISLE District: 01 Date Let : 01-22-10 Call: 101 Contid: 10-1001  
 Proposal Description: BRZ 0103 (285) Length: 0 KM Time: 135 WORKING  
 BRIDGE WITH GRADE, DRAIN & SURFACE OVERHEAD BRIDGE ROAD (CE  
 Number of Bidders 3  
 1 00380 HAROLD COFFEY CONSTRUCTION CO INC 1,595,299.81 phone  
 2 02618 JIM SMITH CONTRACTING COMPANY LLC 1,797,409.07 (270)236-3102  
 3 00138 HAYDON BRIDGE COMPANY INC 1,845,823.40 (270)362-8561  
 (859)336-7533

ENGINEERS ESTIMATE 1,764,343.22 LOW BIDDER is -9.5 % FROM ENGINEER'S ESTI  
 Apparent Low Bidder Low > HAROLD COFFEY CONSTRUCTION CO INC 1,595,299.81

Prop Line #	Item Description	Quantity	Unit	Low Bidder	Ext Amount
0010	DGA BASE	2,315.000	TON	22.0000	50,930.00
0020	ASPHALT SEAL AGGREGATE	23.000	TON	50.0000	1,150.00
0030	CL2 ASPH BASE 0.75D PG64-22	2,222.000	TON	68.0000	151,096.00
0040	EMULSIFIED ASPHALT RS-2	3.000	TON	650.0000	1,950.00
0050	CL2 ASPH SURF 0.38D PG64-22	450.000	TON	83.0000	37,350.00
0060	ENTRANCE PIPE-15 IN	88.000	LF	15.5000	1,364.00
0070	CULVERT PIPE-18 IN	367.000	LF	35.5000	13,028.50
0080	CULVERT PIPE-24 IN	127.000	LF	49.5000	6,286.50
0090	STORM SEWER PIPE-18 IN	80.000	LF	28.7500	2,300.00
0100	S & F BOX INLET-OUTLET-18 IN	2.000	EACH	2,150.0000	4,300.00
0110	S & F BOX INLET-OUTLET-24 IN	1.000	EACH	2,675.0000	2,675.00
0120	DROP BOX INLET TYPE 13G	2.000	EACH	2,370.0000	4,740.00
0130	ASPHALT WEDGE CURB	50.000	LF	47.5000	2,375.00
0140	BARRICADE-TYPE III	4.000	EACH	300.0000	1,200.00
0150	REMOVE PAVEMENT	310.000	SQYD	3.5000	1,085.00
0160	CEM CONC ENT PAVEMENT-6 IN	86.000	SQYD	39.0000	3,354.00
0170	TEMP DITCH	800.000	LF	1.0000	800.00
0180	EMBANKMENT IN PLACE	17,689.000	CUYD	5.5000	97,289.50
0190	WATER	516.000	MGAL	1.0000	516.00
0200	GUARDRAIL-STEEL W BEAM-S FACE	1,875.000	LF	15.8600	29,737.50
0210	GUARDRAIL TERMINAL SECTION NO 1	4.000	EACH	45.0000	180.00
0220	GUARDRAIL CONNECTOR TO BRIDGE END TY A	4.000	EACH	2,200.0000	8,800.00
0230	GUARDRAIL END TREATMENT TYPE 4A	2.000	EACH	2,100.0000	4,200.00
0240	CHANNEL LINING CLASS II	744.000	TON	28.0000	20,832.00
0250	CHANNEL LINING CLASS III	242.000	TON	28.0000	6,776.00
0260	CLEARING AND GRUBBING	1.000	LS	71,000.0000	71,000.00
0270	SIGNS	127.000	SQFT	12.0000	1,524.00
0280	EDGE KEY	175.000	LF	1.0000	175.00
0290	FABRIC GEOTEXTILE TY IV FOR PIPE	2,843.000	SQYD	2.0000	5,686.00
0300	MAINTAIN & CONTROL TRAFFIC	1.000	LS	40,000.0000	40,000.00
0310	TEMP SILT FENCE	375.000	LF	1.7500	656.25
0320	SILT TRAP TYPE A	3.000	EACH	500.0000	1,500.00
0330	SILT TRAP TYPE B	17.000	EACH	250.0000	4,250.00
0340	SILT TRAP TYPE C	4.000	EACH	200.0000	800.00
0350	CLEAN SILT TRAP TYPE A	6.000	EACH	50.0000	300.00
0360	CLEAN SILT TRAP TYPE B	34.000	EACH	50.0000	1,700.00
0370	CLEAN SILT TRAP TYPE C	8.000	EACH	50.0000	400.00
0380	CLEAN TEMP SILT FENCE	750.000	LF	0.3000	225.00
0390	STAKING	1.000	LS	15,500.0000	15,500.00
0400	REMOVE STRUCTURE	1.000	LS	24,300.0000	24,300.00
0410	EROSION CONTROL BLANKET	1,578.000	SQYD	1.4000	2,209.20
0420	TEMP MULCH	34,267.000	SQYD	0.2000	6,853.40
0430	TEMP SEEDING AND PROTECTION	2,265.000	SQYD	0.2200	498.30
0440	TOPDRESSING FERTILIZER	1.200	TON	900.0000	1,080.00
0450	SEEDING AND PROTECTION	22,651.000	SQYD	0.3300	7,474.83
0460	SPECIAL SEEDING CROWN VETCH	5,770.000	SQYD	0.1200	692.40
0470	PAVE STRIPING-PERM PAINT-4 IN	4,280.000	LF	0.3500	1,498.00
0480	CONCRETE-CLASS A	9.000	CUYD	1,500.0000	13,500.00
0490	STEEL REINFORCEMENT	72.000	LB	1.0000	72.00
0500	FUEL ADJUSTMENT	2,627.000	DOLL	1.0000	2,627.00
0510	STRUCTURE GRANULAR BACKFILL	150.000	CUYD	40.0000	6,000.00
0520	MASONRY COATING	1,114.000	SQYD	9.0000	10,026.00

*FEB 12 2010*  
*ESTD 1 2 2010*

YTC DEPARTMENT OF HIGHWAYS  
 LOW BIDDER EXTENDED FOR LETTING 01-22-10  
 Call: 101 Contract ID: 10-1001 Sheet 2  
 Date Run :February 01, 2010 01:26 pm

Prop Line #	Item Description	Quantity	Unit	Low Bidder	Ext Amou
0530	ARMORED EDGE FOR CONCRETE	72.200	LF	75.0000	5,415.00
0540	FOUNDATION PREPARATION	1.000	LS	20,500.0000	20,500.00
0550	CRUSHED AGGREGATE SLOPE PROT	394.000	TON	32.0000	12,608.00
0560	TEST PILES	237.000	LF	45.0000	10,665.00
0570	PILES-STEEL HP12X53	2,764.000	LF	39.0000	107,796.00
0580	CONCRETE-CLASS A	382.900	CUYD	495.0000	189,535.50
0590	CONCRETE-CLASS AA	339.100	CUYD	555.0000	188,200.50
0600	STEEL REINFORCEMENT	72,330.000	LB	0.8200	59,310.60
0610	STEEL REINFORCEMENT-EPOXY COATED	93,119.000	LB	0.9200	85,669.48
0620	PRECAST PC I BEAM TYPE 4	921.330	LF	195.0000	179,659.35
0630	CONCRETE-CLASS B	5.000	CUYD	275.0000	1,375.00
0640	BEND 11.25 DEG 6 IN	1.000	EACH	250.0000	250.00
0650	BEND 22.50 DEG 6 IN	2.000	EACH	250.0000	500.00
0660	BEND 45 DEG 6 IN	2.000	EACH	250.0000	500.00
0670	THRUST RESTRAINT GLAND-6 IN	10.000	EACH	110.0000	1,100.00
0680	TIE-IN TO FORCE MAIN	2.000	EACH	2,100.0000	4,200.00
0690	OPEN CUT W/ STEEL ENCASMENT	140.000	LF	100.0000	14,000.00
0700	OPEN CUT W/ STEEL ENCASMENT	70.000	LF	95.0000	6,650.00
0710	RESTRAINED JOINT PVC FORCE MAIN-6 IN	350.000	LF	25.5000	8,925.00
0720	LANDSCAPING	1.000	LS	1.0000	1.00
0730	DEMOBILIZATION	1.000	LS	23,577.0000	23,577.00
	TOTAL BIDS				1,595,299.81



**CALL NO. 101**

**CONTRACT ID. 101001**

**CARLISLE COUNTY**

**FED/STATE PROJECT NUMBER BRZ 0103 (285)**

**DESCRIPTION OVERHEAD BRIDGE ROAD (CR 1228)**

**WORK TYPE BRIDGE WITH GRADE, DRAIN & SURFACE**

**PRIMARY COMPLETION DATE 135 WORKING DAYS**

**LETTING DATE: January 22, 2010**

Sealed Bids will be received in the Division of Construction Procurement and/or the 1<sup>st</sup> floor of the Transportation Cabinet Office Building until 10:00 AM EASTERN STANDARD TIME January 22, 2010. Bids will be publicly opened and read at 10:00 AM EASTERN STANDARD TIME.

**ROAD AND BRIDGE PLANS**

**DBE CERTIFICATION REQUIRED - 5%**

**REQUIRED BID PROPOSAL GUARANTY:** Not less than 5% of the total bid.

(Check guaranty submitted: Cashier's Check  Certified Check  Bid Bond  )

**BID BONDS WHEN SUBMITTED WILL BE RETAINED WITH THE PROPOSAL**

DBE General Plan Included

BID

PROPOSAL ISSUED TO: \_\_\_\_\_

SPECIMEN

\_\_\_\_\_  
Address City State Zip

CARLISLE COUNTY  
BRZ 0103 (285)

Contract ID: 101001  
Page 4 of 137

CONTRACT ID - 101001

ADMINISTRATIVE DISTRICT - 01

PROJECT(S) IDENTIFICATION AND DESCRIPTION:

COUNTY - CARLISLE

PCN - DE02012281001

BRZ 0103 (285)

OVERHEAD BRIDGE ROAD (CR 1228) REPLACE BRIDGE AND APPROACHES AT CANADIAN  
NATIONAL/ILLINOIS CENTRAL RAILROAD, 0.4 MILE WEST OF KY 51, A DISTANCE OF 0.10 MILES.  
BRIDGE WITH GRADE, DRAIN & SURFACE. SYP NO. 01-01002.00.  
GEOGRAPHIC COORDINATES LATITUDE 36°50'31" LONGITUDE 89°00'43"

COMPLETION DATE(S):

135 WORKING DAYS

APPLIES TO ENTIRE CONTRACT



PAGE: 1

COMMONWEALTH OF KENTUCKY  
TRANSPORTATION CABINET - C35  
ENCUMBRANCE FORM

TC 14-32  
REV.06/01/08

DOC ID: XCONST0921010010001K  
DEFERRED PAYMENT: N

REQUESTED BY: DIVISION OF CONSTRUCTION PROCUREMENT

DATE: 02/12/10

CONTRACT ID: 101001      ADMIN. DISTRICT: 01      PRIMARY COUNTY: CARLISLE

PROJECT NO: BRZ 0103 (285)

DESCRIPTION: OVERHEAD BRIDGE ROAD (CR 1228)

CONTRACT COMPLETION: 135 WORKING LAYS

LETTING DATE: January 22, 2010

CALL NO: 101

PES NO: DE02012281001      FED/STATE PROJ NO: BRZ 0103 (285)

SYP NO: 01-01002.00      FED TYPE:

PROJ WORK TYPE: BRIDGE WITH GRADE, DRAIN & SURFACE

FUND	FUNC	LC	SUB FUNC	ACT	SUB ACT	DEPT OBJ	TASK OBJ	REPORTING PROGRAM	ACCT ORDER	PO CODE	ACCT TEMP	PO LINE	AMOUNT
0001	ARWY	12F0	FD52	1	020	4580	E797	6860101C				1	\$ 658,836.38
0002	BBRI	12F0	FD52	1	020	4580	E797	6860101C				1	\$ 875,385.43
0003	ZEMO	12F0	FD52	1	020	4580	E797	6860101C				1	\$ 23,577.00
0004	CUTL	12F0	FD52	1	020	4580	E797	6860101C				1	\$ 37,501.00

PROJECT TOTAL: \$ 1,595,299.81

CONTRACT TOTAL: \$ 1,595,299.81

CONTRACT AWARD AMOUNT: \$ 1,595,299.81

CONTRACT WITH: HAROLD COFFEY CONSTRUCTION CO INC  
P O BOX 300  
Hickman, KY 42050  
00380      N00

CONTRACT/AGREEMENT ATTACHED

CP ADMIN: AB

DISTRIBUTION: DIVISION OF ACCOUNTS  
DIVISION OF MATERIALS  
DIVISION OF CONSTRUCTION PROCUREMENT  
DISTRICT OFFICE

	KENTUCKY TRANSPORTATION CABINET Department of Highways <b>DIVISION OF CONSTRUCTION PROCUREMENT</b>	TC 14-14 Rev. 04/2007 Page 1 of 1
<b>PROPOSAL OR BID BOND</b>		

KNOW ALL MEN BY THESE PRESENTS, that we, \_\_\_\_\_ as PRINCIPAL and the \_\_\_\_\_, \_\_\_\_\_ a corporation organized and existing under the laws of the State of \_\_\_\_\_ having its principal place of business at \_\_\_\_\_ as SURETY, are held and firmly bound unto the KENTUCKY TRANSPORTATION CABINET in its official capacity as agent of the Commonwealth of Kentucky, hereinafter called the CABINET, as obligee, in the penal sum of 5% of the total bid (\$ \_\_\_\_\_ DOLLARS), \_\_\_\_\_ lawful money of the United States of America, for the payment of which, will and truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the principal is herewith submitting its bid proposal, attached hereto, with the CABINET, dated (m/d/y) \_\_\_\_\_, for project number \_\_\_\_\_, for work described in said bid proposal.

THE CONDITION OF THE ABOVE OBLIGATION IS SUCH, that if the aforesaid principal shall be awarded the contract, the said principal will within fifteen (15) days, after the notice of such award, execute the contract with required performance and payment bond, provide satisfactory evidence of required liability insurance, furnish evidence of compliance with process agent's requirements (*Sec. 102.15, Spec.*) and provide the proposed work schedule, then this obligation shall be null and void. Upon the failure, or refusal of the principal herein to provide all of the foregoing requirements or the omission, failure or refusal to provide any one of the same, then in this event this bond shall be considered forfeited and the principal and the surety will pay unto the obligee the penal sum of 5% of the total bid (\$ \_\_\_\_\_ DOLLARS) upon demand, which is the amount of this bid bond and for which the principal and surety have obligated themselves herein, as agreed damages. However, in no event shall the surety's liability exceed the penal sum hereof.

SIGNED, sealed, and dated this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

ATTEST  _____ <i>(corporate seal)</i>	PRINCIPAL  _____ ADDRESS  _____  SURETY ( <i>insurance or bonding company</i> )  _____ ADDRESS  _____  BY ( <i>attorney-in-fact</i> )  _____
ATTEST  _____	



<b>Federal Number :</b> IM 0647 (048) <b>Project Type :</b> Conventional <b>DUNS # :</b> 188593644 <b>Demo ID :</b> <b>Inv Route Number :</b> I 64 <b>Beg/End Mile Point :</b> 168.51 168.55 <b>Project Length :</b> <b>Bridge No :</b> 022B00077N <b>Project Oversight :</b> State <b>STIP Ref:</b> FY11-14 Adm. Mod. #2010.039 <b>TIP Ref:</b> <b>Env. Doc. Type/Date :</b> CE Prog. 11/18/2010 <b>Urbanized Area :</b>	<b>SYP Item No :</b> 09 - 02020.00 <b>KYTC File No :</b> 2170 <b>MARS :</b> 84625 01C <b>Rural/Urban :</b> R <b>Functional System :</b> F <b>FA System :</b> I <b>On NHS Y/N :</b> Y <b>County Name :</b> Carter <b>Congrl District(s) :</b> 4 <b>KYTC Phase :</b> Construction <b>State Def Field 1:</b> <b>State Def Field 2:</b> <b>State Def Field 3:</b>																																	
<b>Project Description</b> US 60 Bridge over I-64 2.5mi west of KY7 at Grayson.																																		
<b>Additional comments</b> Replace expansion joints, patch and place concrete overlay.																																		
<b>Remarks:</b> Scheduled for the April 29, 2011 Letting.																																		
<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>CO</th> <th>IMPR. TYPE</th> <th>AC</th> <th>APP</th> <th>FF%</th> <th>PRDR FEDERAL</th> <th>FEDERAL</th> <th>STATE</th> <th>OTHER</th> <th>TOLL CREDIT</th> <th>TOTAL</th> </tr> </thead> <tbody> <tr> <td>43</td> <td>14</td> <td></td> <td>L01E</td> <td>90%</td> <td></td> <td>250,000.00</td> <td></td> <td></td> <td>25,000.00</td> <td>250,000.00</td> </tr> <tr> <td>43</td> <td>17</td> <td></td> <td>L01E</td> <td>90%</td> <td></td> <td>25,000.00</td> <td></td> <td></td> <td>2,500.00</td> <td>25,000.00</td> </tr> </tbody> </table>		CO	IMPR. TYPE	AC	APP	FF%	PRDR FEDERAL	FEDERAL	STATE	OTHER	TOLL CREDIT	TOTAL	43	14		L01E	90%		250,000.00			25,000.00	250,000.00	43	17		L01E	90%		25,000.00			2,500.00	25,000.00
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The State agrees that as a condition to payment of Federal funds obligated, it accepts and will comply with the agreement provisions set forth in 23 CFR 630.112; and its signature constitutes the making of the certifications.									Original Modification	X																								
This agreement is subject to the following award terms: <a href="http://edocket.access.gpo.gov/2010/pdf/2010-22705.pdf">http://edocket.access.gpo.gov/2010/pdf/2010-22705.pdf</a> and <a href="http://edocket.access.gpo.gov/2010/pdf/2010-22706.pdf">http://edocket.access.gpo.gov/2010/pdf/2010-22706.pdf</a>																																		
KYTC Signature:					FHWA Signature:																													
Date:					Date:																													